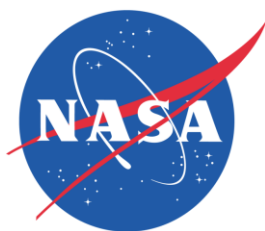


## Recap of fluorescence retrieval techniques from ground and space (I/II)



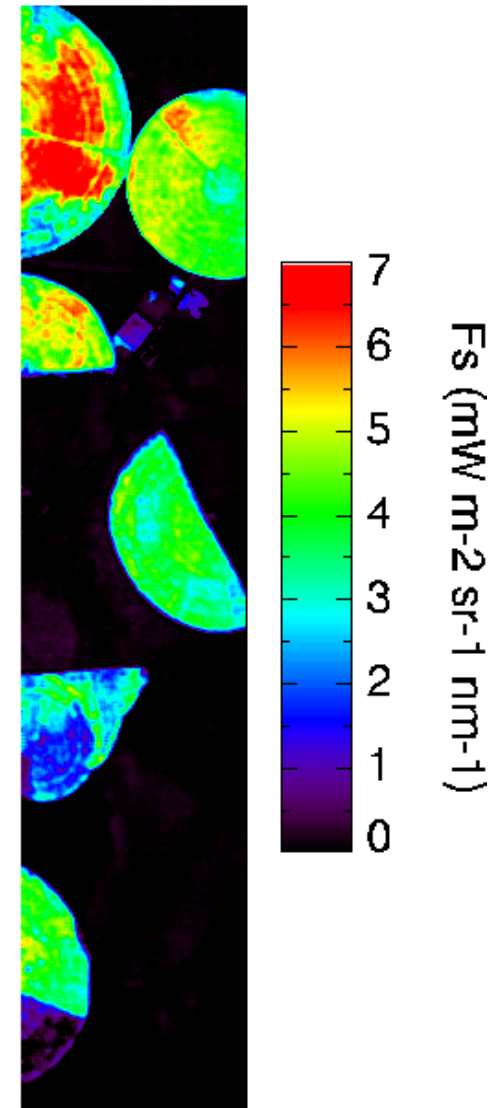
**Luis Guanter**  
Free University of Berlin, Germany

**Joanna Joiner**  
NASA Goddard Space Flight Center, USA

**Christian Frankenberg**  
NASA Jet Propulsion Laboratory, USA

## ■ This talk: Retrieval of sun-induced chlorophyll fluorescence (Fs) from passive measurements under field conditions

- Overview of Fs retrieval techniques at ground-, airborne and space-based scales provided
- Active (PAM-like) and lab-based experiments not covered!
- Focus on Fs retrieval rather than on the “biophysical” lessons extracted from the measurements
- Focus on retrieval techniques rather than on listing all experiments and measurements set-ups



# The Fraunhofer Line Discriminator MKII—An Airborne Instrument for Precise and Standardized Ecological Luminescence Measurement

JAMES A. PLASCYK AND FRED C. GABRIEL, MEMBER, IEEE

**Abstract**—The Fraunhofer line discriminator Mark II (FLD-II) is an airborne photometric instrument for the remote measurement, on a precise and quantitative scale, of solar-stimulated luminescence. The luminescence may originate in such diverse sources as oil spills and chemical pollutants, the chlorophyll of normal or stressed vegetation, and fluorescent tracer dyes used to study current flow and dispersion in large bodies of

strumment is the precise quantitative distinction between reflected sunlight and luminescence, which may be orders of magnitude weaker.

## II. OPERATING PRINCIPLES

An elegant technique, first devised by lunar astrono-

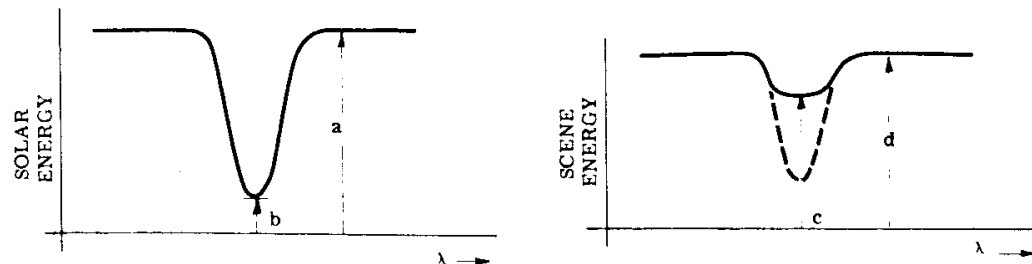
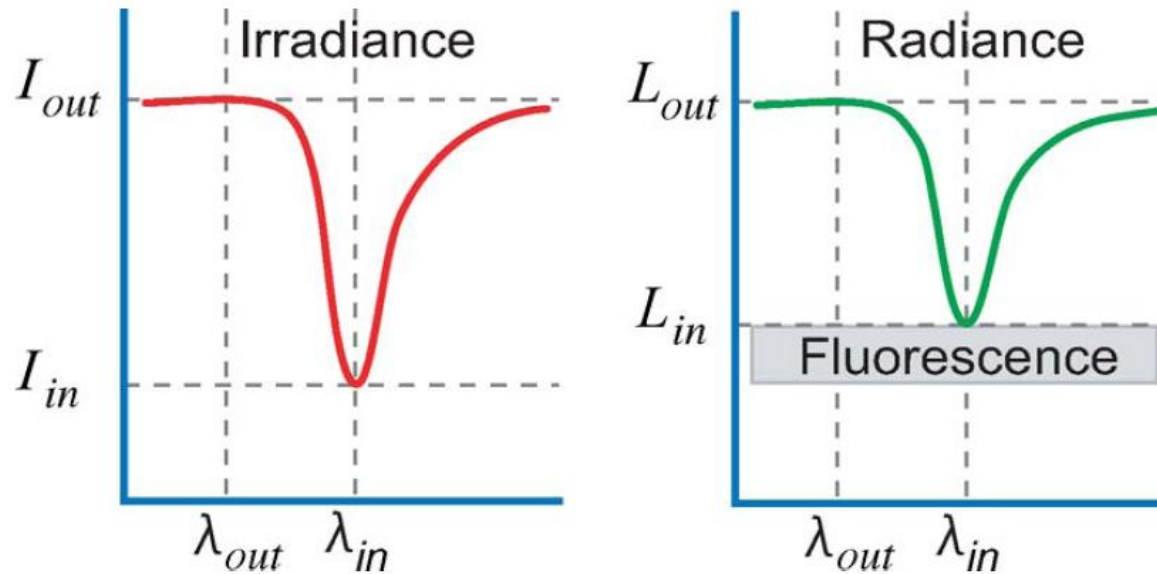


Fig. 1. Solar and scene spectral distribution.

