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Cloud Feedbacks and Climate Models

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Together with many people including S. Cardoso (IDL/NCAR), A. Gettelman (NCAR), B. Kahn, S. Klein (LLNL), P. Miranda (IDL), A.P. Siebesma (KNMI), P. Soares (IDL), Y. Zhang (LLNL) and the GPCI group

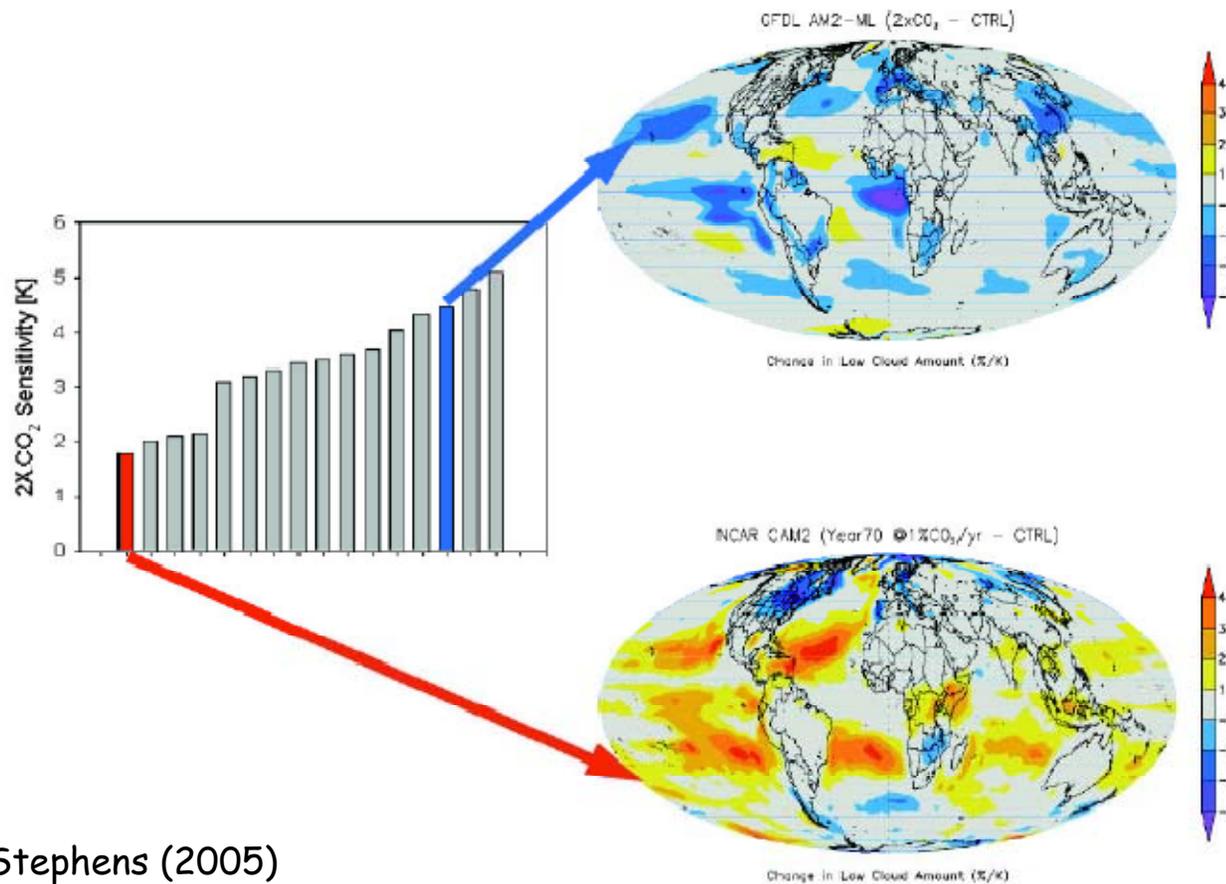


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Climate is changing ... YET there is large uncertainty in climate prediction

IPCC 2007: "Cloud feedbacks remain the largest source of uncertainty"



Doubling CO₂ → less low clouds in GFDL → 4 K global warming

Doubling CO₂ → more low clouds in NCAR → 2 K global warming

Stephens (2005)

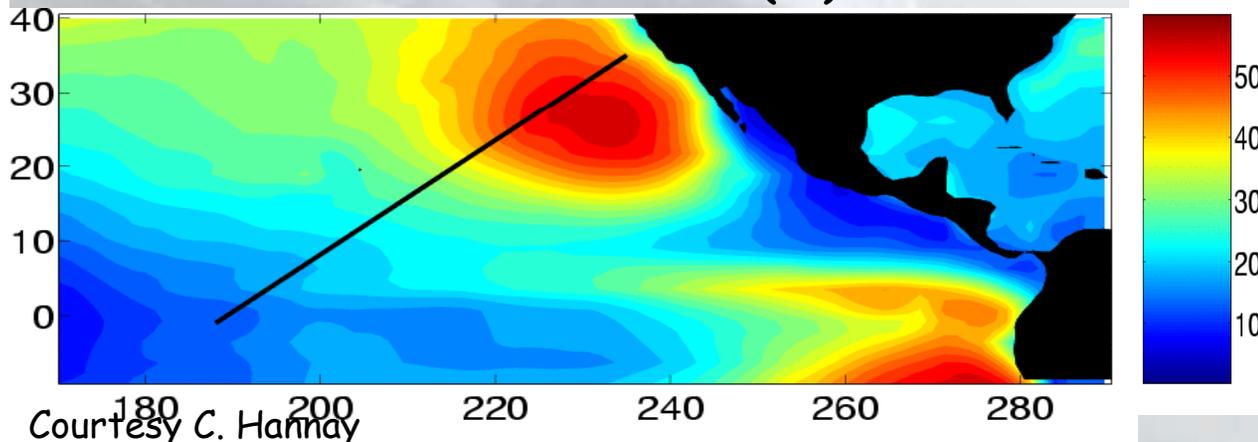
How good are models? What is the problem? representation of small-scales



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GCSS Pacific Cross-section Intercomparison (GPCI): status and progress

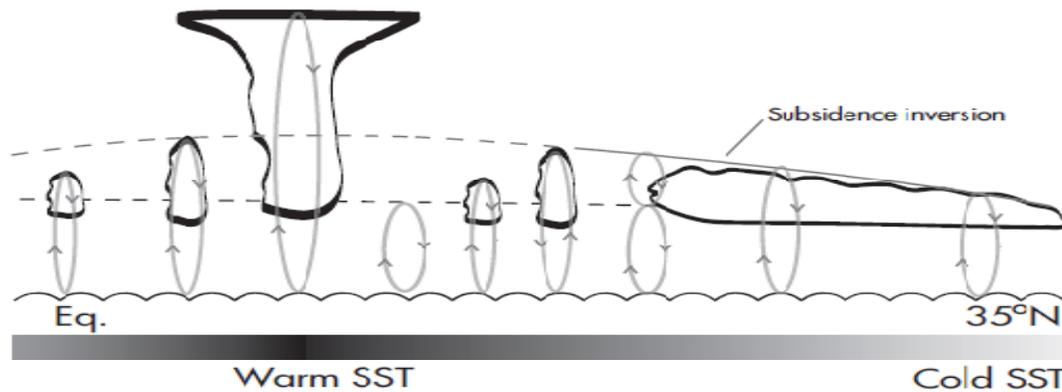
ISCCP Low Cloud Cover (%)



Courtesy C. Hannay

GPCI is a working group of the GEWEX Cloud Systems Study (GCSS)

Participation of 23 climate/weather prediction models



Models and observations are analyzed along a transect from stratocumulus, across shallow cumulus, to deep convection

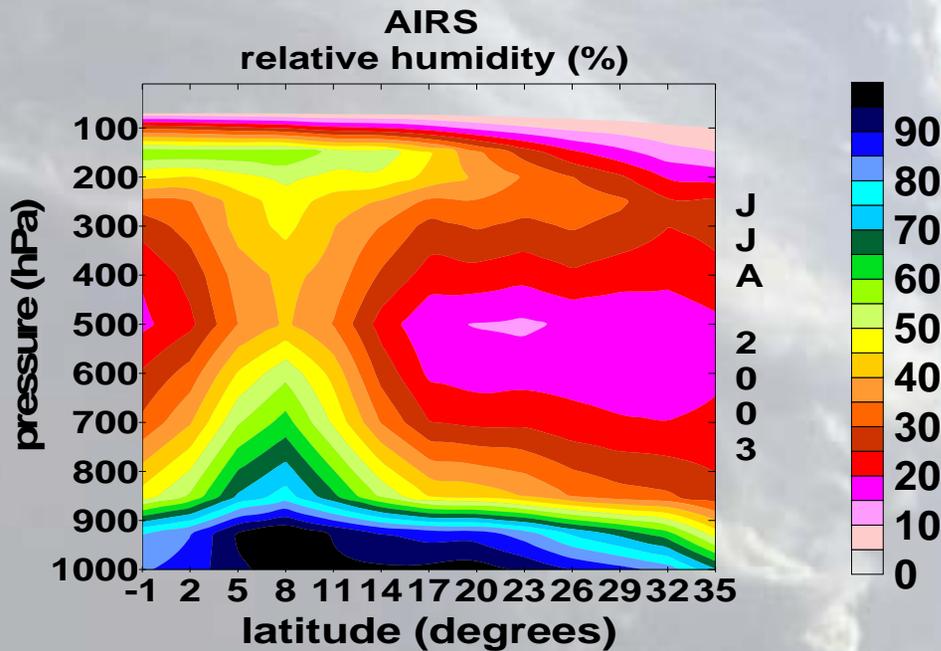


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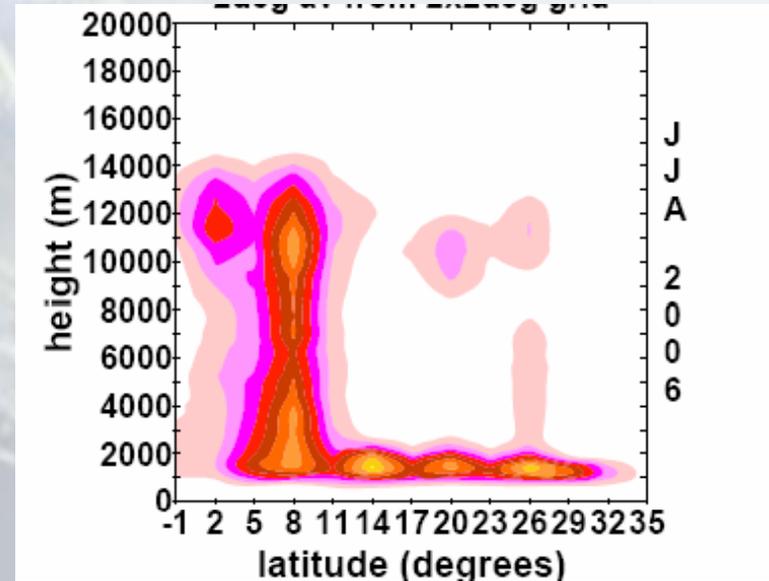
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Subtropics to tropics transition: satellite observations of mean relative humidity and cloud occurrence

AIRS relative humidity JJA 2003



CloudSat cloud occurrence JJA 2006



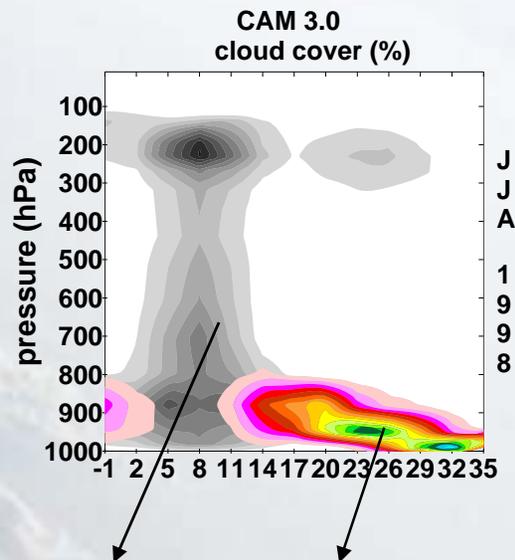
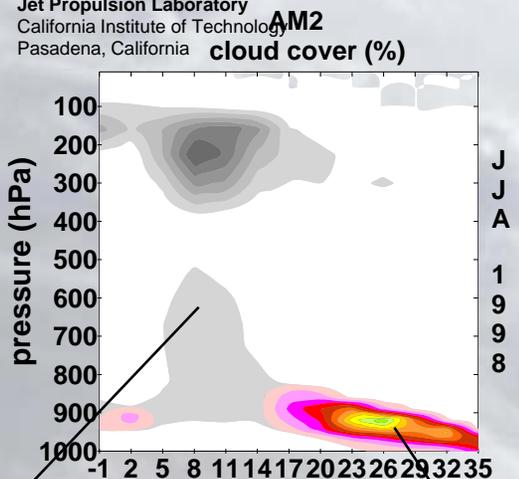
Satellites show transition from subtropical PBL clouds to deep tropical convection ... these observations did not exist when we started planning for the cross-section.



