



# Intro to Soil Mechanics: the what, why & how

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The What?

# What is Soil Mechanics? *erdbaumechanik*

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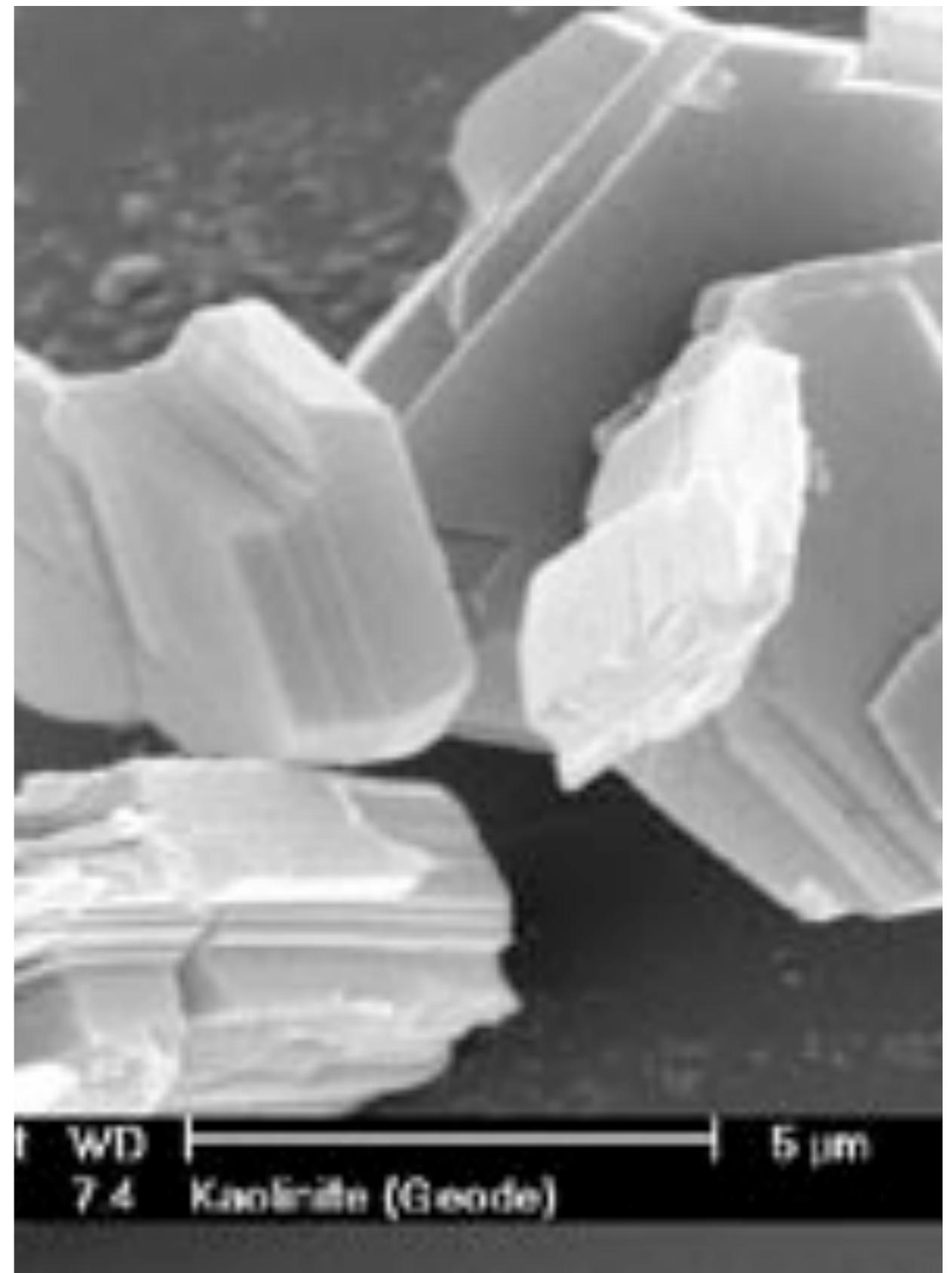
The application of the laws of mechanics (physics) to soils as engineering materials

Karl von Terzaghi is credited as the father of erdbaumechanik





sands & gravels



clays & silts

The Why?



# Sandcastles

what holds them up?