# The Fraunhofer Line Discriminator (FLD) principle

Alonso et al., IEEE GRSL, 2008



$$\left. \begin{aligned} L_{in} &= R_{in} \cdot I_{in} + f_{in} \\ L_{out} &= R_{out} \cdot I_{out} + f_{out} \end{aligned} \right\}$$
$$R_{in} = R_{out} \quad f_{in} = f_{out}$$



$$f = \frac{I_{out} \cdot L_{in} - L_{out} \cdot I_{in}}{I_{out} - I_{in}}$$

- **Large family of FLD-like methods**, as a function of number of reference channels (e.g. 3FLD) or constraints in  $R_{in}/R_{out}$  and  $f_{in}/f_{out}$  (e.g. iFLD)
- **Field & airborne scales**: typically O<sub>2</sub> A-band measurements & medium spectral resolution (ASD FieldSpec Spectroradiometer<sup>©</sup>, FWHM~3 nm, 1 only measuring channel)

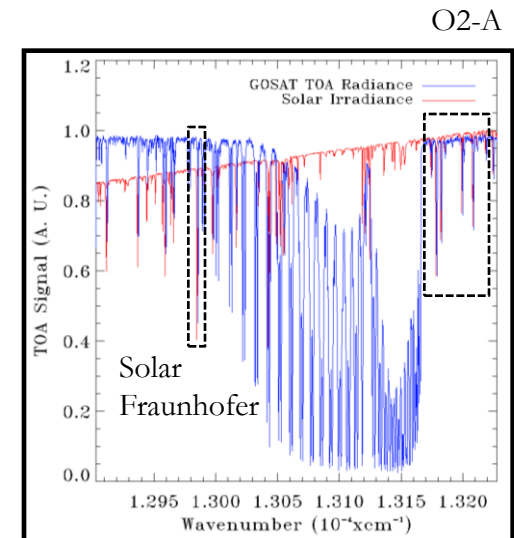
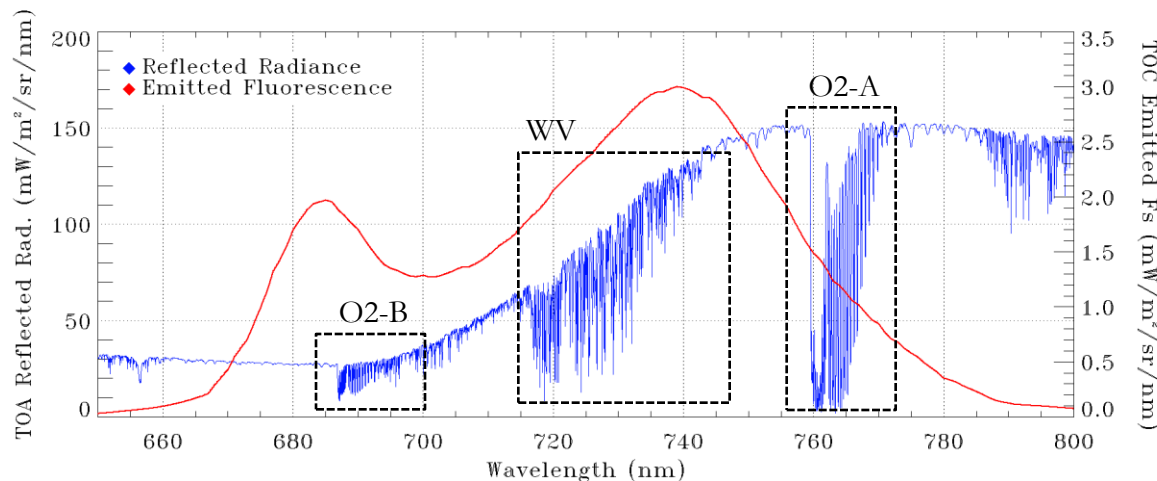
# Absorption features for Fs retrieval: solar & atmospheric

## 1. Retrieval with O<sub>2</sub> bands (FWHM ~0.1-1.0 nm)

- **Pros:** able to sample the two Fs peaks (687 and 760 nm), high SNR, very convenient for ground-based measurements.
- **Cons:** for airborne/space retrievals, very complex atmospheric modelling, prone to systematic errors.