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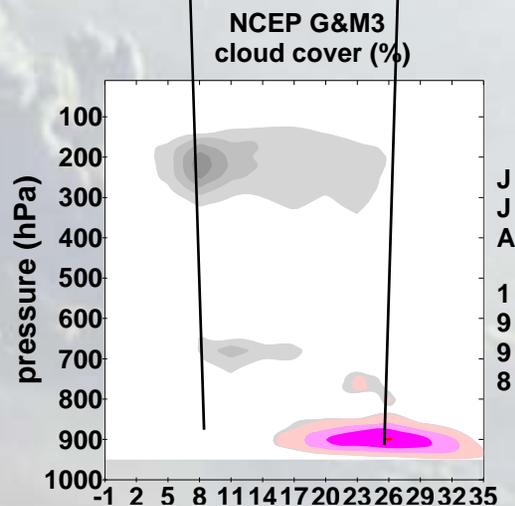
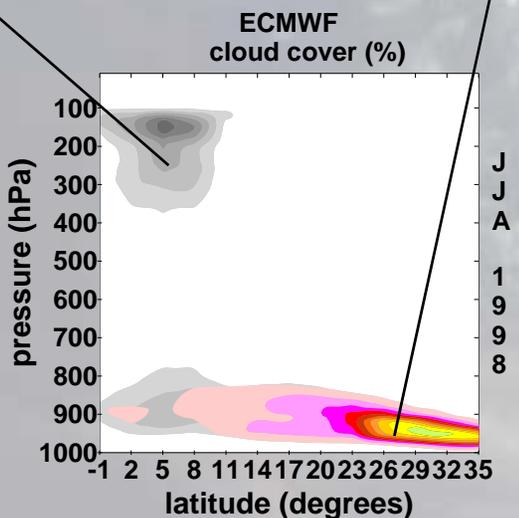
Cloud Cover along GPCI



Deep convection clouds

Boundary layer clouds

Large differences in clouds between models



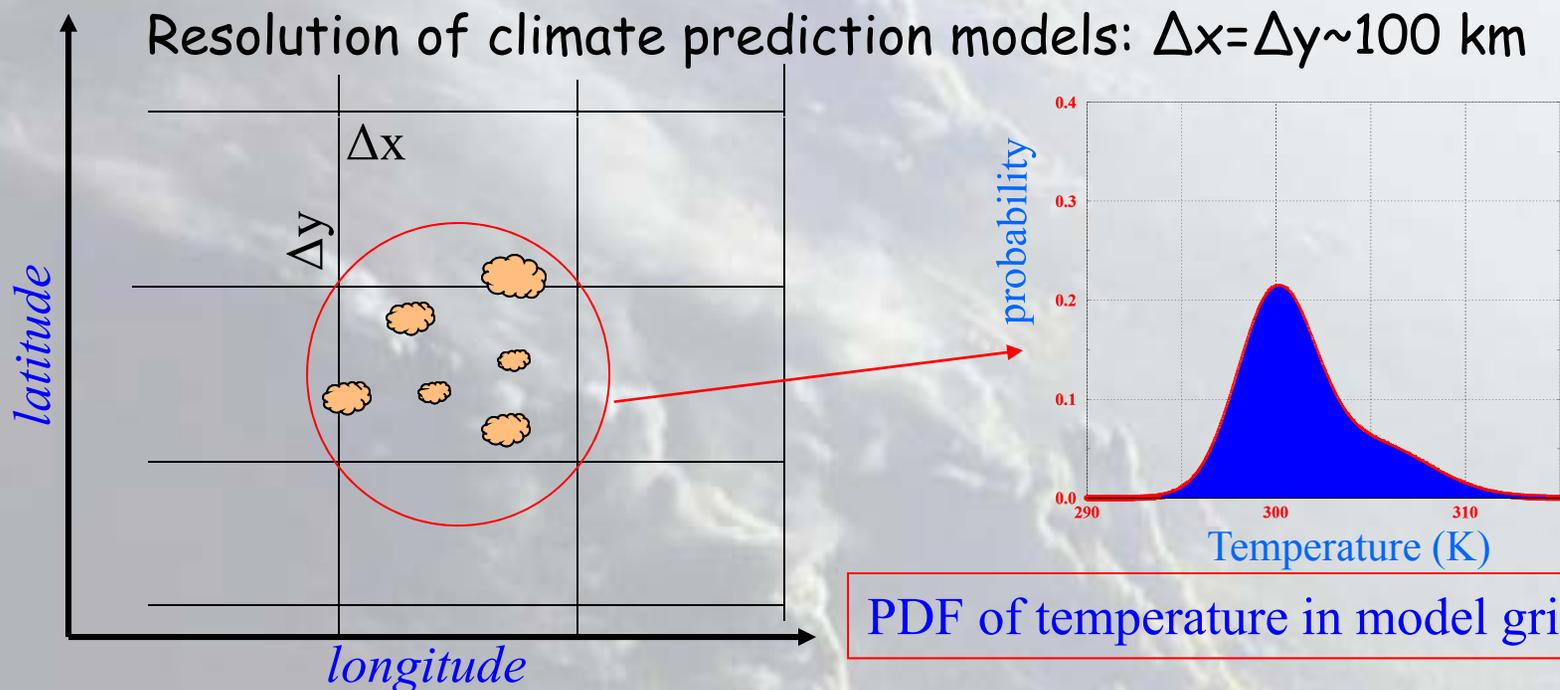


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What is the physical parameterization problem in Climate models?

It is related to the classic turbulence closure problem with additional complexity: buoyancy, phase-transitions, radiation, precipitation, gravity waves, wide range of scales (from 10^{-3} to 10^6 m)



Essence of parameterization problem is the estimation of joint PDFs of climate model variables (u, v, w, T, q) \rightarrow e.g. co-variance $w'\phi'$



Parameterization problem in climate models

Atmospheric/oceanic model equation for a generic variable can be written as:

$$\frac{\partial \phi}{\partial t} = -\frac{\partial}{\partial x}(u\phi) - \frac{\partial}{\partial y}(v\phi) - \frac{\partial}{\partial z}(w\phi) + S,$$

Using Reynolds decomposition and averaging $\phi = \bar{\phi} + \phi'$ to get an equation for the mean:

$$\frac{\partial \bar{\phi}}{\partial t} + \frac{\partial}{\partial x}(\overline{u\phi}) + \frac{\partial}{\partial y}(\overline{v\phi}) + \frac{\partial}{\partial z}(\overline{w\phi}) = -\frac{\partial}{\partial z}(\overline{w'\phi'}) + \bar{S},$$

It is assumed that S is linear and the horizontal divergence terms of the sub-grid fluxes can be neglected

vertical sub-grid
flux needs to be
parameterized



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Eddy-Diffusivity (ED) approach

In ED closure the sub-grid flux is parameterized as

$$\overline{w' \phi'} = -k \frac{\partial \overline{\phi}}{\partial z}$$

where k is the diffusivity coefficient. The mixing length approach (e.g. Taylor, Prandtl) is

$$k_{\phi} = c_{\phi} l w_t$$

where w_t is a turbulent velocity and l is a mixing length.

ED is successful in representing:

- Surface layer (MO theory), momentum mixing
- Neutral/stable boundary layers \Rightarrow Logarithmic-law:

Surface layer (constant flux): $\overline{u'w'} = -U_*^2 = \text{const.}$

$w_t \propto U_*$ and $l \propto z$ leads to

$$\overline{u'w'} = -k \frac{\partial u}{\partial z} \Rightarrow z U_* \frac{\partial u}{\partial z} \propto U_*^2 \quad \Rightarrow u \propto U_* \ln(z/z_0)$$



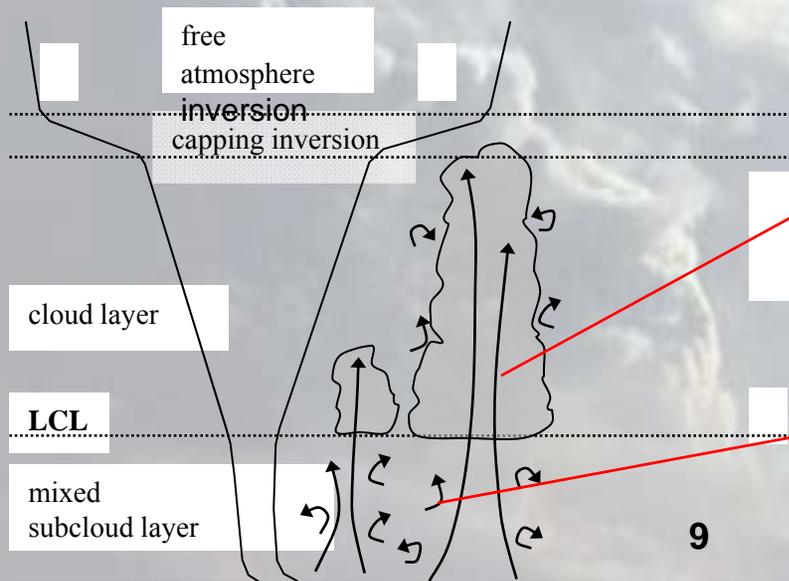
Mass-Flux (MF) approach

MF closure is based on parcel ideas (e.g. Stommel 1947) and attempts to represent strong upward/downward convection:

$$\overline{w'\phi'} = a w_{u/d} (\phi_{u/d} - \bar{\phi})$$

a - updraft/downdraft area
 $w_{u/d}$ - upward/downward vertical velocity in a
 $\phi_{u/d}$ - variable value in a .