# Palacio de Bellas Artes Mexico, DF

uniform settlement

# The leaning tower of Pisa

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differential settlement





Teton dam

dam failure



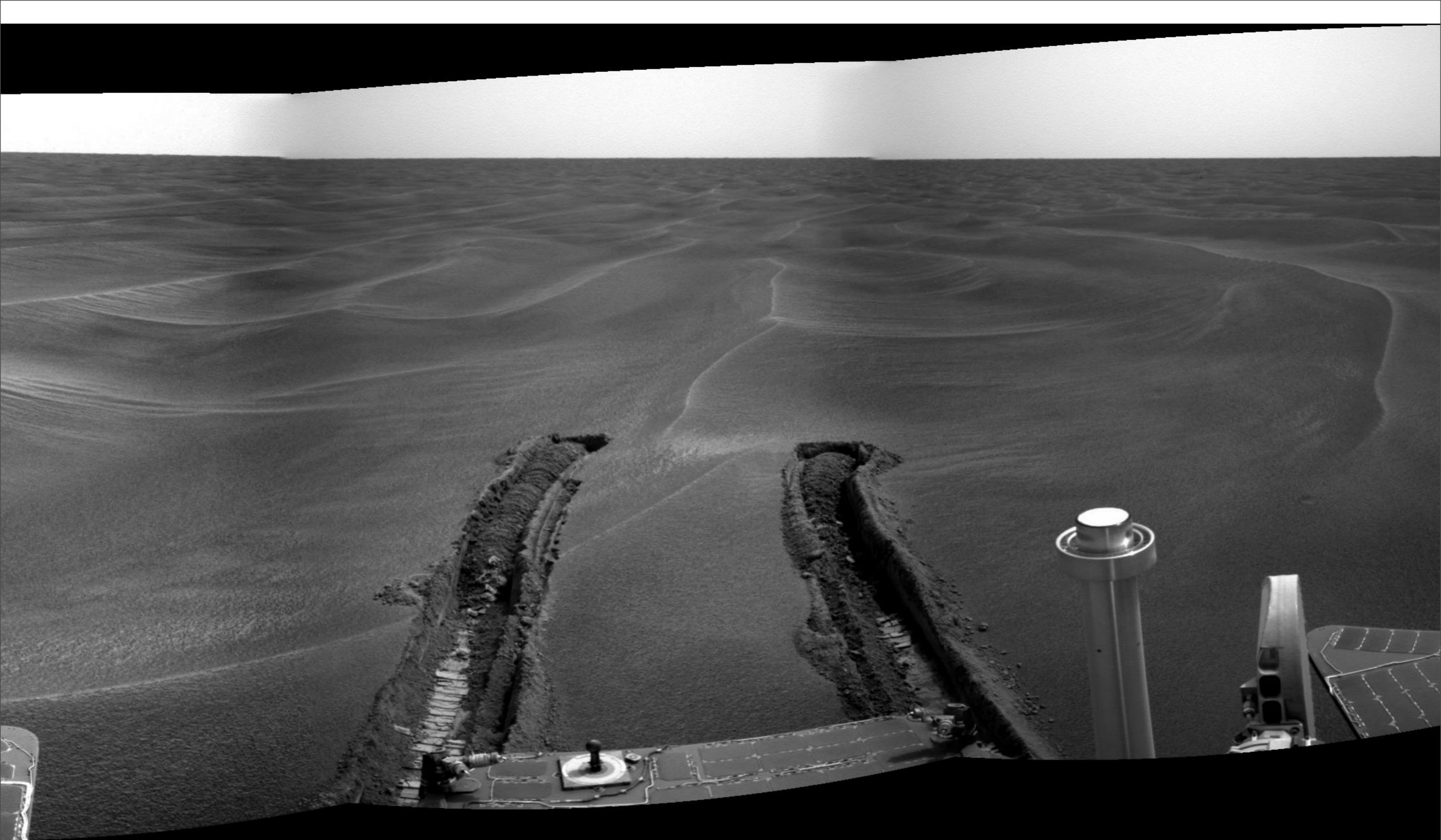
Niigata earthquake

liquefaction