## 2. Retrieval with solar lines (FWHM~0.01-0.1 nm)

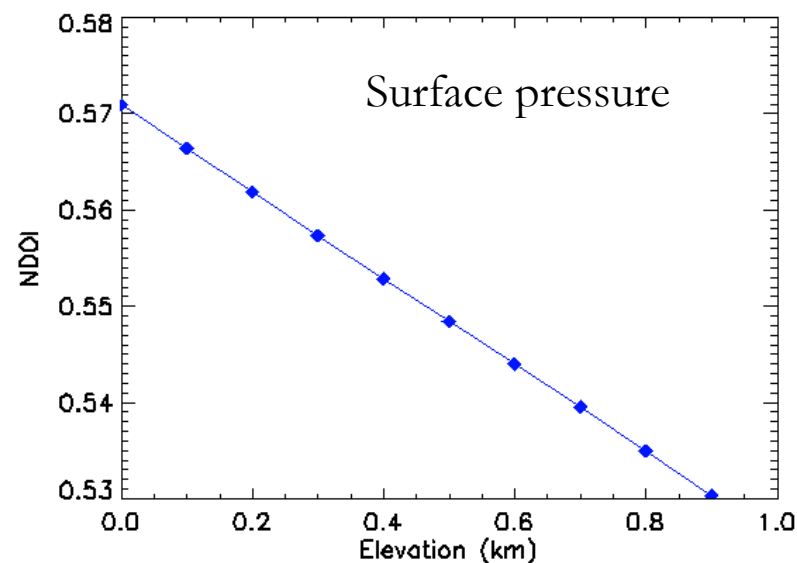
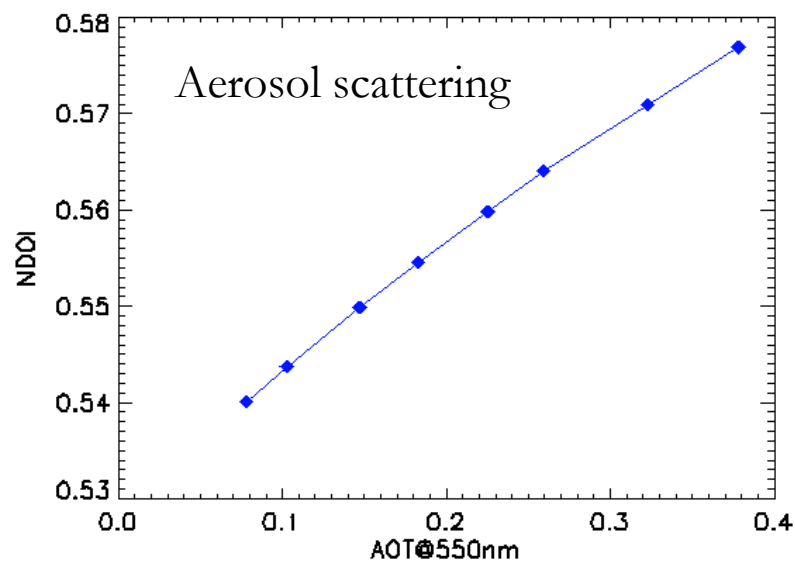
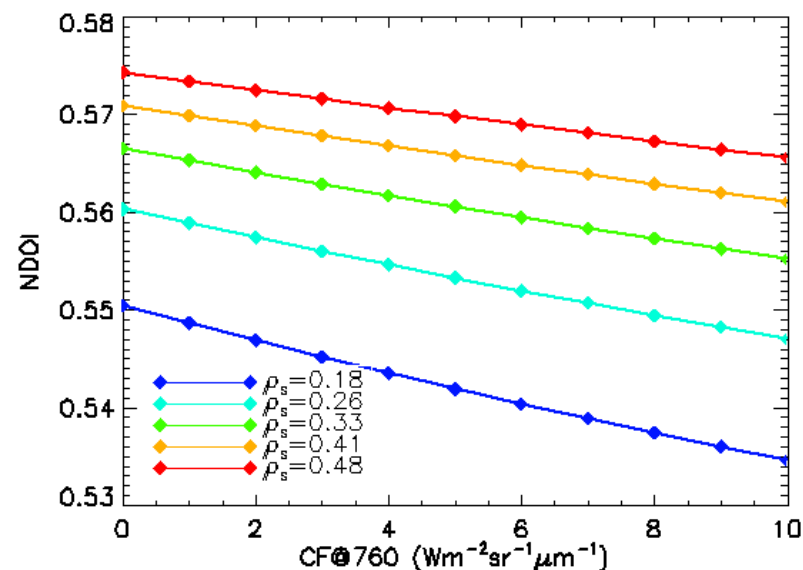
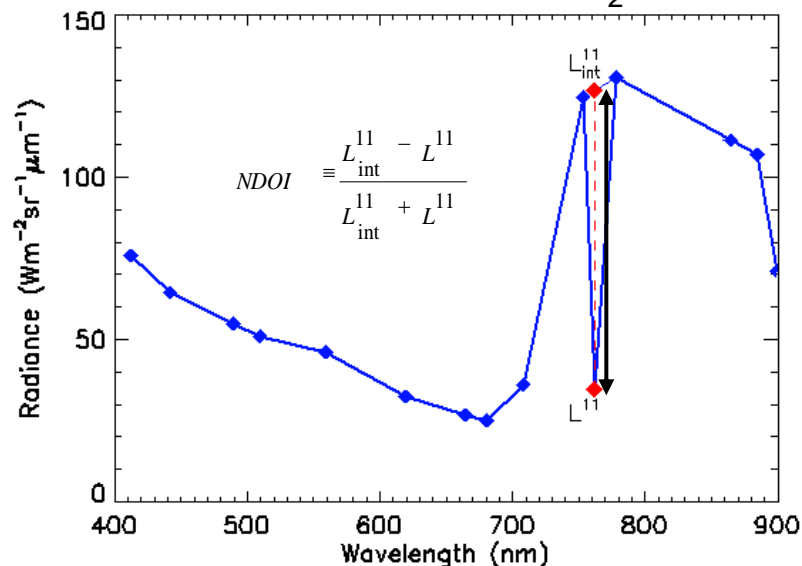
- **Pros:** simple modelling, insensitive to atmospheric scattering.
- **Cons:** high sensitivity to noise, and Fs sampled in one spectral point.



O<sub>2</sub>-A

# Uncertainty in O<sub>2</sub>-A in-filling due to atmospheric scattering

Normalize Differences at O<sub>2</sub>-A Index





# A reference work for ground & airborne Fs retrievals: Maier et al. (2003)

Maier, S. W., Günther, K. P., & Stellmes, M. (2003). Sun-induced fluorescence: A new tool for precision farming. In T. VanToai, D. Major, M. McDonald, J. Schepers, & L. Tarpley (Eds.), *Digital imaging and spectral techniques: Applications to precision agriculture and crop physiology* (pp. 209–222). Madison: American Society of Agronomy.

## 16 Sun-Induced Fluorescence: A New Tool for Precision Farming

Stefan W. Maier

Department of Land Information  
Wembley, Western Australia

Kurt P. Günther

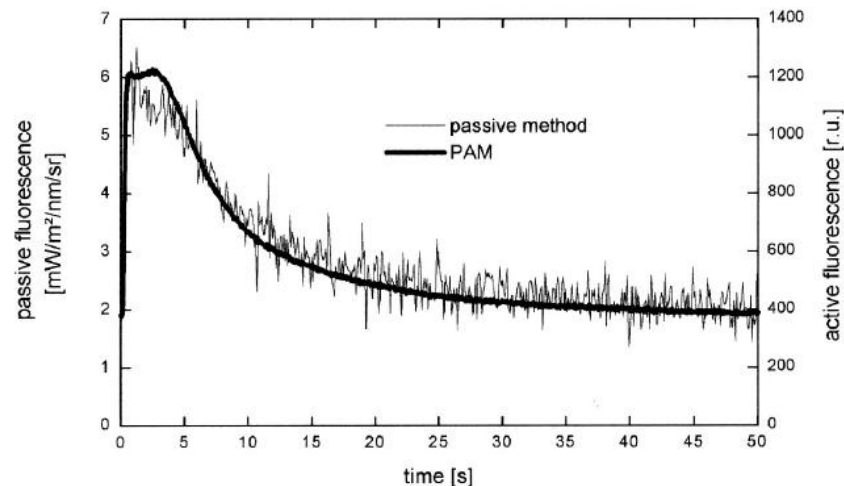
German Aerospace Center  
Wessling, Germany