MF is typically used for parameterization of moist convection



Mass-flux (MF) represents large-scale eddies

Eddy-Diffusivity (ED) represents small-scale eddies



Moist conserved variables

For convenience:
the mean of a
variable $\bar{\varphi}$ is often
represented as φ

Traditional dry set of thermodynamic variables

$$\frac{\partial \theta}{\partial t} = -\frac{\partial}{\partial z}(\overline{w'\theta'}) + \frac{L}{C_p T} C \quad \frac{\partial q}{\partial t} = -\frac{\partial}{\partial z}(\overline{w'q'}) - C \quad \frac{\partial l}{\partial t} = -\frac{\partial}{\partial z}(\overline{w'l'}) + C$$

θ - potential temperature, q - specific humidity, l - liquid water

Moist conserved variables

$$\frac{\partial \theta_l}{\partial t} = -\frac{\partial}{\partial z}(\overline{w'\theta_l'}) \quad \frac{\partial q_t}{\partial t} = -\frac{\partial}{\partial z}(\overline{w'q_t'})$$

$$q_t = q + l$$

Total water content

$$\theta_l = \theta \left(1 - \frac{L}{C_p T} l \right) \quad \text{Liquid water potential temperature}$$

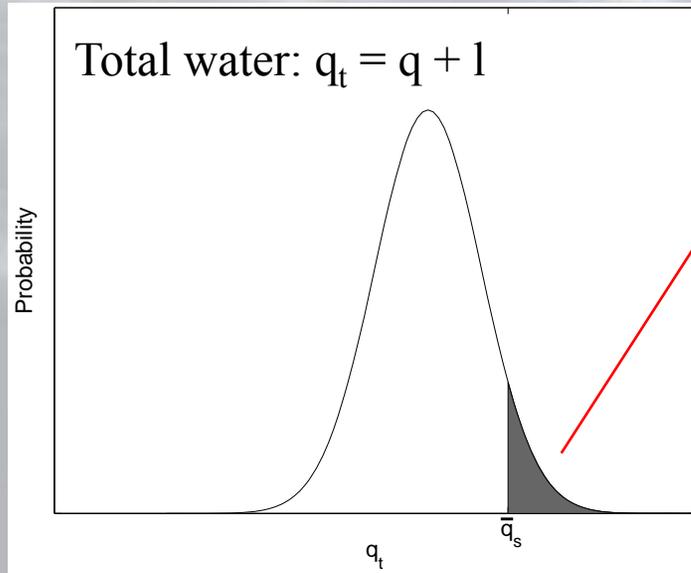
Two major practical advantages of using conserved variables:

- 1) The cloud/condensation term disappears from the equations
- 2) The ED and MF approaches are able to represent the correct cloud fluxes



PDF-based Cloud Parameterizations

PDF-based cloud parameterizations are based on the pdf of q_t (in this simple example) or on the joint pdf of q_t and θ_t



Values larger than saturation are cloudy

$$a = \int_{q_s}^{+\infty} p(q_t) dq_t$$

$$\bar{l} = \int_{q_s}^{+\infty} (q_t - \bar{q}_s) p(q_t) dq_t$$

With Gaussian distribution we obtain cloud fraction and liquid water as a function of Q :

$$a = \frac{1}{2} + \frac{1}{2} \operatorname{erf} \left(\frac{Q}{\sqrt{2}} \right)$$

$$\frac{\bar{l}}{\sigma} = aQ + \frac{1}{\sqrt{2\pi}} e^{-Q^2/2}$$

$$Q = \frac{q_t - q_s}{\sigma}$$

Characterizing the variance of thermodynamic properties is essential for cloud parameterization development

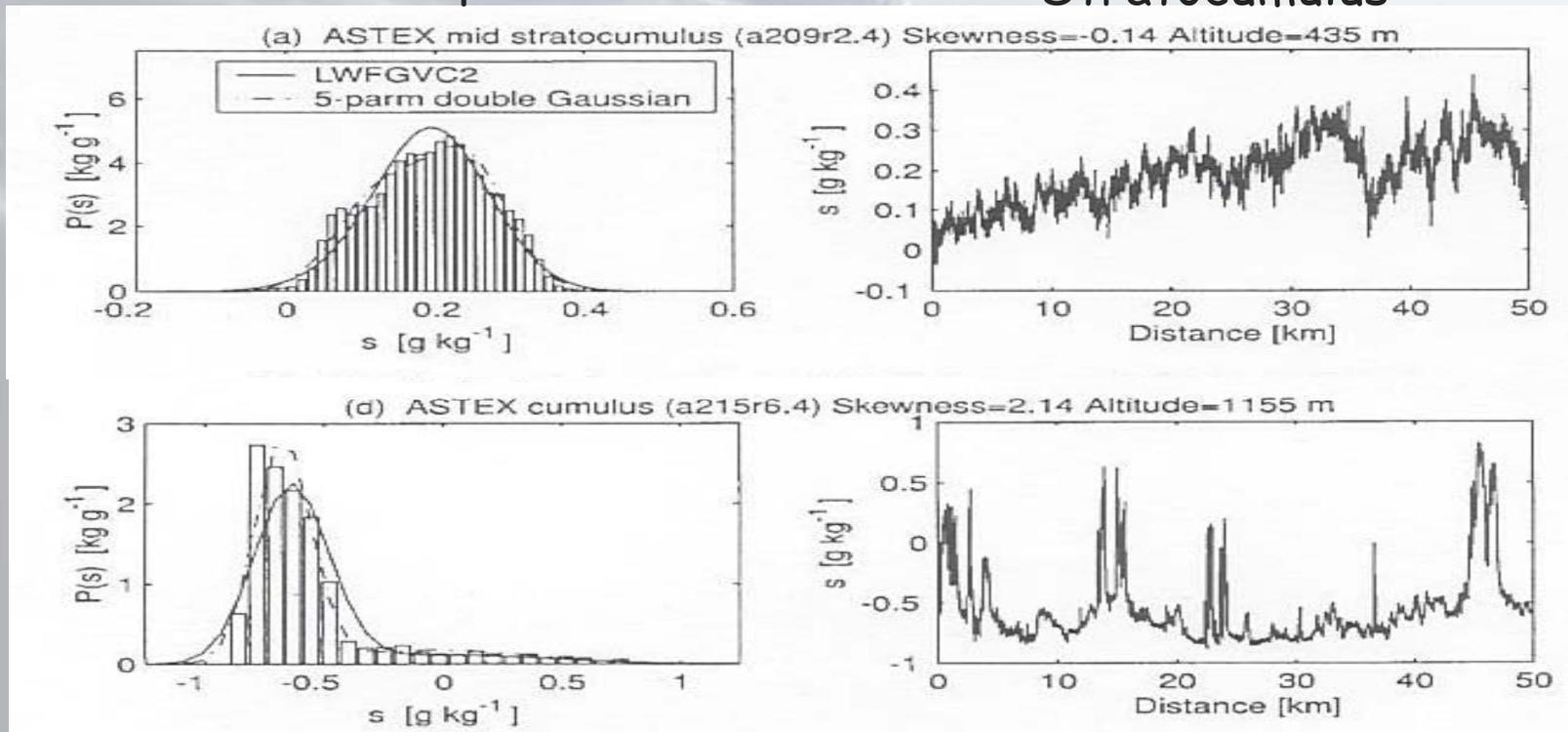


PDF-based approaches and observations

Aircraft observations of variable Q

Gaussian pdf

Stratocumulus



Skewed pdf

Cumulus

How realistic is a Gaussian approximation?



Pdf-based cloud parameterizations

How to determine the variance of total water?

1) Prognostic equation:

$$\frac{\partial}{\partial t} \left(\overline{q_t' q_t'} \right) = -2 \overline{w' q_t'} \frac{\partial q_t}{\partial z} - \frac{\partial}{\partial z} \left(\overline{w' q_t' q_t'} \right) - \frac{\overline{q_t' q_t'}}{\tau_q}$$

2) Diagnostic equation:

$$\overline{q_t' q_t'} = -2 \tau_q \overline{w' q_t'} \frac{\partial q_t}{\partial z}$$

Eddy-diffusivity

$$\overline{q_t' q_t'} = 2 \tau_q k \left(\frac{\partial q_t}{\partial z} \right)^2$$

Mass-flux

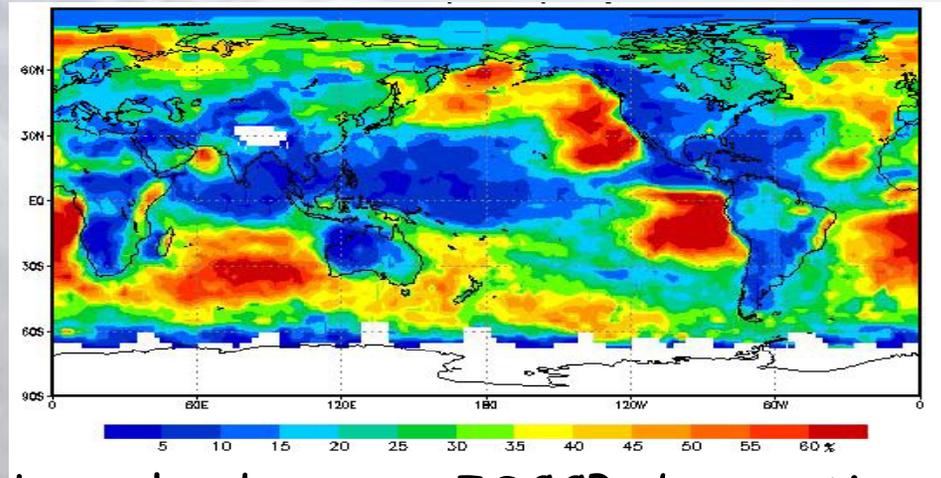
$$\overline{q_t' q_t'} = -2 \tau_q M \left(q_t^u - q_t \right) \frac{\partial q_t}{\partial z}$$



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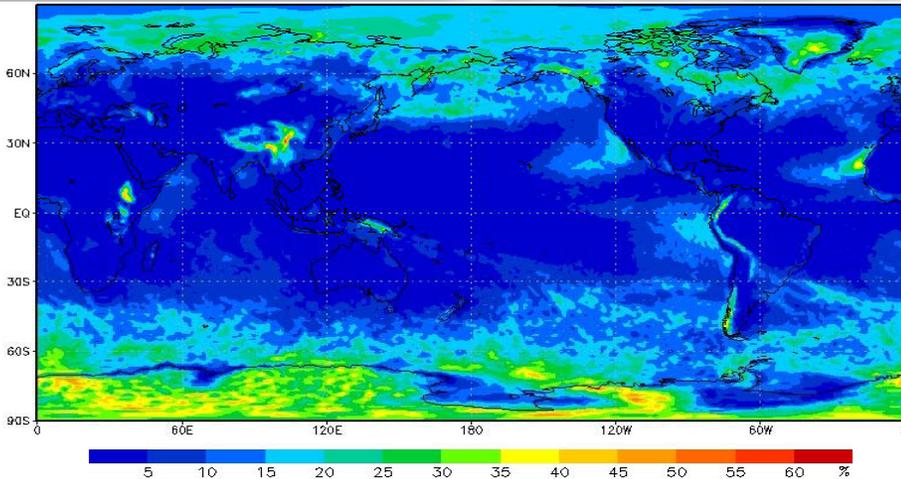
PDF-based stratocumulus cloud parameterization in a coupled model

Models and observations for Aug. 2004

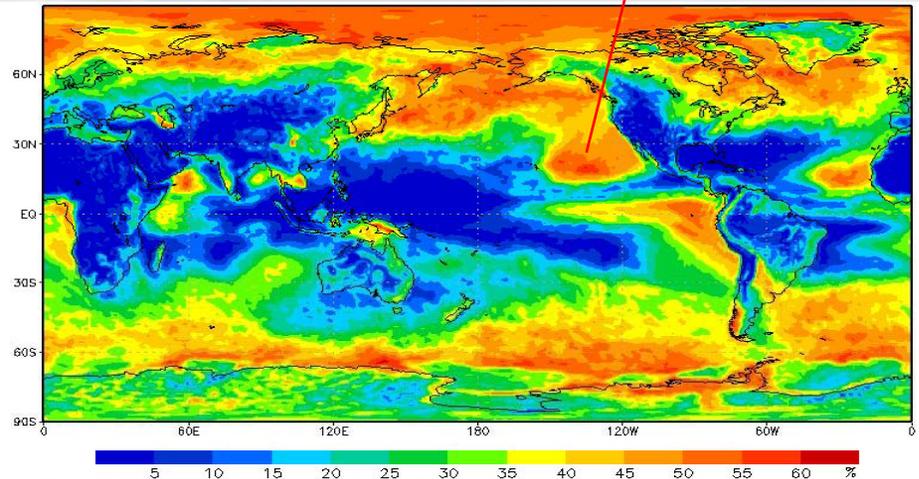


Low cloud cover - ISCCP observations

New model much closer to observations



Low cloud cover - old model



Low cloud cover - new model



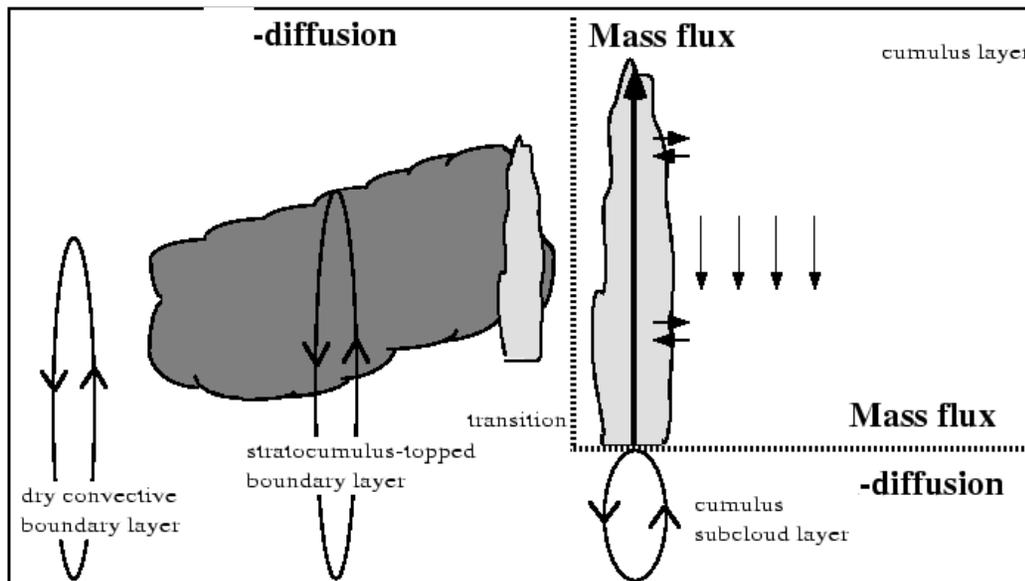
Trying to unify boundary layer convective transport in clear, sub-cloud and cloud layer