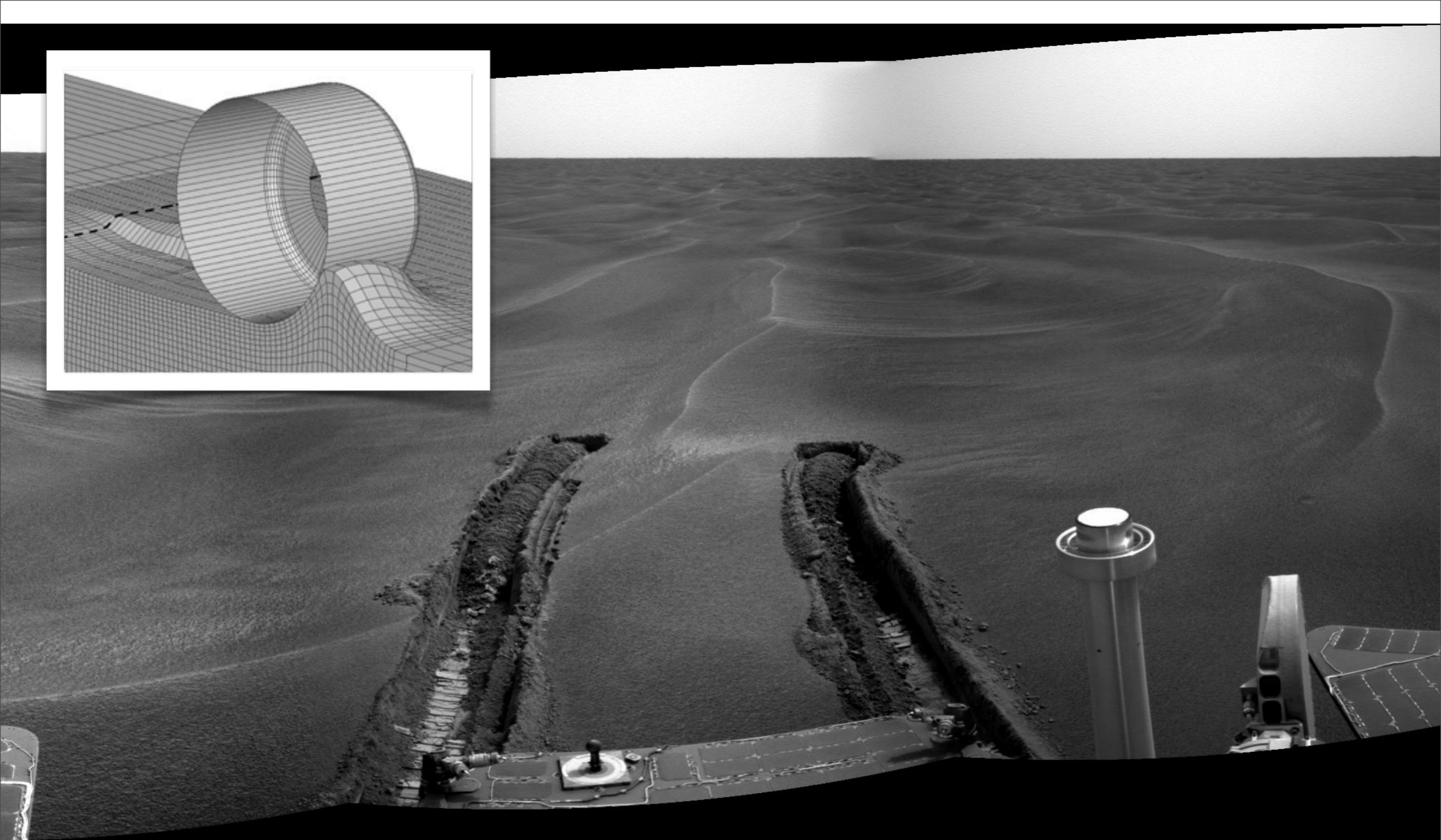
# Katrina New Orleans

levee failure



MER: Big Opportunity

xTerramechanics



MER: Big Opportunity

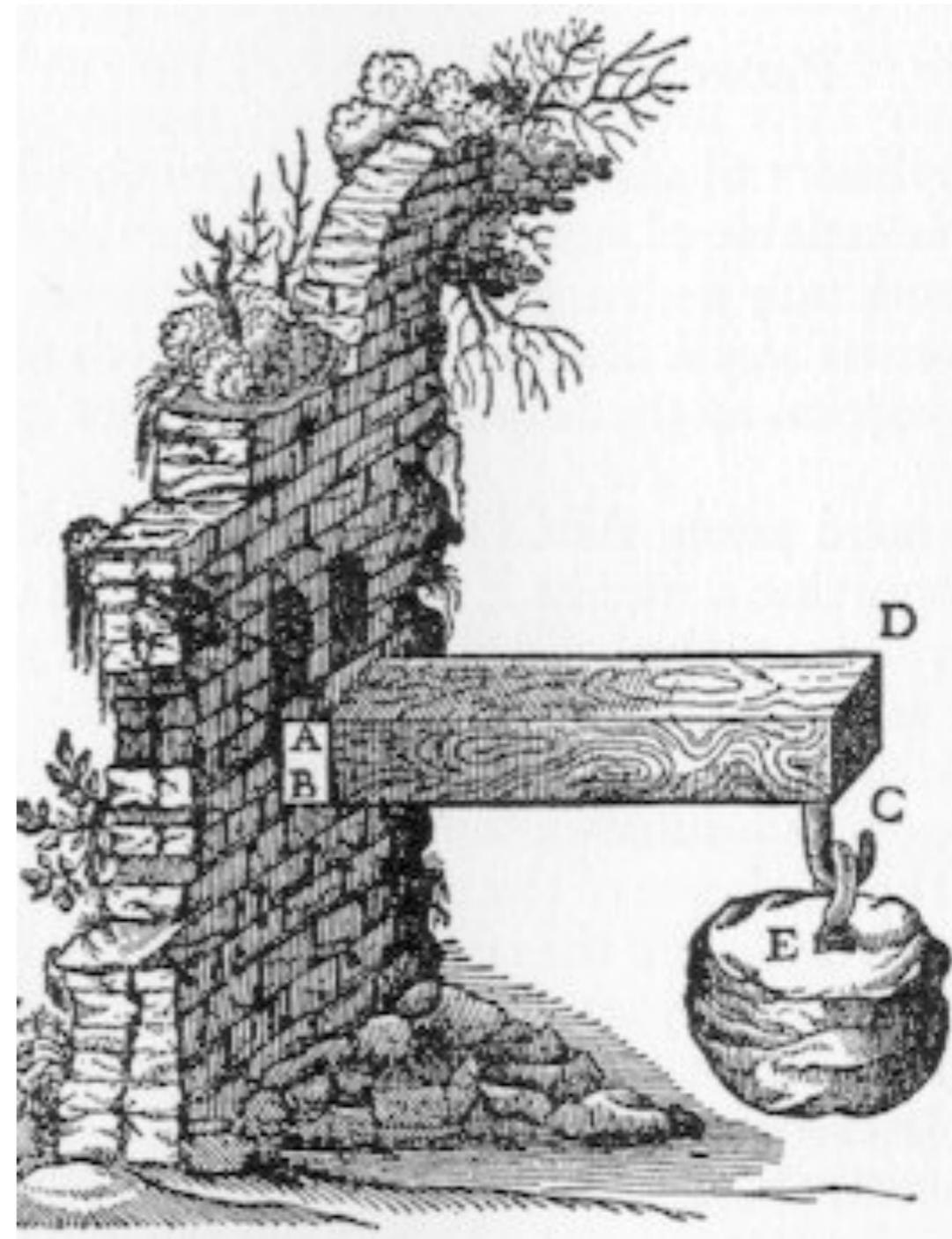
xTerramechanics

The How?

# Topics in classic Soil Mechanics