Marion Stellmes

University Trier  
Trier, Germany

### ABSTRACT

Results of ground based experiments on spectral reflectance of dock leaves using a ZEISS spectrometer MCS501 UV-NIR with 2.5 nm resolution clearly show the well known induction kinetics of chlorophyll fluorescence when dark adapted leaves were exposed to



$$L_{\lambda} = \frac{R E_{\lambda}^0}{\pi} + L^{\text{fluorescence}}$$

$$L^{\text{fluorescence}} = \frac{L_1 - \frac{E_1^0}{E_2^0} L_2}{1 - \frac{E_1^0}{E_2^0}}$$

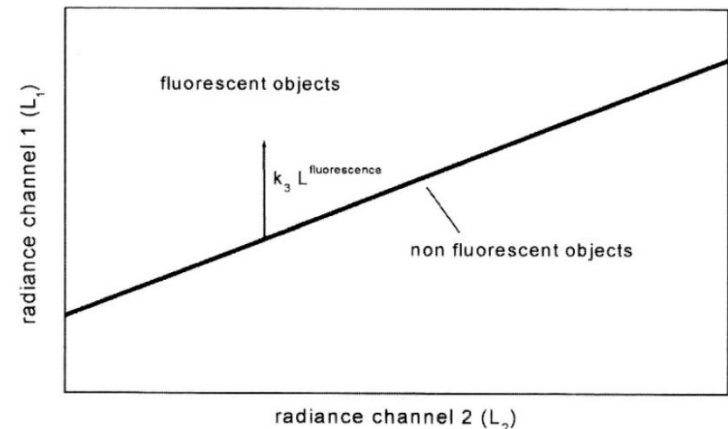
**Ground**

**Airborne  
& Space**

$$L_{\lambda} = \left( \frac{R E_{\lambda}^0}{\pi} + L^{\text{fluorescence}} \right) T_{\lambda} + L_{\lambda}^{\text{path}}$$

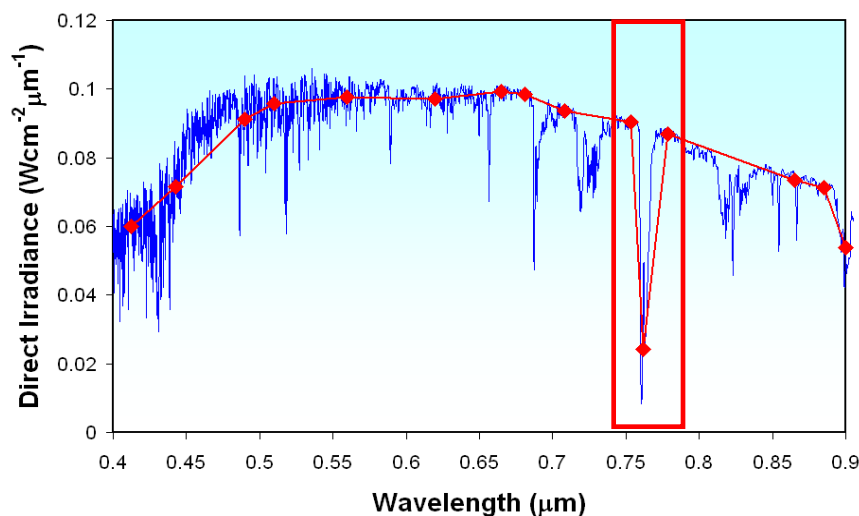
$$k_3 L^{\text{fluorescence}} = L_1 - k_1 L_2 - k_2$$

$$k_1 \equiv \frac{E_1^0 T_1}{E_2^0 T_2}; k_2 \equiv L_1^{\text{path}} - k_1 L_2^{\text{path}}; k_3 \equiv T_1 - k_1 T_2$$