Standard climate model approach:

$$\overline{w'\phi'} \cong -K \frac{\partial \bar{\phi}}{\partial z}$$

$$\overline{w'\phi'} \cong M(\phi_u - \bar{\phi})$$

$$\frac{\partial \bar{\phi}}{\partial t} \cong -\frac{\partial}{\partial z} (\overline{w'\phi'}) + \bar{S}$$



This modularity leads to problems:

- Possibility of "double counting" of processes
- Interface problems
- Problems with transitions between different regimes



Eddy-Diffusivity/Mass-Flux parameterization

Dividing a grid square in two regions (updraft and environment) and using Reynolds decomposition and averaging leads to

$$\overline{w'\varphi'} = a_u \overline{w'\varphi'_u} + (1 - a_u) \overline{w'\varphi'_e} + a_u(1 - a_u)(w_u - w_e)(\varphi_u - \varphi_e)$$

where a_u is the updraft area. Assuming $a_u \ll 1$ and $w_e \sim 0$ leads to

$$\overline{w'\varphi'} = \overline{w'\varphi'_e} + a_u w_u (\varphi_u - \bar{\varphi})$$

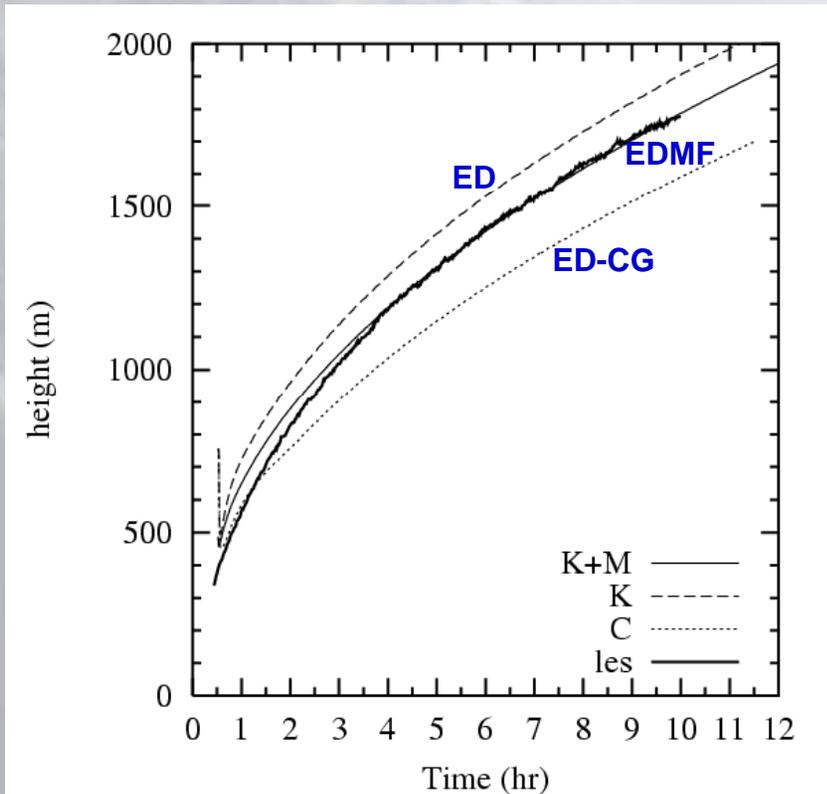
ED closure: assuming ED for 1st term and neglecting 2nd term

MF closure: neglecting 1st term and assuming $M = a_u w_u$

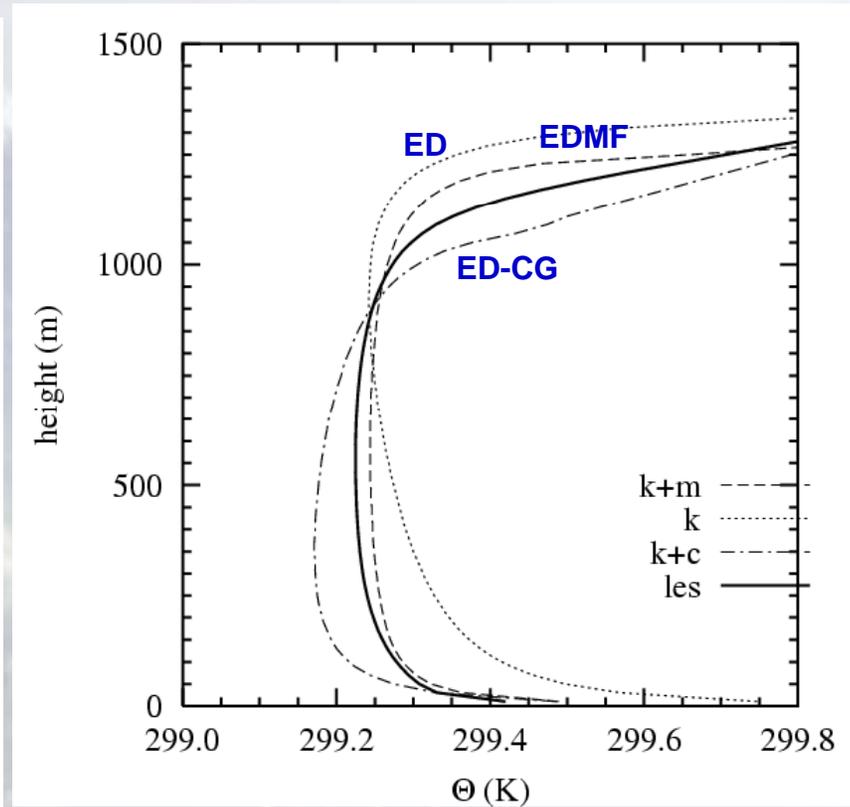
EDMF:
$$\overline{w'\varphi'} = -k \frac{\partial \bar{\varphi}}{\partial z} + M(\varphi_u - \bar{\varphi})$$



A dry convective boundary layer case study



PBL height growth



Mean profiles after 10 hours

EDMF : Realistic PBL growth and mixed layer profile (counter-gradient effect)

ED : Unstable Profile in lower PBL and too fast PBL growth

ED + Counter-Gradient (CG): Too slow PBL growth (small entrainment)

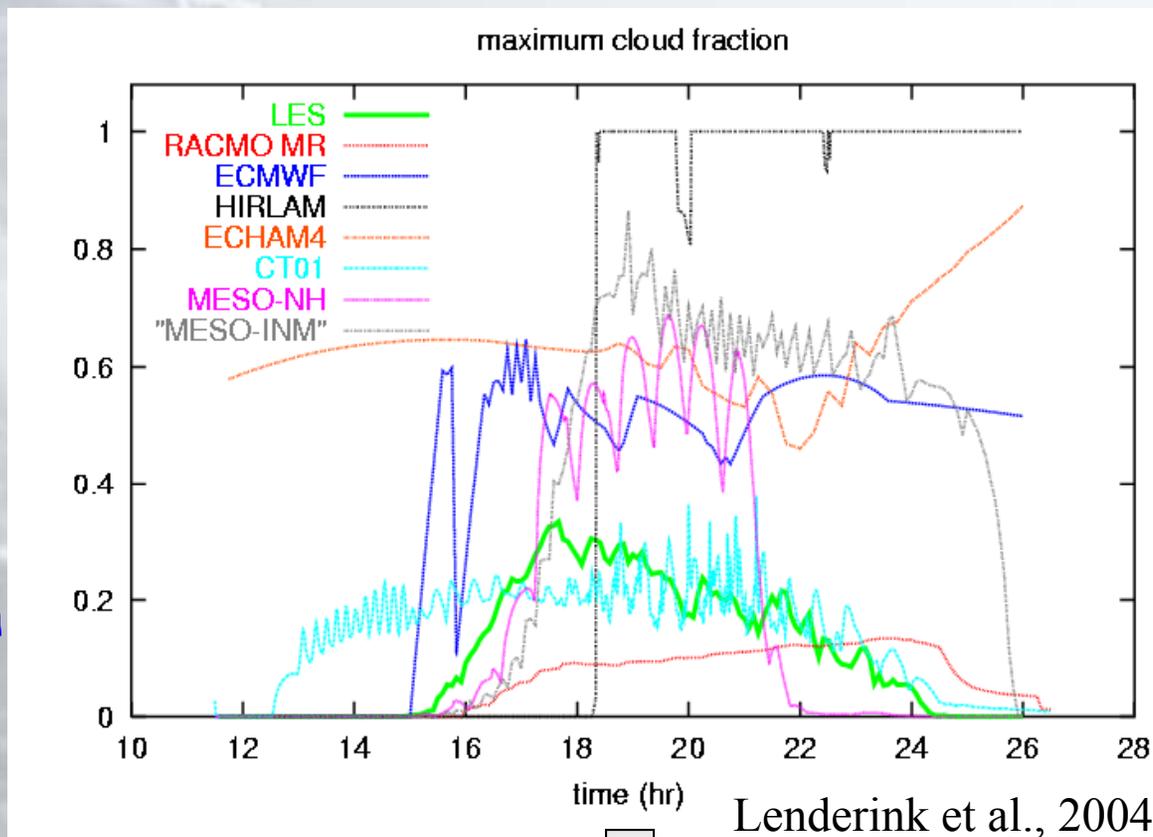


ARM shallow convection over land case

- 1) Oklahoma ARM site
- 2) 21 June 1997
- 3) Several single-column models (SCM) versus LES

Start: 10 am (local time)
End: 3 am (next day)

- 4) Shallow convection develops at around 3 pm (in LES and in observations)