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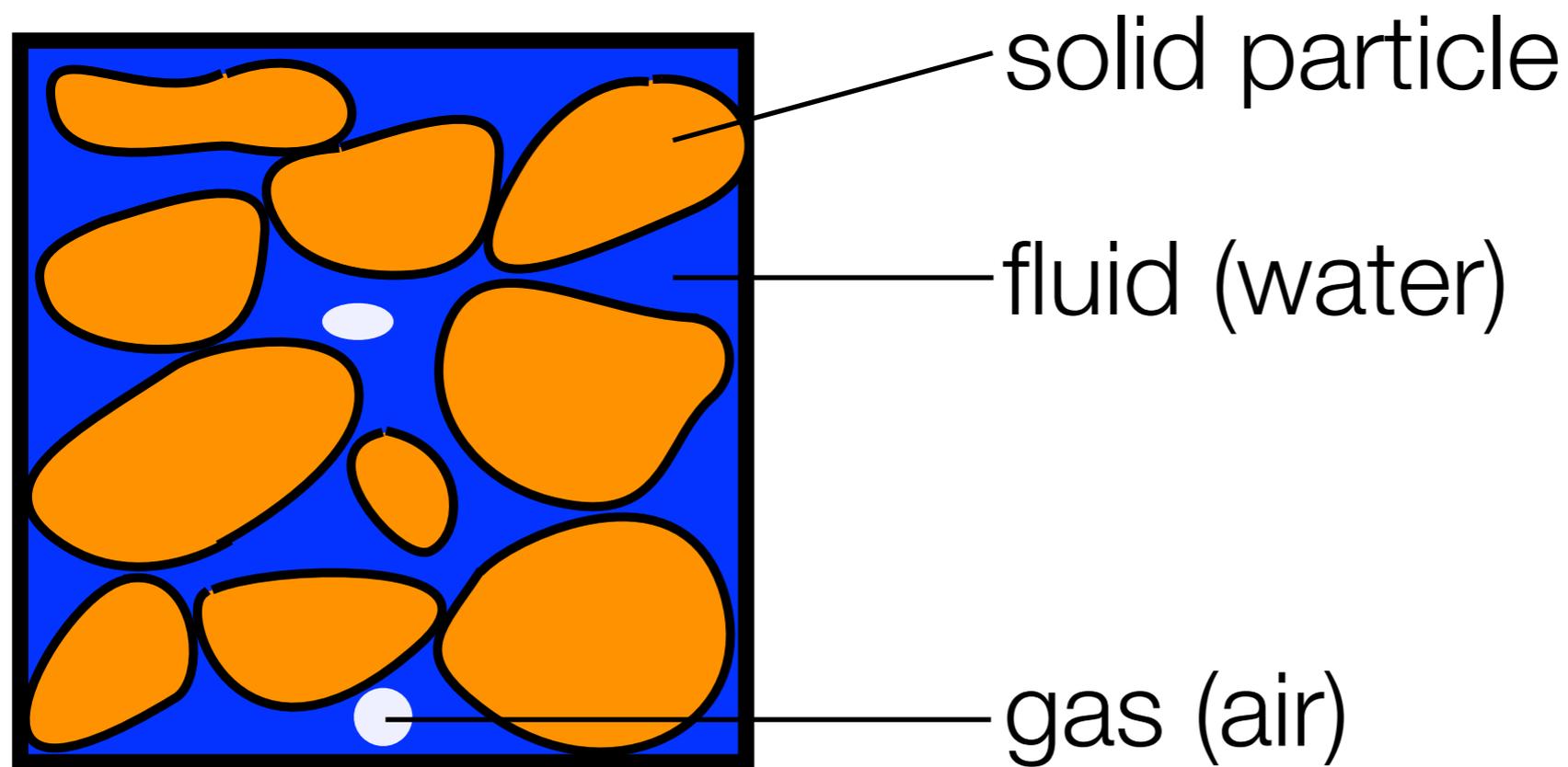
- Index & gradation
- Soil classification
- Compaction
- Permeability, seepage, and effective stresses
- Consolidation and rate of consolidation
- Strength of soils: sands and clays