# Experimental Retrievals with the FLD principle and the O<sub>2</sub>-A band

SATELLITE – ENVISAT/MERIS



**Adequate band configuration:**

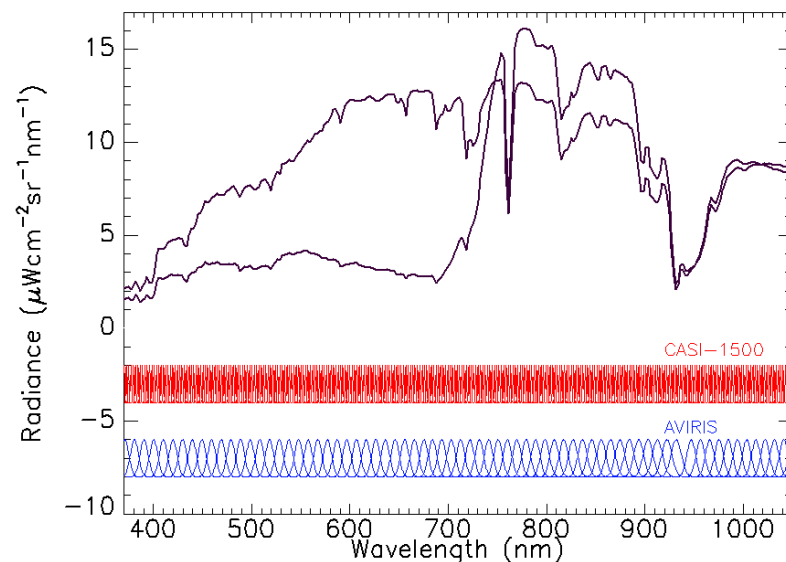
Band 10th: @753.5 nm, 7.50 nm width

Band 11th: @761.6 nm, 3.75 nm width

**Accurate radiometric and spectral calibration.**

**Enough spatial resolution in FR mode (300m/pixel).**

AIRBORNE – CASI 1500



**Spectral resolution:**

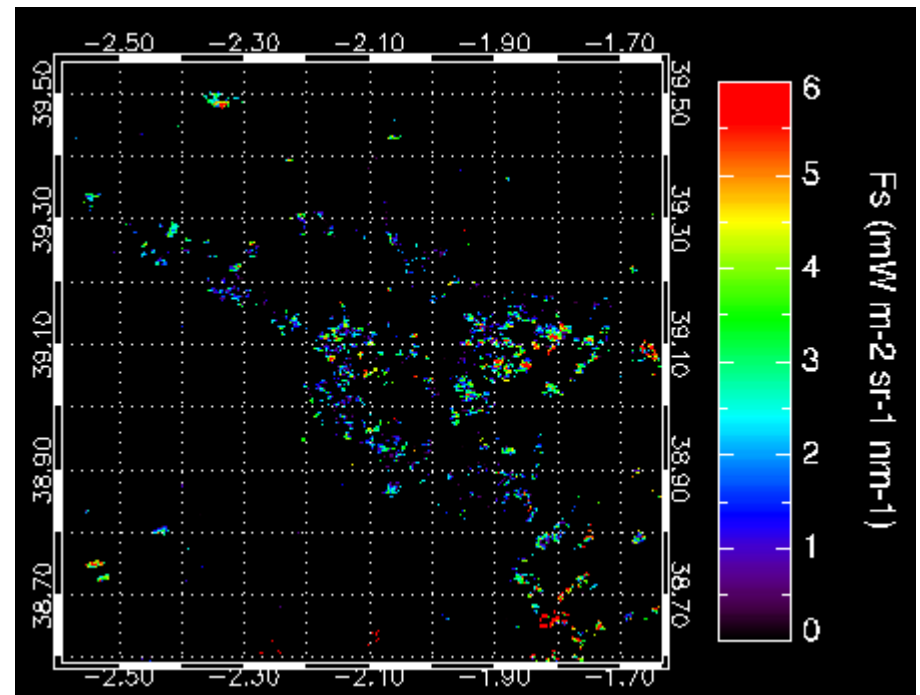
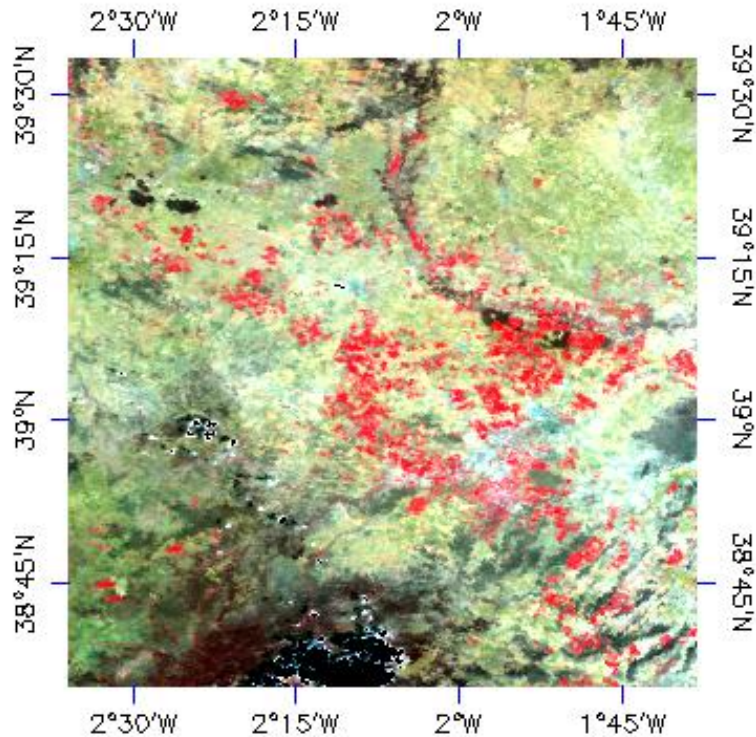
**288 bands from 370 to 1050 nm,  
bandwidth 2.2 nm**

**Spatial resolution: 3m/pixel**



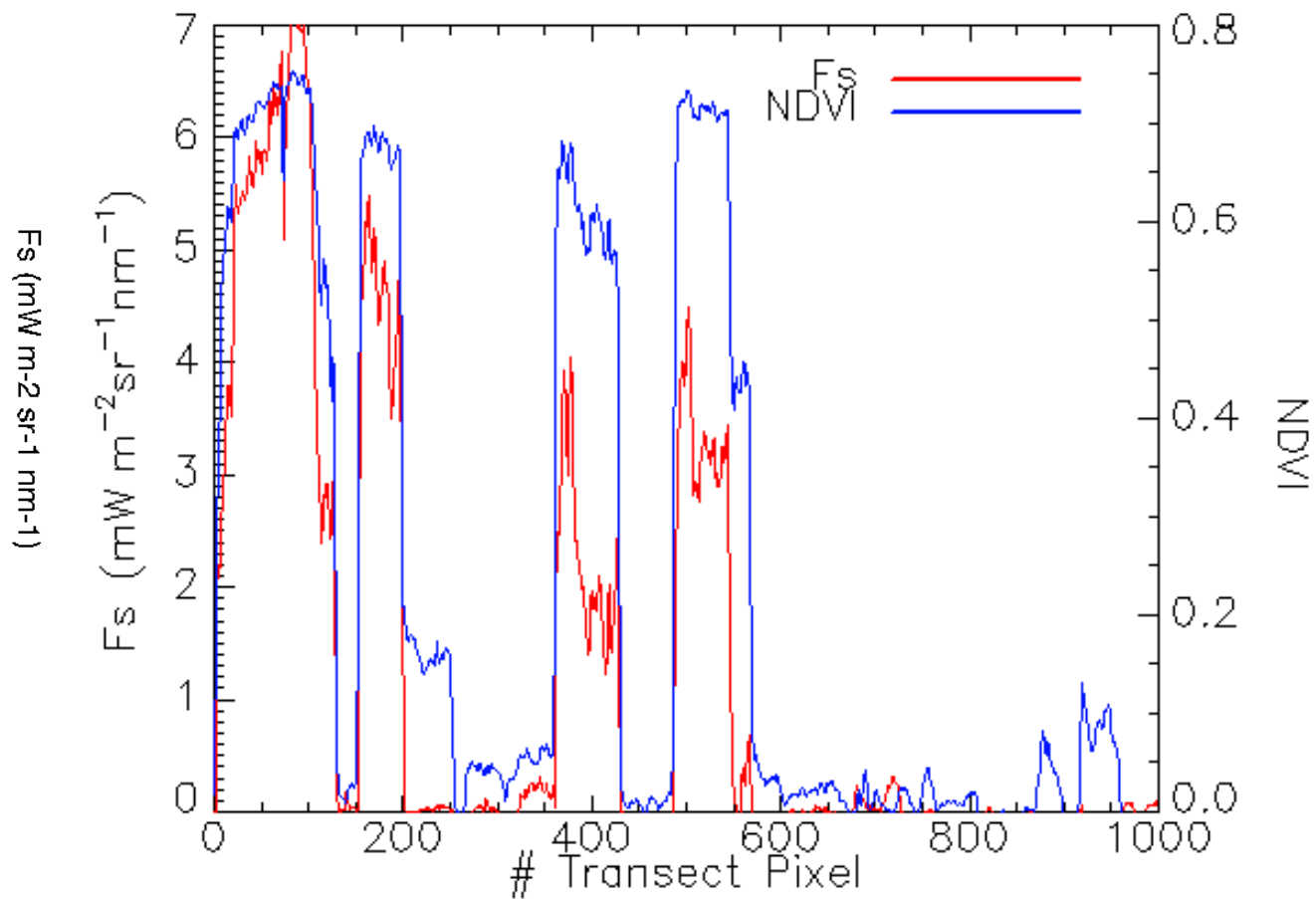
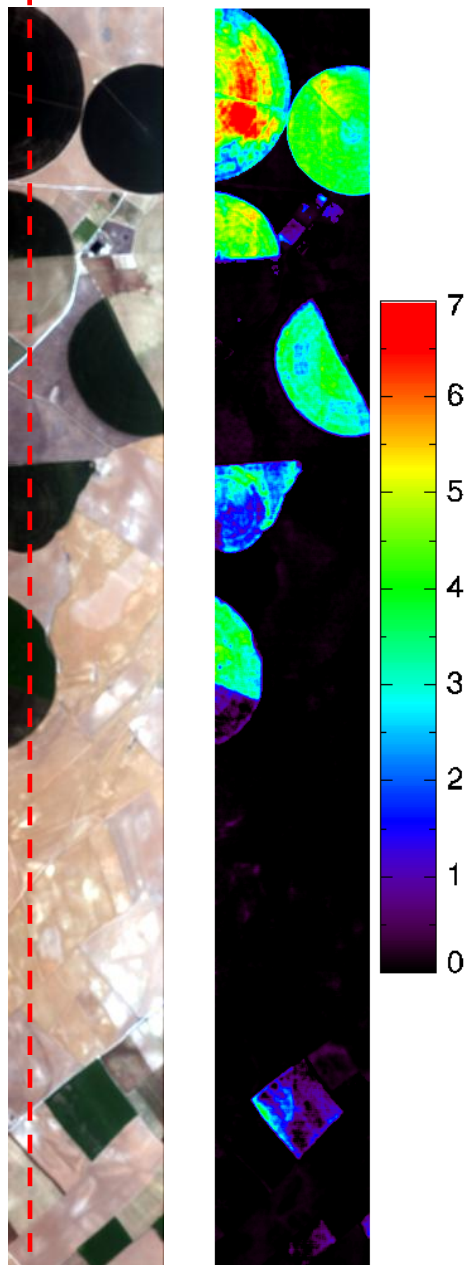
# Fs Retrieval in O2-A with “reference surfaces”