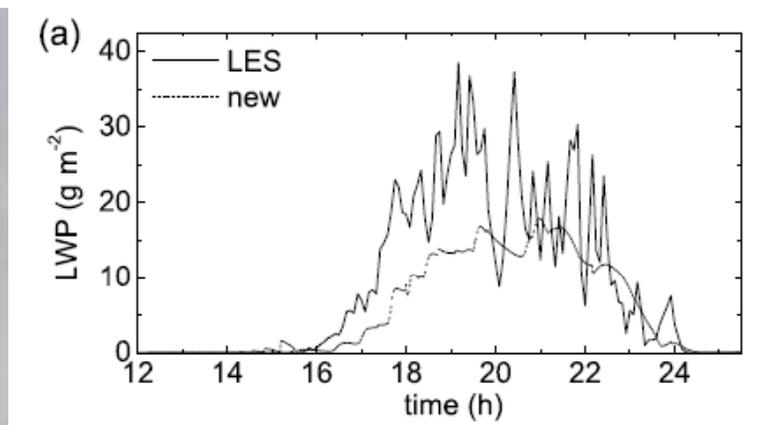
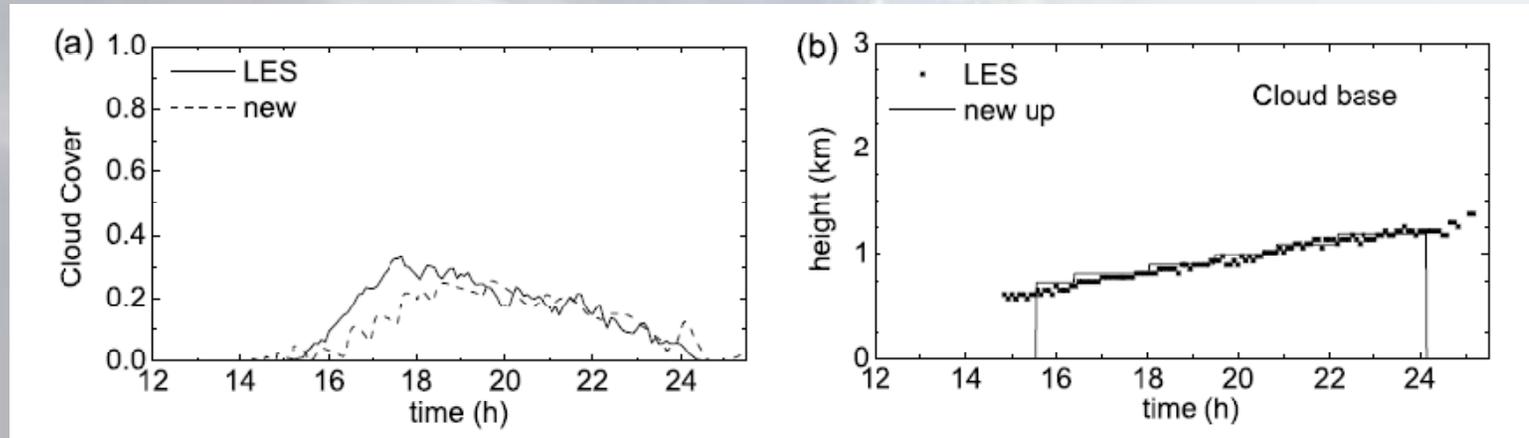


No model is able to capture the diurnal cycle of convection



EDMF approach and the ARM case

- 1) ED coefficient is based on prognostic turbulent kinetic energy (TKE);
- 2) MF is based on updraft vertical velocity equation;
- 3) Updraft values estimated as $\frac{\partial \phi_u}{\partial z} = -\varepsilon(\phi_u - \bar{\phi})$ (ε is the lateral entrainment)

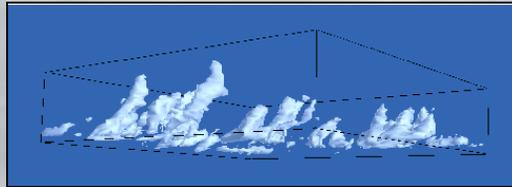




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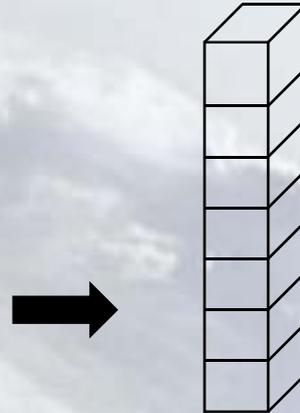
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What is the strategy for parameterization development in climate models?



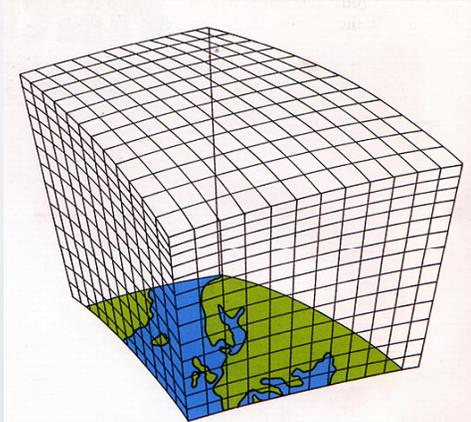
High-resolution data:

Large Eddy Simulation (LES) Models
Cloud Resolving Models (CRMs)



Testing in Single Column Models:

Versions of Climate Models



3D Climate/Weather Models:

Evaluation and diagnostics
for a variety situations

Field experiment observations to
build case-studies and for
model evaluation

Global satellite
observations for 3D
model evaluation

It has been a fairly (but not fully) successful strategy for the past 10 yrs

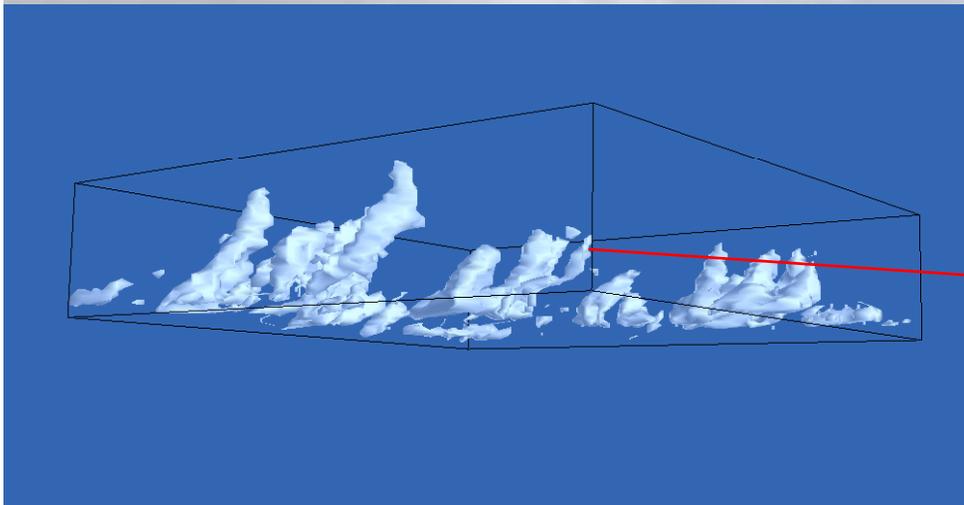


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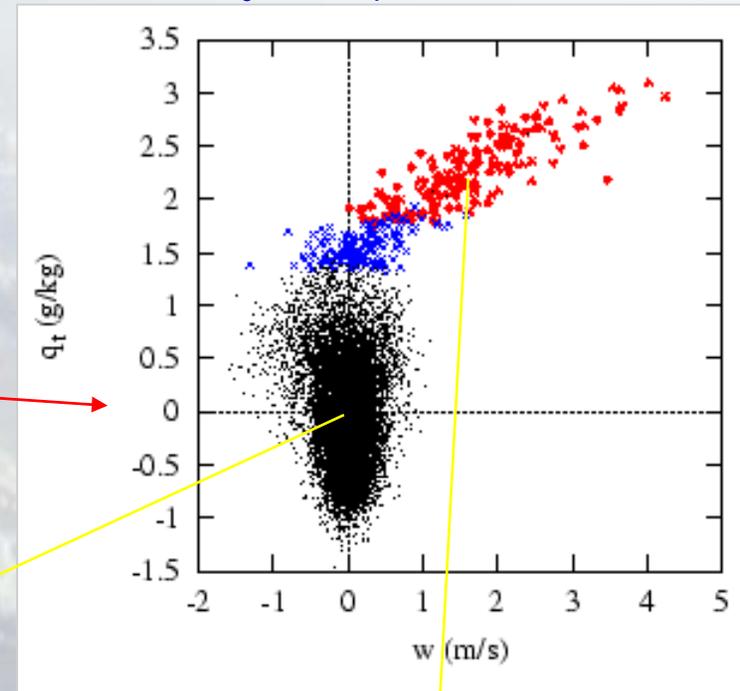
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Large Eddy Simulation models and cumulus convection

Large Eddy Simulation (LES) model
- BOMEX shallow cumulus case



Bimodal joint pdf of w and q_t



Siebesma et al

Clear environment:
Eddy-Diffusivity (ED) mixing

Cloud core updrafts:
Mass-Flux (MF) transport



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Summary

- Cloud-climate feedbacks are a major issue in climate prediction
- Climate prediction models still have serious difficulties in representing small-scale processes such as turbulence, clouds and convection
- Recent satellite data is able to characterize vertical structure of cloud regime transitions (e.g. subtropics to tropics transition) - but not in boundary layer
- Large Eddy Simulation (LES) models are essential tools for boundary layer cloud and convection parameterization development
- New parameterization approaches that lead to more realistic results:
 - 1) PDF-based cloud parameterizations are based on a solid theoretical framework and have solid connection to observations
 - 2) Eddy-Diffusivity/Mass-Flux (EDMF) approach successfully combines boundary layer and convection parameterizations

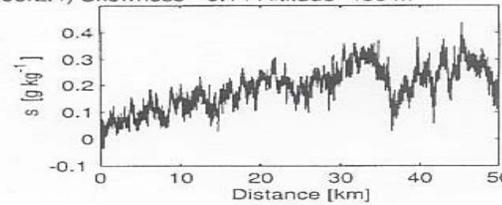
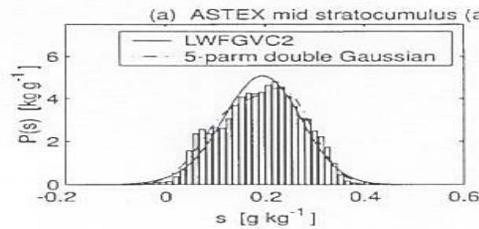
What satellite observations are needed to help improve the representation of boundary layer clouds in climate models?



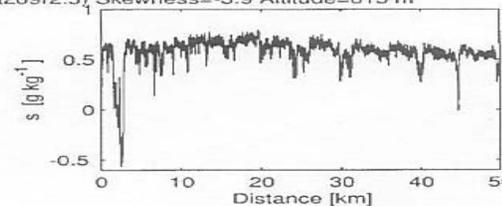
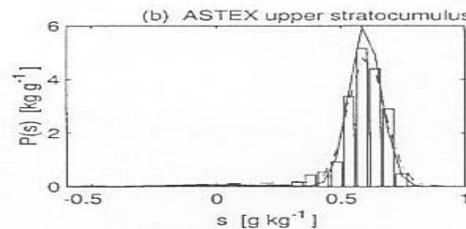
Pdf-based parameterization and observations

Aircraft observations of variable Q

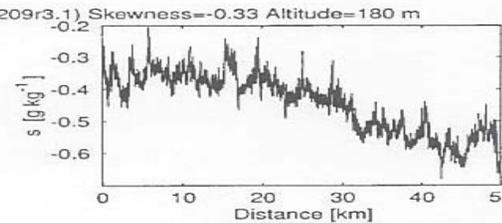
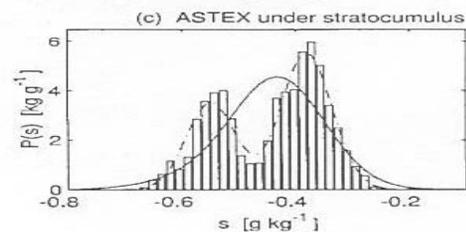
Stratocumulus



Gaussian pdf

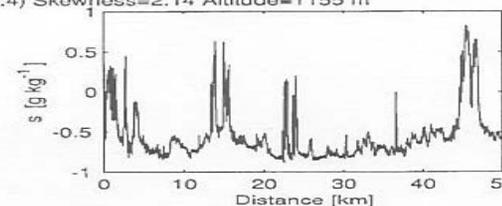
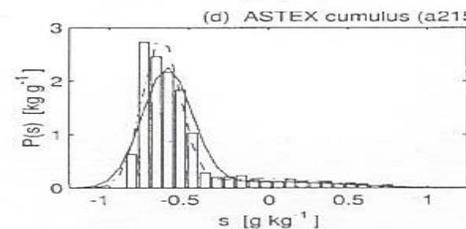