# Index & gradation

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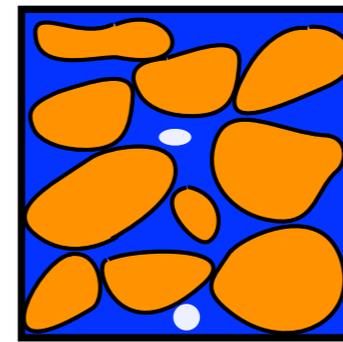
**Definition:** soil mass is a collection of particles and voids in between (voids can be filled w/ fluids or air)



Each phase  
has volume  
and mass

Mechanical behavior governed by phase interaction

# Index & gradation



solid  
water+air=voids

## Key volumetric ratios

$$e = \frac{V_v}{V_s} \quad \text{void ratio}$$

[0.4, 1] sand  
[0.3, 1.5] clays

$$\eta = \frac{V_v}{V_t} \quad \text{porosity}$$

[0, 1]

$$S = \frac{V_w}{V_v} \quad \text{saturation}$$

[0, 1]

## Key mass ratio

$$w = \frac{M_w}{M_s} \quad \text{water content}$$

<1 for most soils  
>5 for marine, organic

## Key link mass & volume

$$\rho = M/V$$

moist, solid, water, dry, etc.

ratios used in practice to  
characterize soils & properties

# Gradation & classification

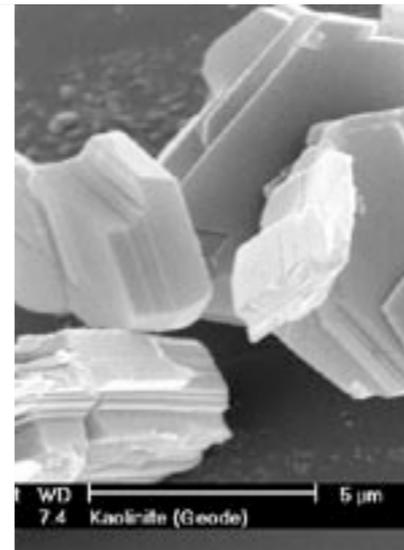
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## Grain size is main classification feature



sands &  
gravels

- can see grains
- mechanics ~ texture
- $d > 0.05$  mm



clays &  
silts

- cannot see grains
- mechanics ~ water
- $d < 0.05$  mm

Soils are currently classified using USCS (Casagrande)

# Fabric in coarsely-grained soils

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“loose packing”, high  $e$   
“dense packing”, low  $e$  } relative

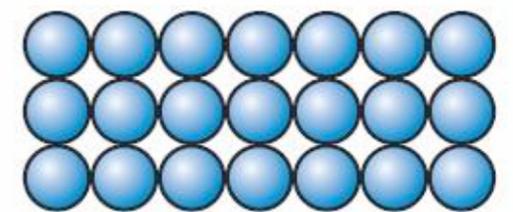
$$e = \frac{V_v}{V_s}$$

$e_{max}$  greatest possible, loosest packing

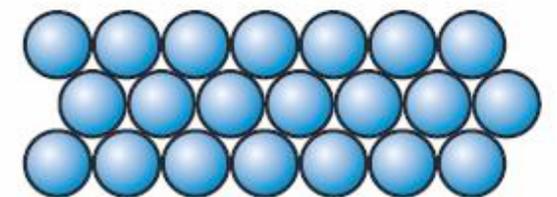
$e_{min}$  lowest possible, densest packing