**SPARC Campaign  
Barrax (Spain) 14/7/04**



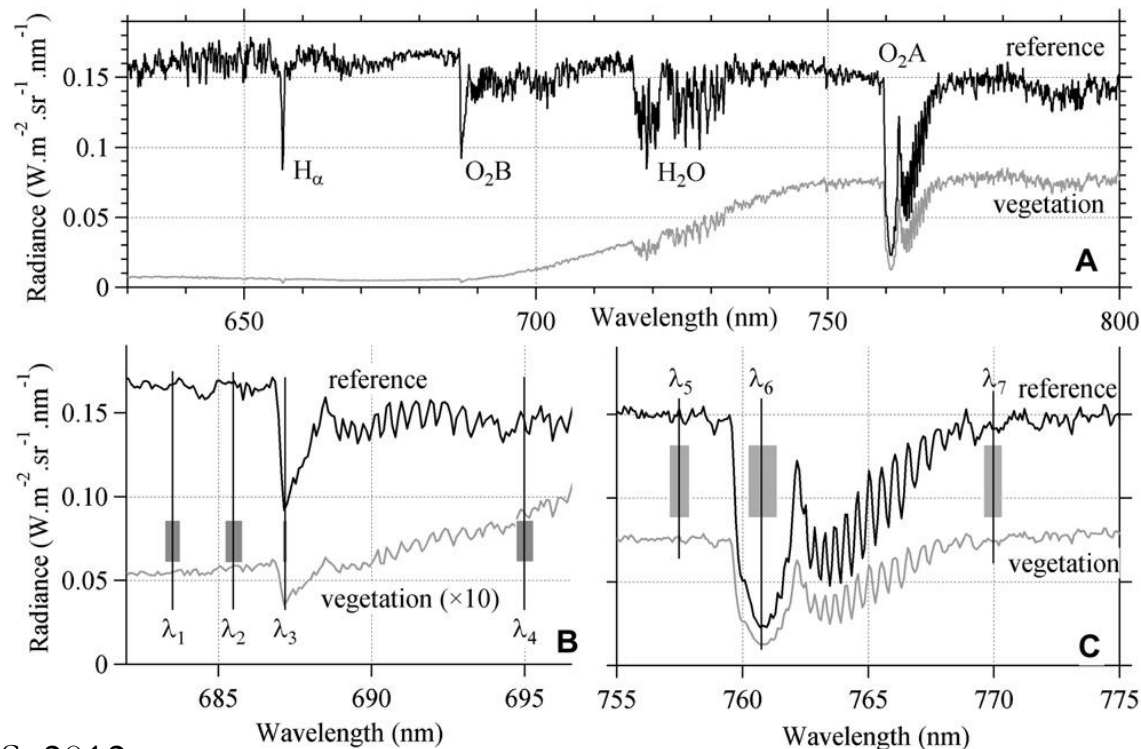
Guanter et al., GRL, 2007

# Comparison of $F_s$ and “greenness”



# High spectral resolution measurements: resolving the O<sub>2</sub> bands

- High resolution (FWHM~0.1nm) measurements for Fs retrieval in the field:
  - Better characterization of the background reflectance (spectrally non-linear in 600-800 nm)
  - Enables retrieval in O<sub>2</sub>-B, which is very difficult with FLD techniques
  - Less sensitivity to instrumental noise