Cumulus and
Stratocumulus



Double Gaussian

Cumulus



Skewed pdf

In many situations clouds "are" Gaussian



Cloud parameterization : A brief history until 1980s

1960s: Cloud properties - artificially prescribed

1970s: Cloud fraction - empirical function of relative humidity (RH)
Cloud water - prescribed

1980s (Slingo, 1987):

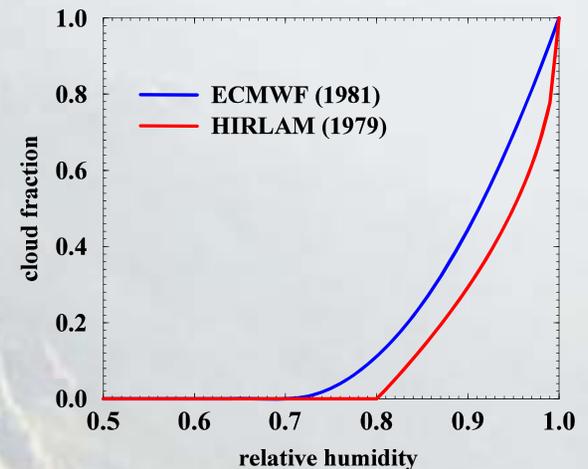
Cloud fraction - function of RH, inversion strength (S_c) and convective rain (Cumulus)

Cloud water - prescribed or function of q_s

1980s (Sundqvist, 1989):

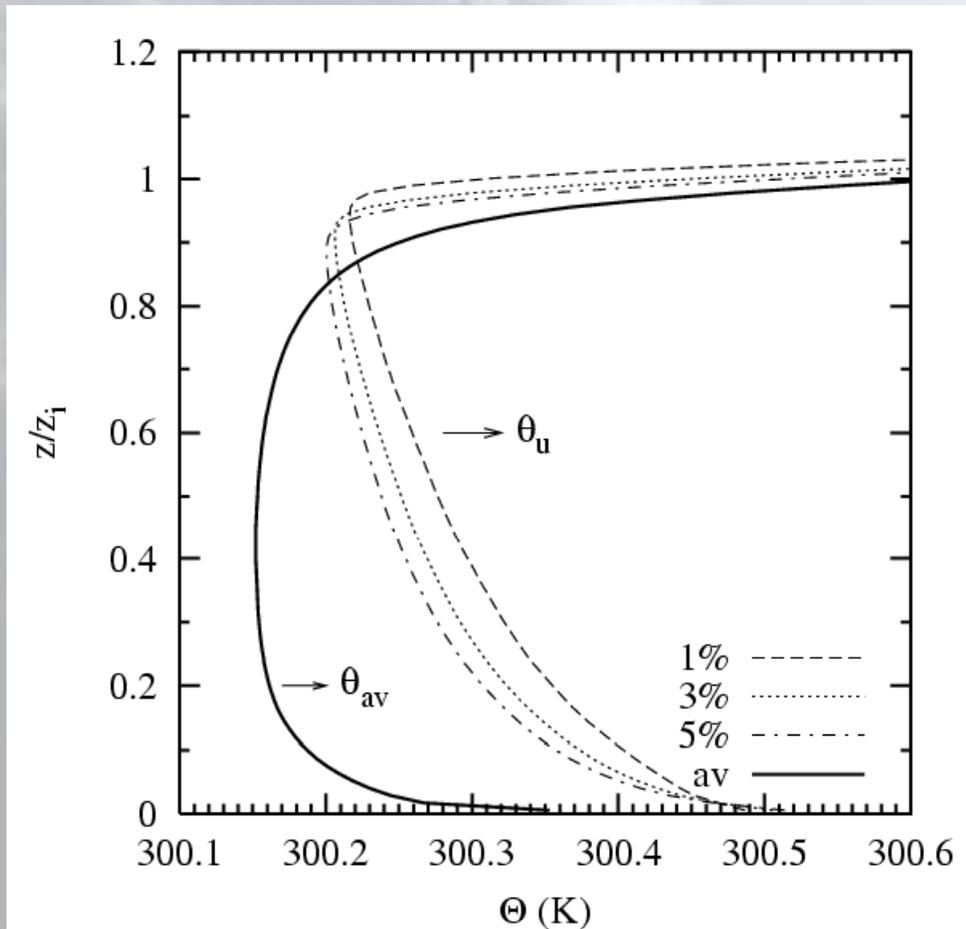
Cloud water - prognostic (but empirical)

Cloud fraction - empirical function of RH





Using LES to derive updraft model in dry convective boundary layer.



Updraft at height z
composed
of those grid points
that contain the highest $p\%$
of the vertical velocities:
 $p=1\%, 3\%, 5\%$:

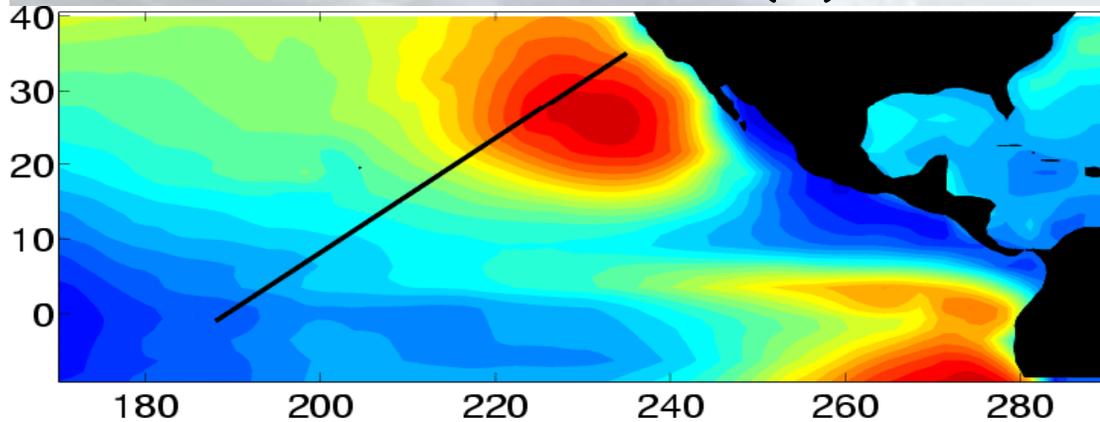


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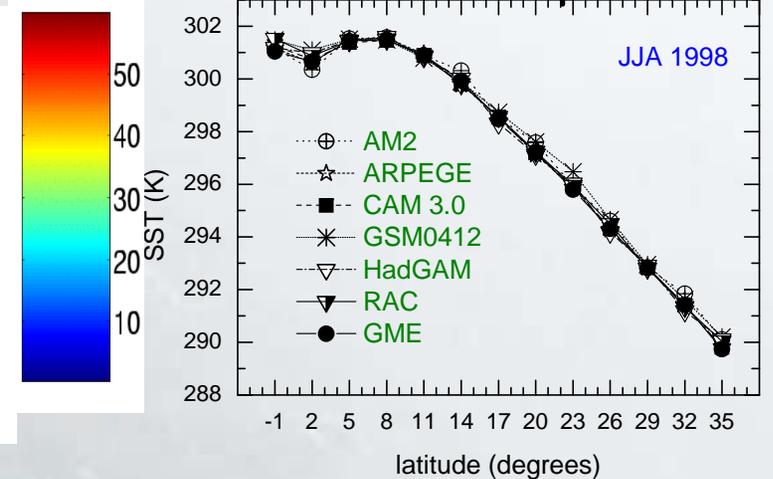
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GCSS Pacific Cross-section Intercomparison (GPCI): Tropical and subtropical cloud regime transitions

ISCCP Low Cloud Cover (%)



Sea Surface Temperature



Courtesy C. Hannay

GCSS/WGNE Pacific Cross-section Intercomparison (GPCI) is a working group of the GEWEX Cloud System Study (GCSS)

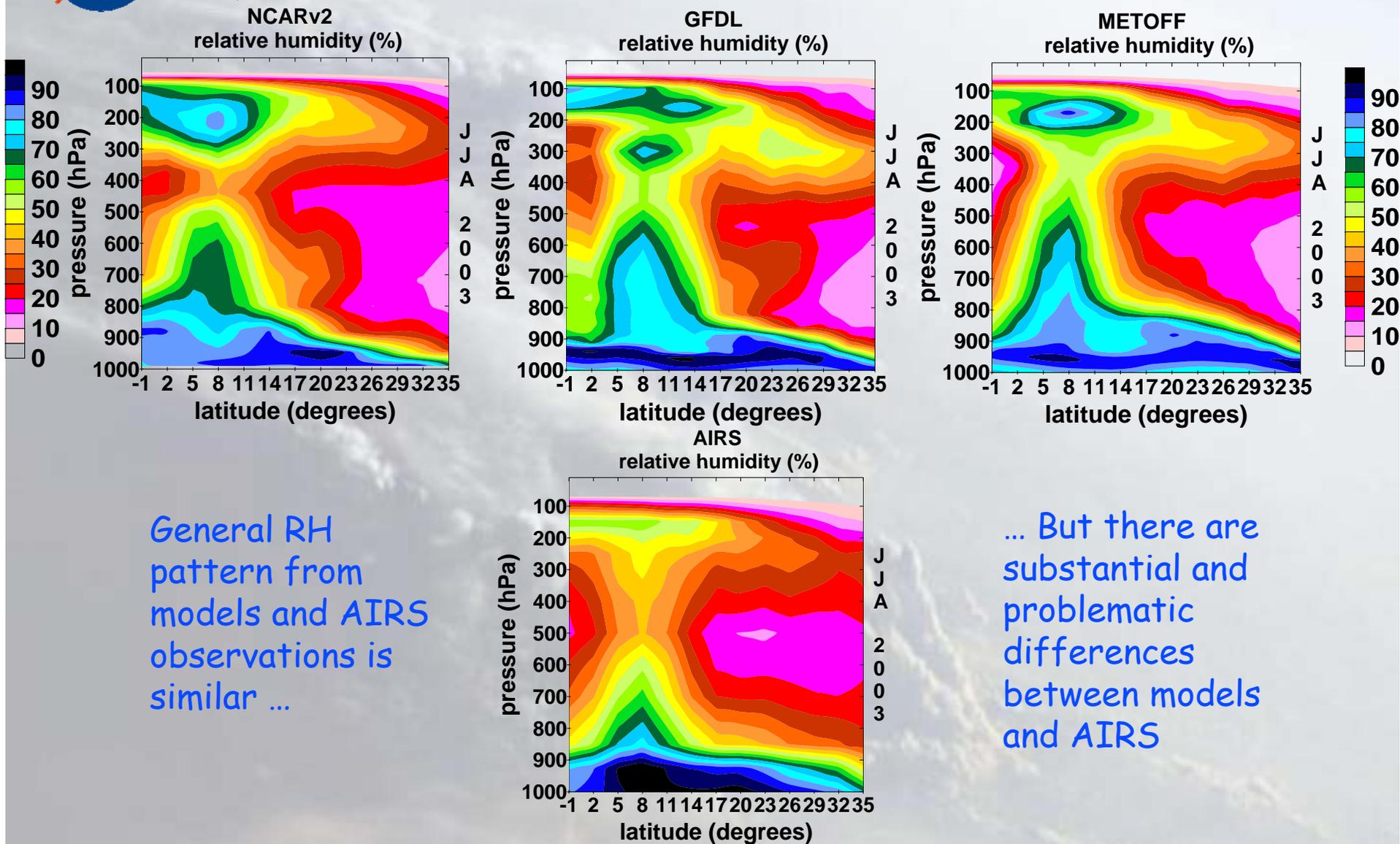
Models and observations are analyzed along a transect from stratocumulus, across shallow cumulus, to deep convection

Models: GFDL, NCAR, UKMO, JMA, MF, KNMI, DWD, NCEP, MPI, ECMWF, BMRC, NASA/GISS, UCSD, UQM, LMD, CMC, CSU, GKSS



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GPCI mean relative humidity - JJA 2003