$$I_D = \frac{e_{max} - e}{e_{max} - e_{min}} \quad \text{relative density}$$

strongly affects engineering  
behavior of soils



(a) Loose

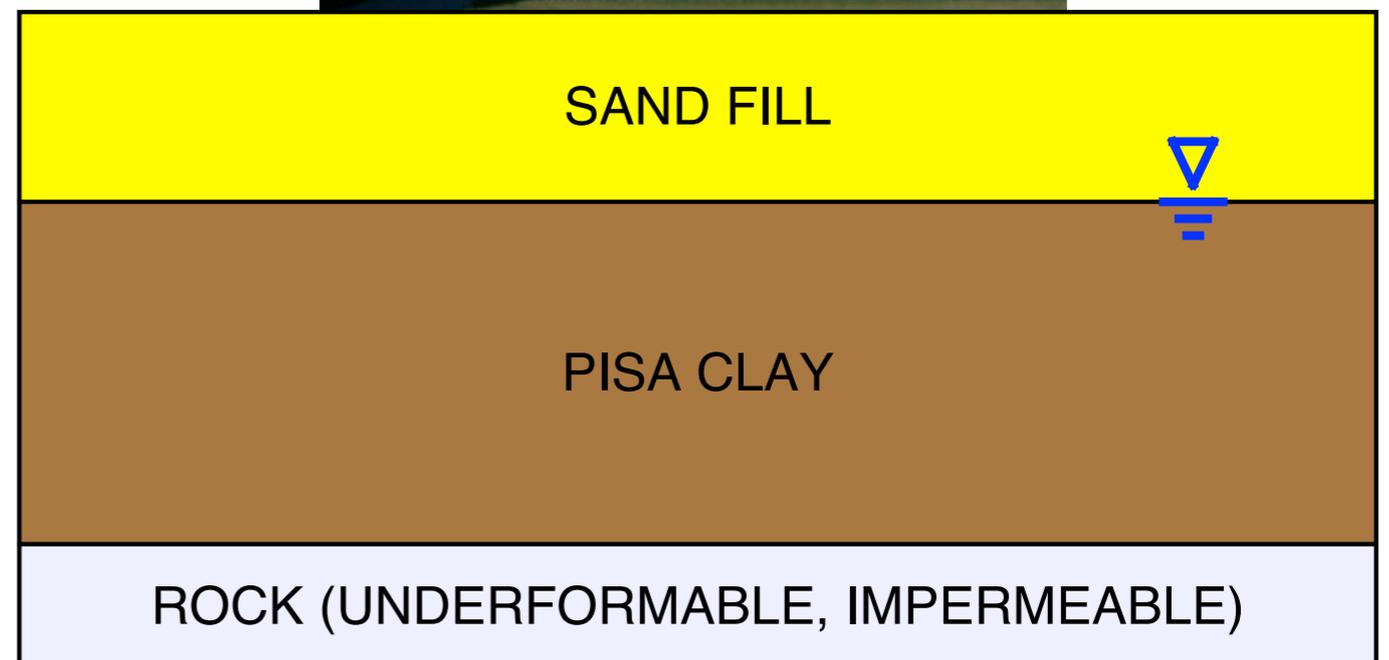


(b) Dense

# Typical problem(s) in Soil Mechanics

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- Compact sand fill
- Calculate consolidation of clay
- Calculate rate of consolidation
- Determine strength of sand
- Calculate F.S. on sand (failure?)
- Need: stresses & matl behavior