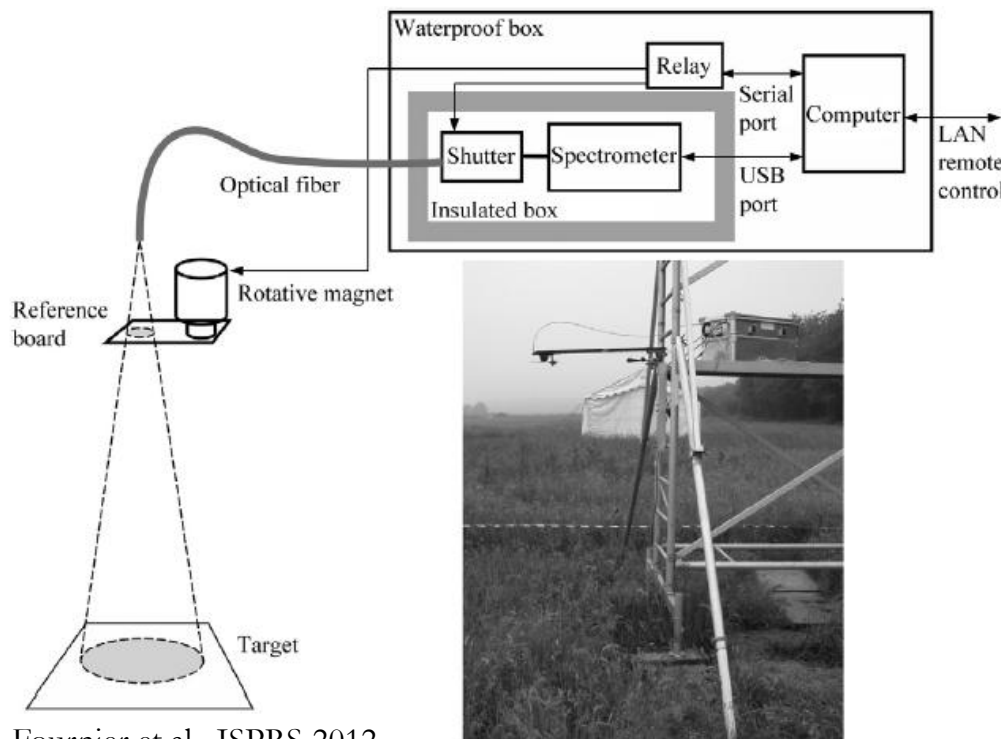


Fournier et al., ISPRS, 2012

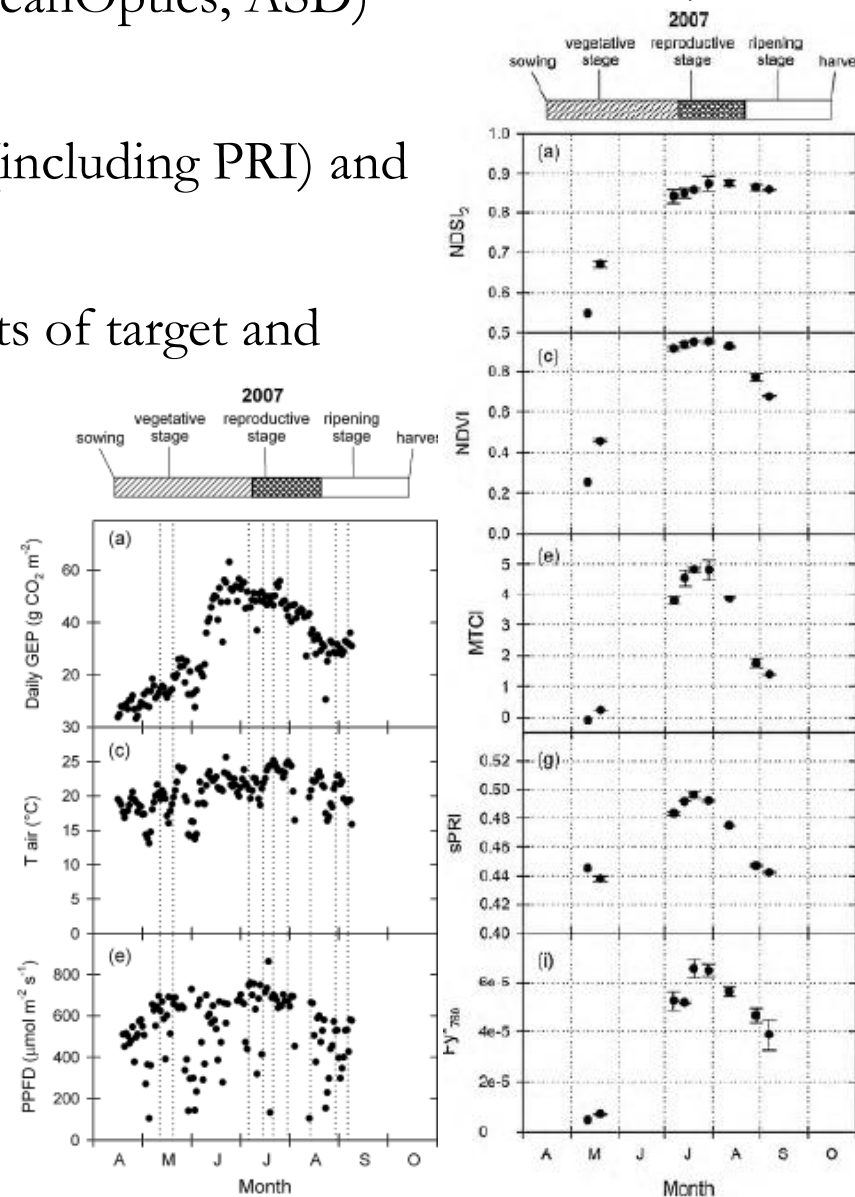
# Towards automatic measurements of Fs at the ground level

- Flux towers + different spectrometers (OceanOptics, ASD) to measure TOC Fs and reflectance
- Temporal series of Fs, reflectance indices (including PRI) and GPP
- Fs retrieval from consecutive measurements of target and reference panel