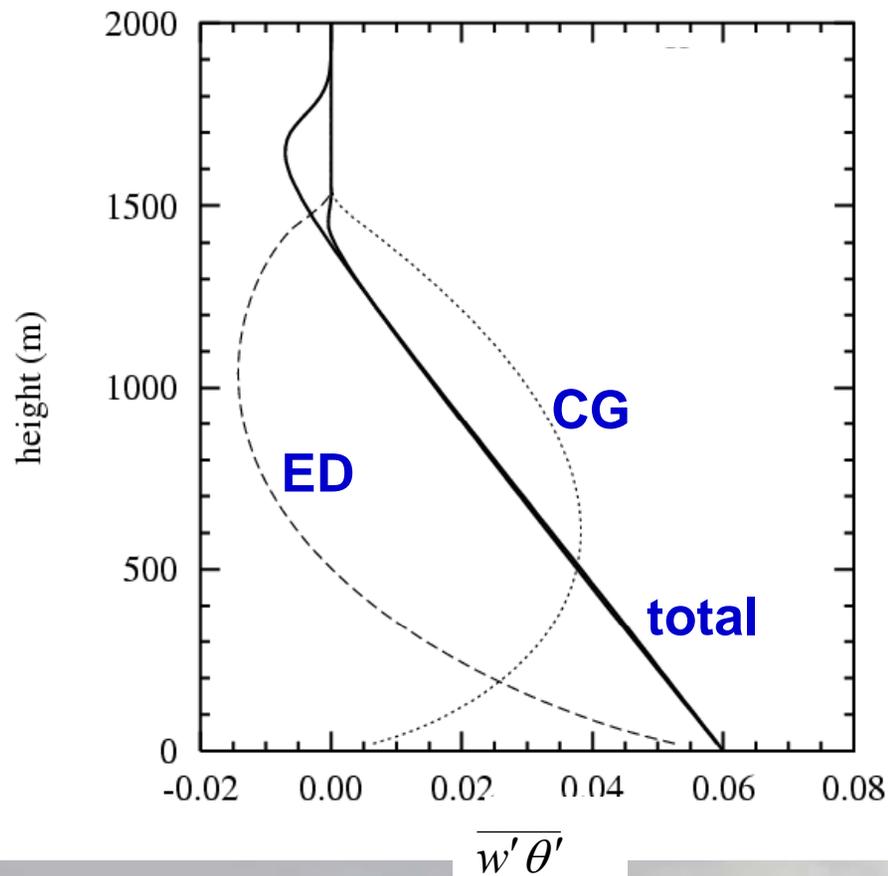
General RH pattern from models and AIRS observations is similar ...

... But there are substantial and problematic differences between models and AIRS



What is the problem with the eddy-diffusivity + counter-gradient approach?

Decomposing the eddy-diffusivity (ED)
and counter-gradient (CG) terms



$$\overline{w'\theta'} = -K \frac{\partial \bar{\theta}}{\partial z} + K\gamma$$

Very small entrainment flux
because counter-gradient
(CG) term cancels ED-term



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GPCI - 23 participating models

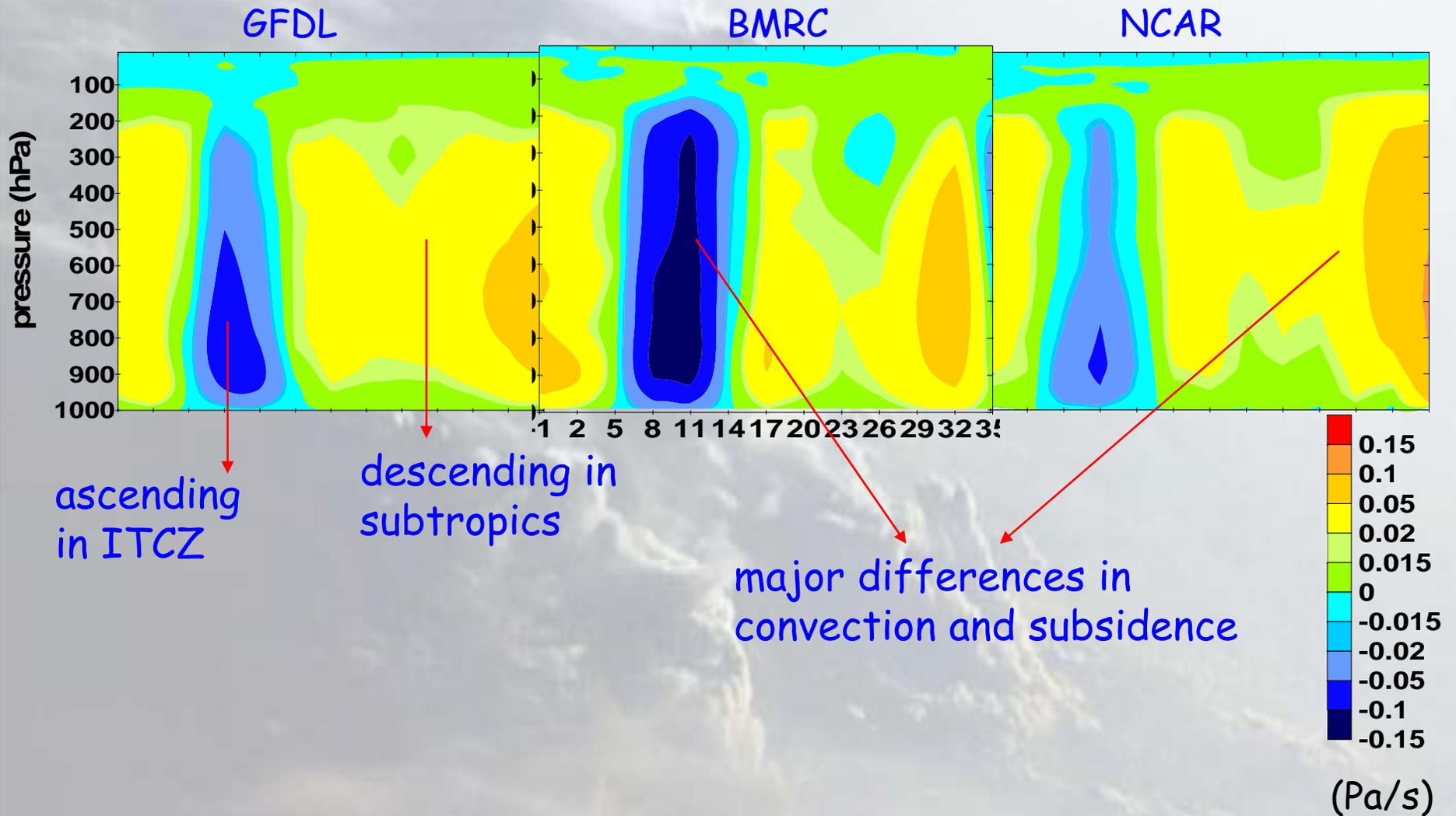
Model Results		
<i>Organization</i>	<i>Model</i>	<i>Type</i>
BMRC (Aus)	BAM 4.0.21	Global
CCC (Can)	CCCma	Global
CMC (Can)	GEM	Regional
CSU/BUGS (US)	BUGS	Global
CSU/MMF (US)	MMF	Global/MMF
DWD (Ger)	GME	Global
ECMWF (UK)	ECMWF	Global
ETH / MPI (Ger)	ECHAM5	Global
GFDL (US)	AM2p12b	Global
GKSS (Ger)	CLM	Regional
JAMSTEC (Jap)	AFES2	Global
JMA (Jap)	GSM0412	Global
KNMI (Ned)	RAC	Regional
LMD (Fra)	LMDZ4	Global
MeteoFrance (Fra)	ARPEGE	Global
NASA/GISS (US)	GISS III 3.3	Global
NCAR (US)	CAM 3.0	Global
NCEP (US)	GFS&MOM3	Global Coupled
NCEP (US)	GFS	Global
UCLA (US)	UCLAtm7.3	Global
UCSD (US)	RSM	Regional
UKMO (UK)	HadGAM	Global
UQM (Can)	CRCM	Regional



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GPCI: JJA98 mean vertical velocity

All models exhibit Hadley-circulation-like features...

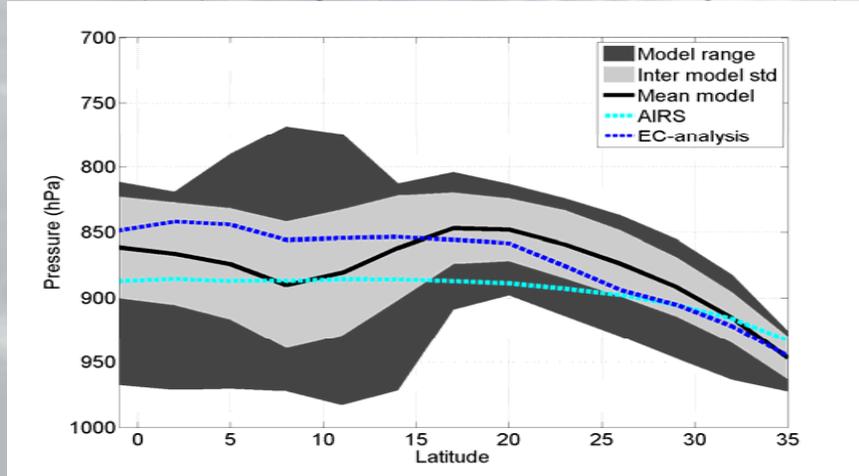




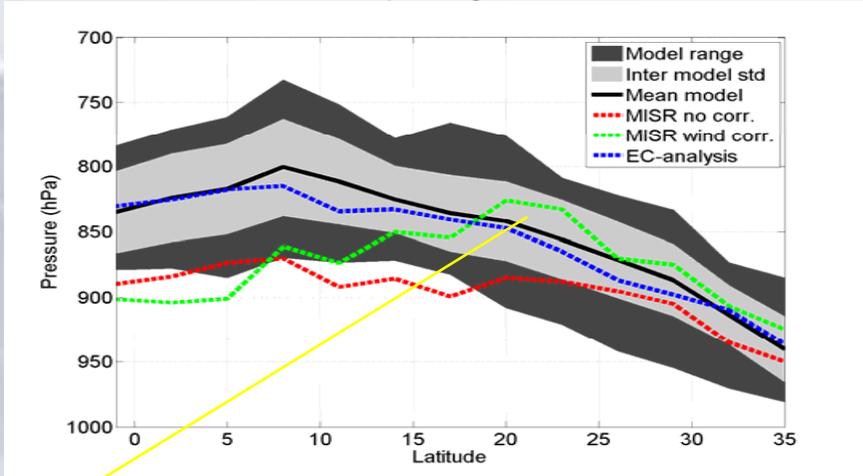
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Characterizing the transition: evolution of boundary layer and cloud top height

Boundary layer height (altitude of max RH gradient)