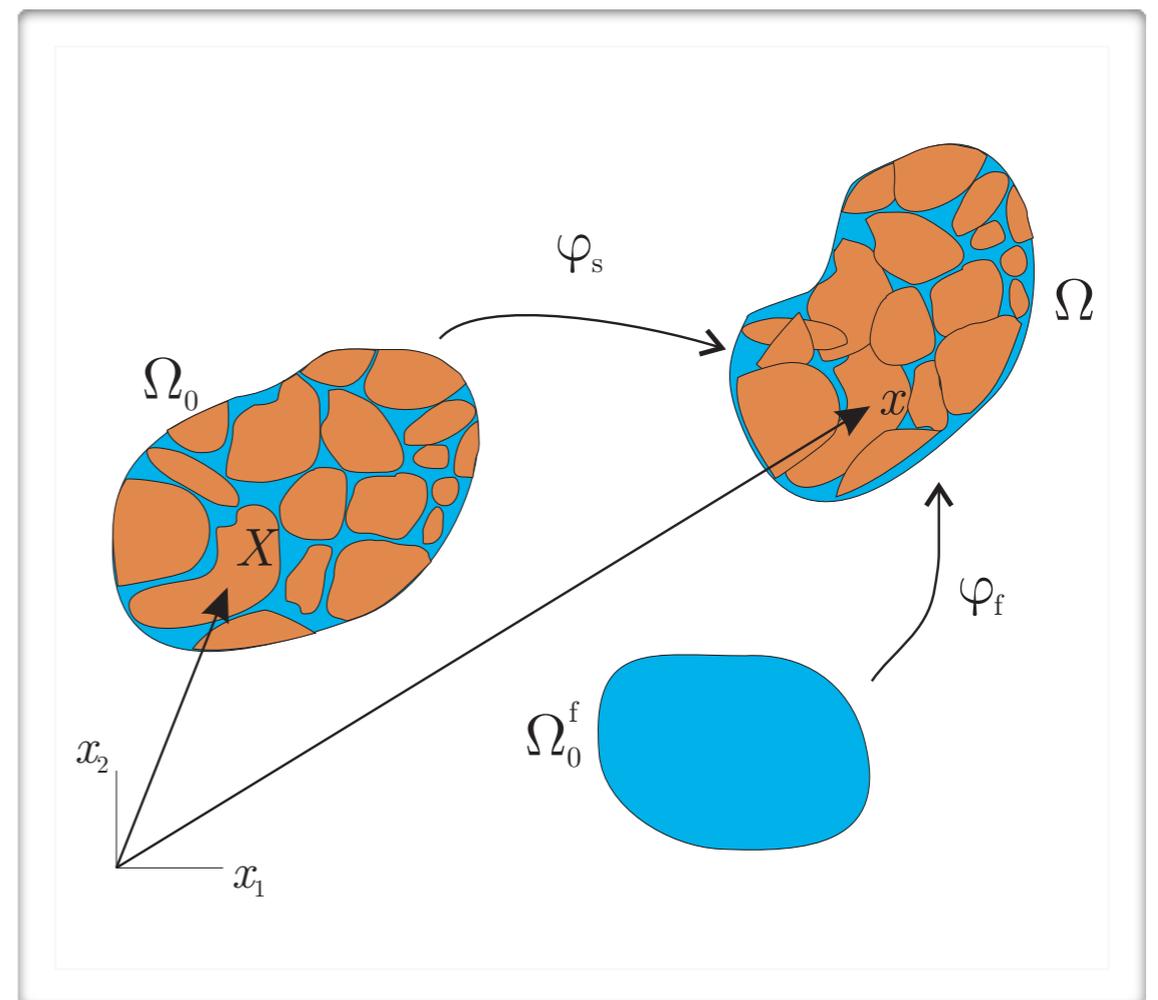


# Modeling tools

# Theoretical framework

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- continuum mechanics
- constitutive theory
- computational inelasticity
- nonlinear finite elements



# Theoretical framework

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- continuum mechanics
- constitutive theory
- computational inelasticity
- nonlinear finite elements

balance of mass

$$\phi \frac{\dot{p}}{K_f} + \nabla \cdot \mathbf{v} = -\nabla \cdot \mathbf{q}$$

$$\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{\gamma} = \mathbf{0}$$

balance of momentum

# Theoretical framework

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- continuum mechanics
- **constitutive theory**
- computational inelasticity
- nonlinear finite elements

$$\mathbf{q} = \mathbf{k} \cdot \nabla h \leftarrow \text{darcy}$$
$$\dot{\boldsymbol{\sigma}}' = \mathbf{c}^{\text{ep}} : \dot{\boldsymbol{\epsilon}} \leftarrow \text{hooke}$$

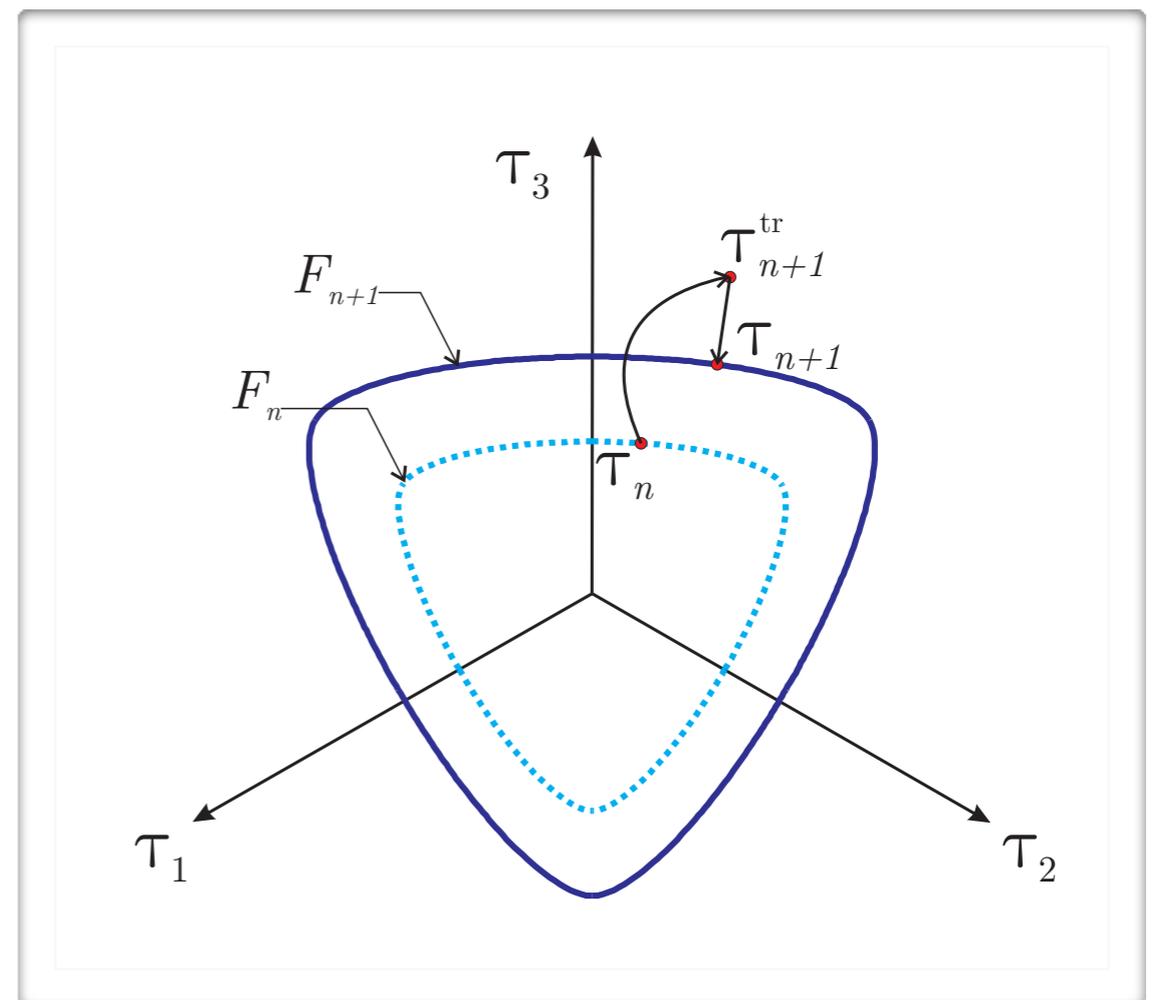
$\mathbf{k}$  permeability tensor  
controls fluid flow