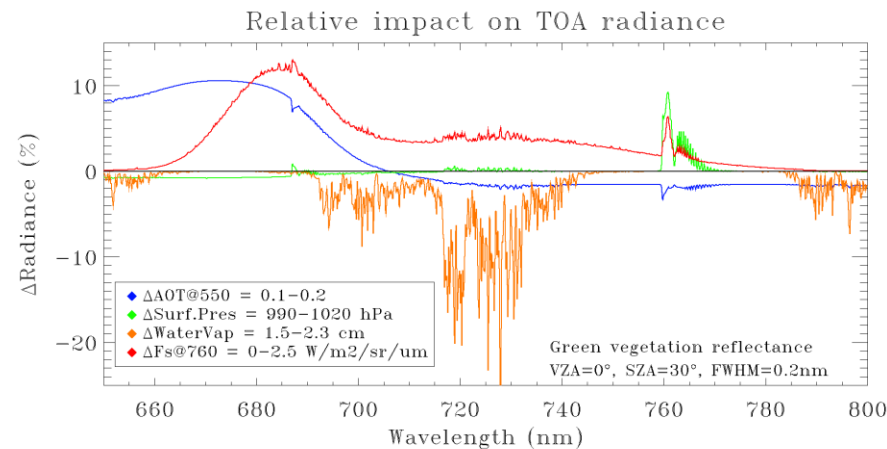
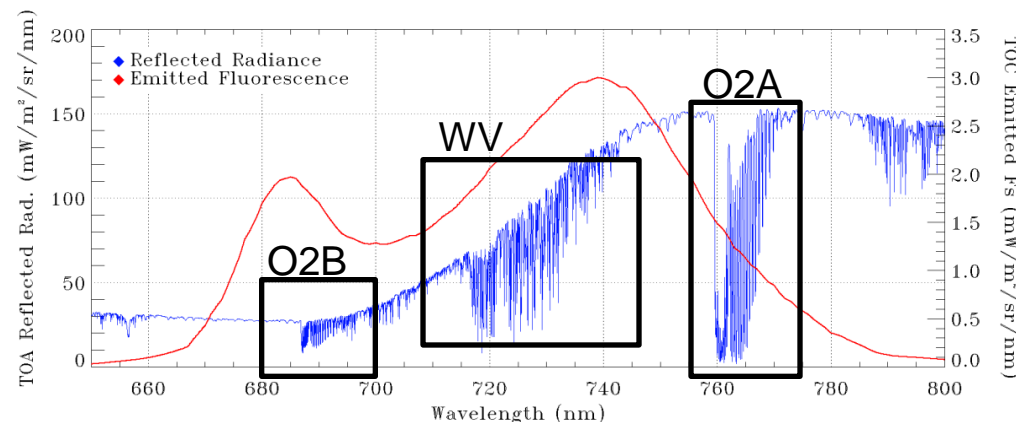
Rossini et al., AFM 2010



Fournier et al., ISPRS 2012

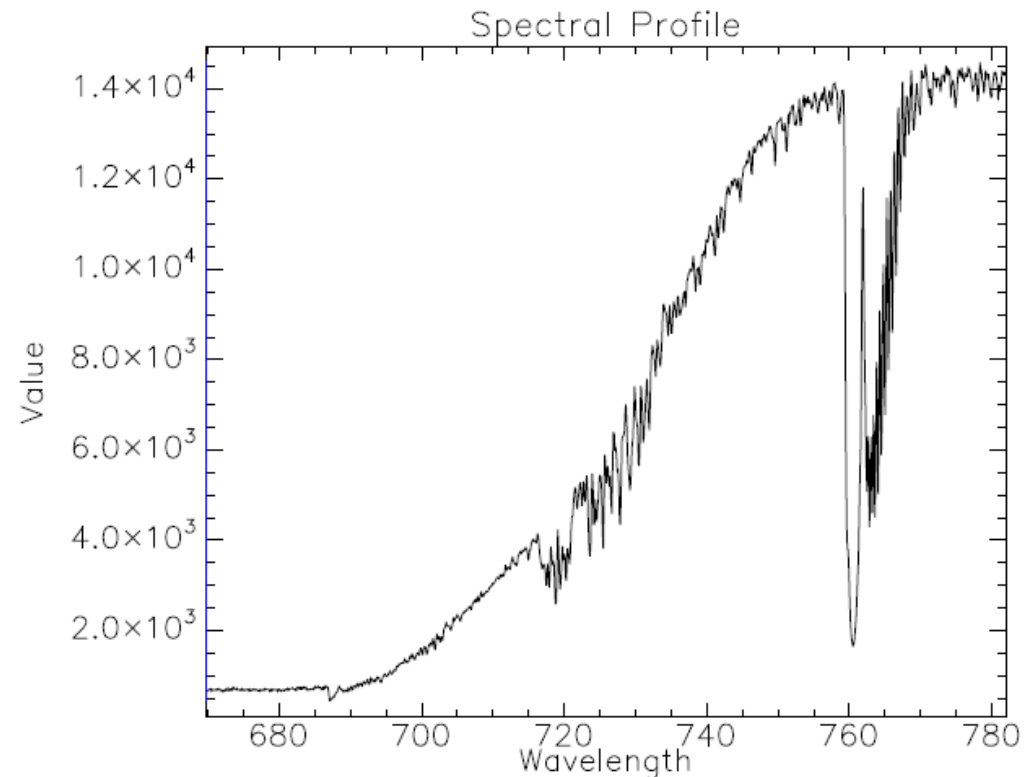
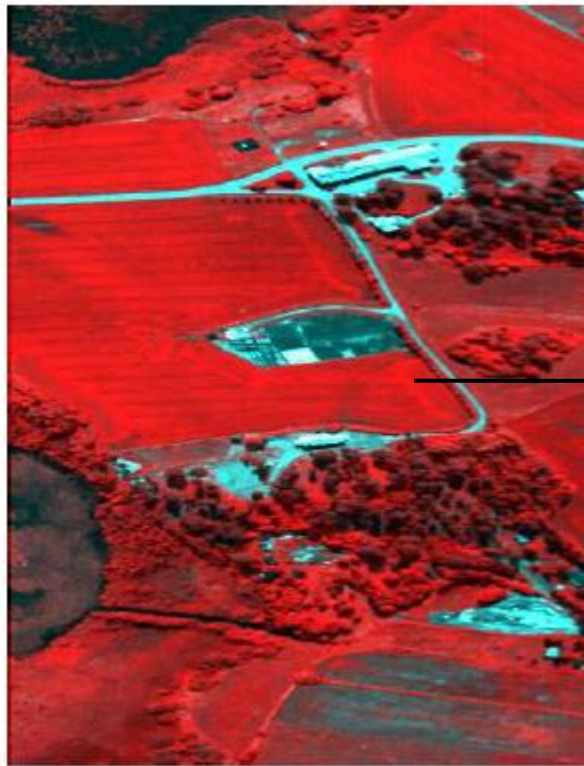


- **FLEX-FLORIS:** spectrally-continuous measurements in 650-800nm with FWHM~0.2nm → sampling O2-A, O2-B
- **Multi-parameter retrieval approach:**
  - Atmospheric absorption features to disentangle solar-reflected from fluorescence signals
  - Consistent inversion of atmospheric/vegetation/instrument parameters:
    - Atmosphere: AOD, aerosol model (SSA & ph. function), aerosol height, surface pressure, water vapour...
    - Surface reflectance: Cab, LAI, soil background, BRDF
  - **Pros:** sampling the entire Fs spectrum, consistent inversion of Fs and LAI/Cab
  - **Cons:** Very complicated inversion scheme, prone to biases





- FLEX-FLORIS airborne simulator (“HyPlant”, U. Rascher, ForschungsZentrum Juelich) made available very recently



- First field campaigns including concurrent field measurements of  $F_s$  and other vegetation parameters being carried out