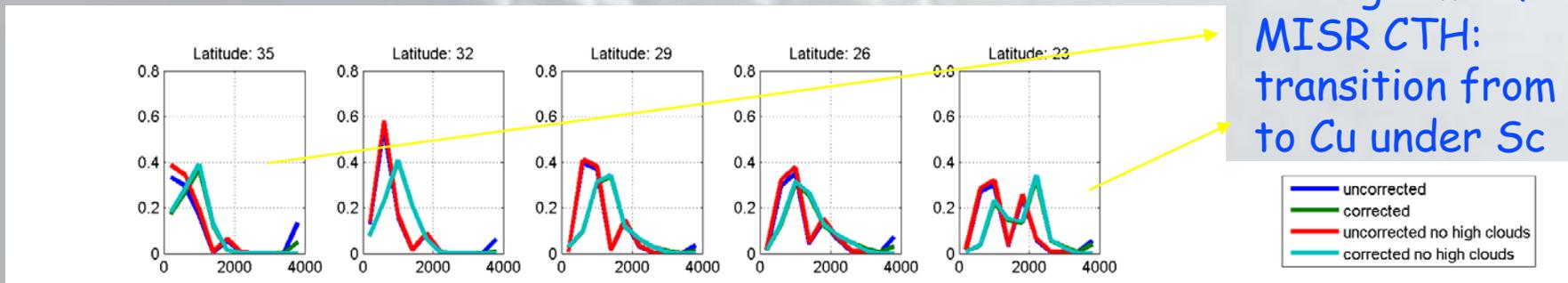


Cloud top height



MISR tracks EC while there are stratocumulus

Histograms of MISR CTH: transition from Sc to Cu under Sc



Satellite observations can characterize well PBL and cloud top height

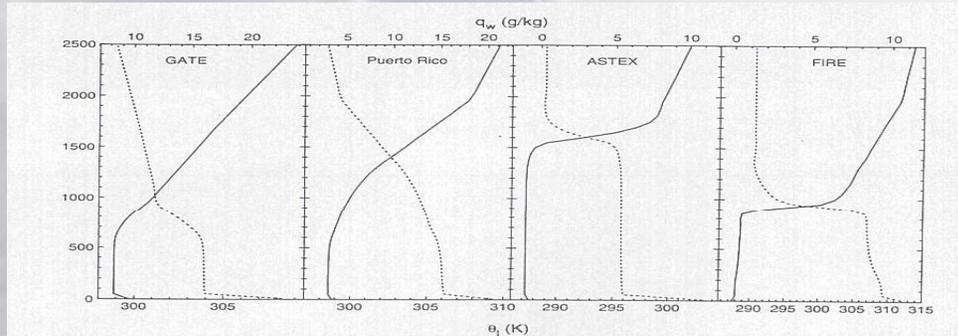


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Large-Eddy Simulation (LES) studies for cloud parameterization development

LES models (high-resolution models $\Delta x \sim 10\text{-}100$ m that partially resolve turbulent/convective flow) are used to study the cloudy boundary layer

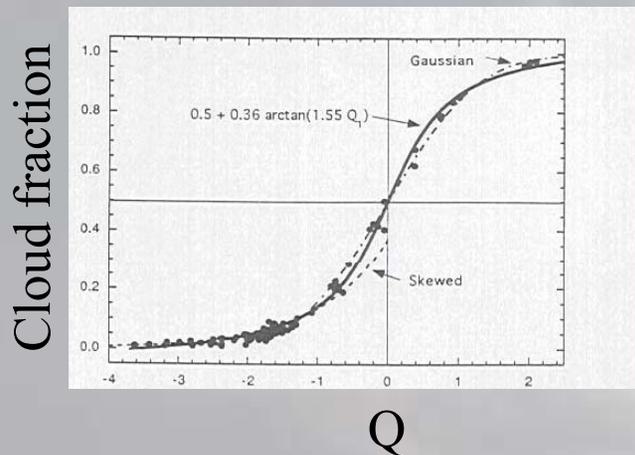
two cumulus cases: 1) GATE, 2) Puerto Rico



two stratocumulus cases: 1) ASTEX, 2) FIRE

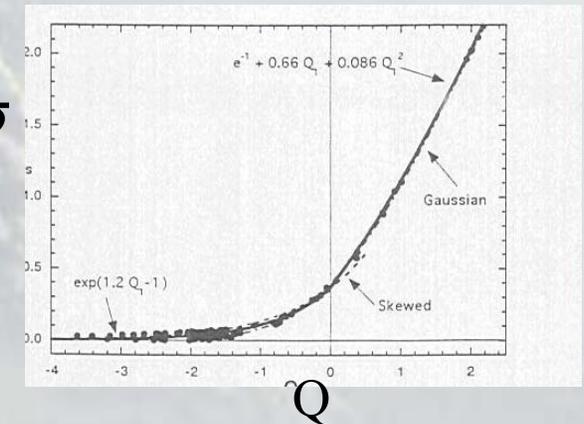
Cuijpers and Bechtold, 1995

LES is used to obtain cloud fraction and liquid water parameterizations as a function of $Q = \frac{q_t - q_s}{\sigma}$



$$a = 0.5 + \alpha \arctan\left(\gamma \frac{q_t - q_s}{\sigma}\right)$$

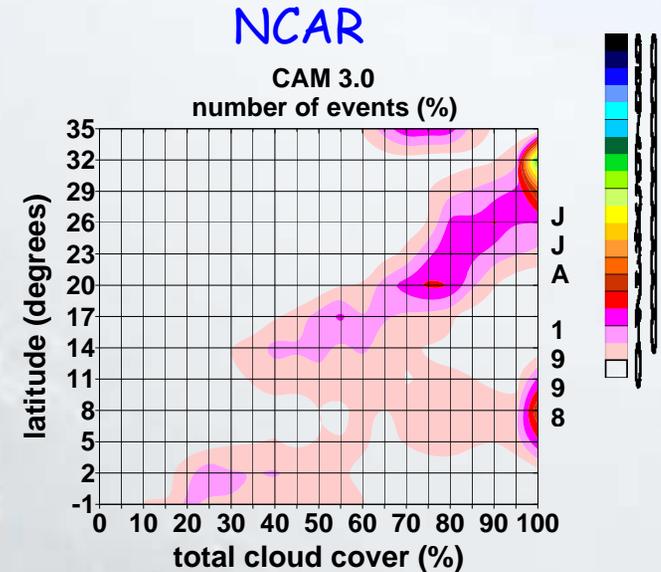
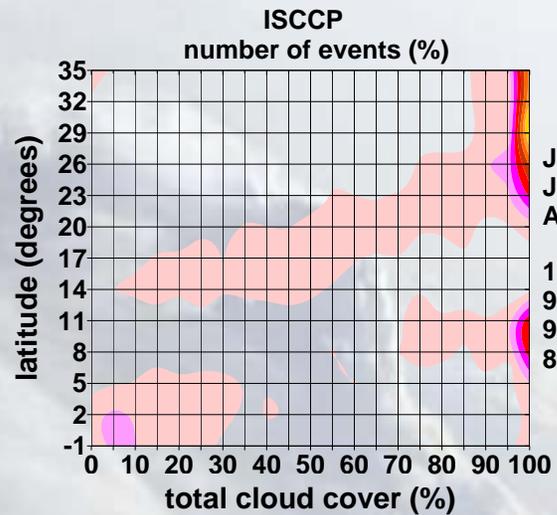
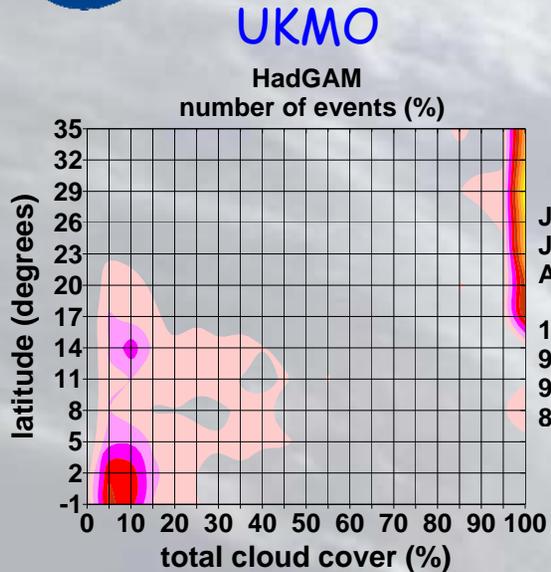
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Characterizing the transition: histograms of cloud cover



ISCCP is between continuous and bimodal

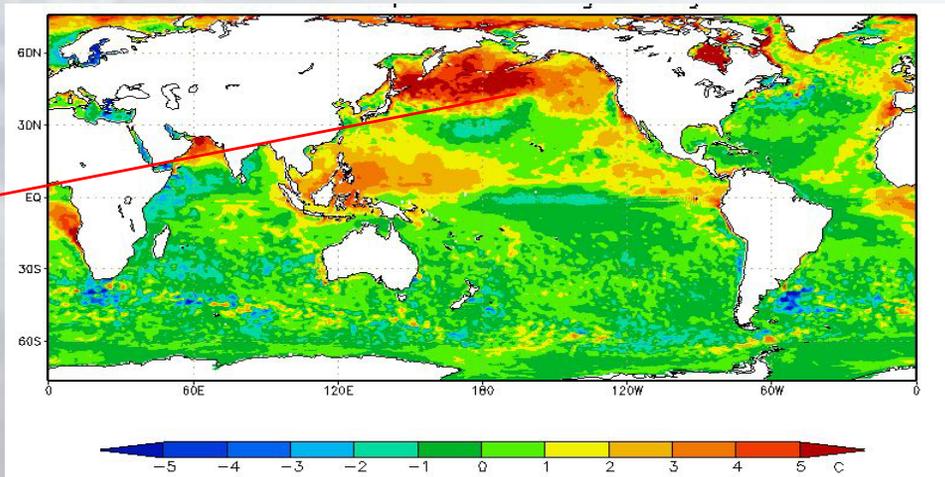
- NCAR low cloud parameterization is partly based on "climatology" => continuous transition
- UKMO (and partly GFDL) cloudy-PBL parameterizations are based on the idea of distinct-regimes => discontinuous transition
- ISCCP suggests that none of these two "extreme" concepts is fully valid => relevant for parameterization development



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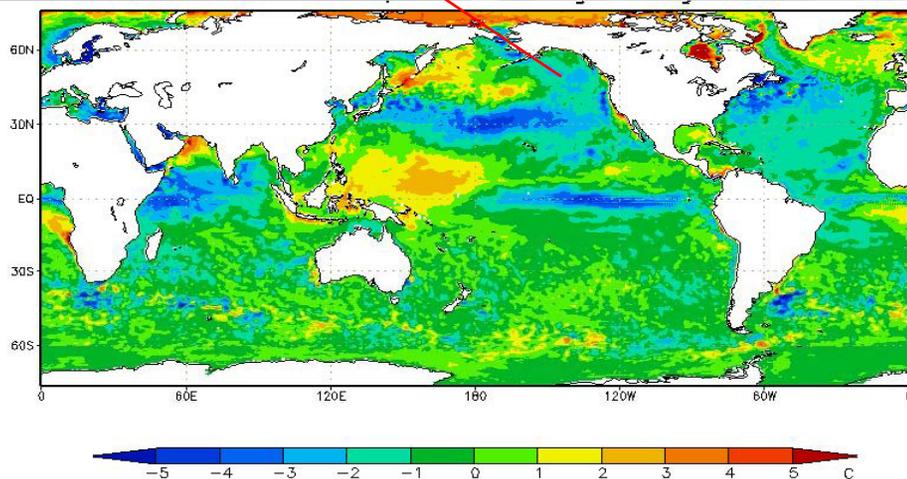
SST sensitivity to cloud parameterization



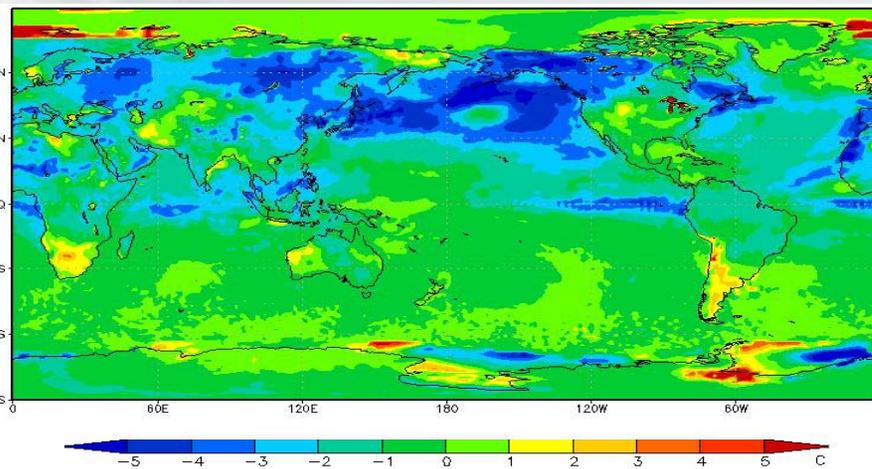
Models and
observations
for Aug. 2004

Large SST warm
biases reduced by
new model

SST: old_model - analysis



SST: new_model - analysis



SST: new_model - old_model