$\mathbf{c}^{\text{ep}}$  **mechanical stiffness**  
**controls deformation**

# Theoretical framework

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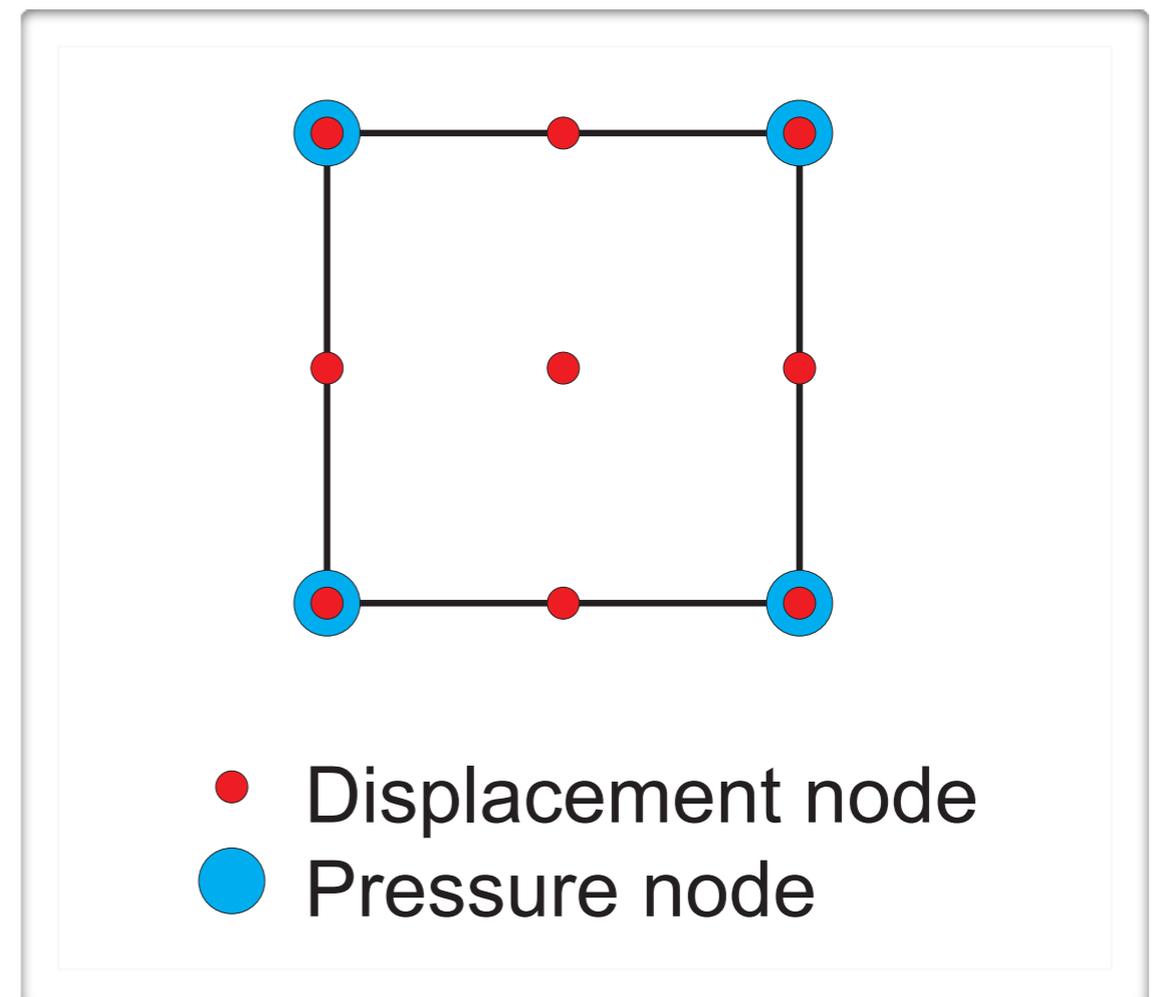
- continuum mechanics
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# Theoretical framework

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- continuum mechanics
- constitutive theory
- computational inelasticity
- **nonlinear finite elements**

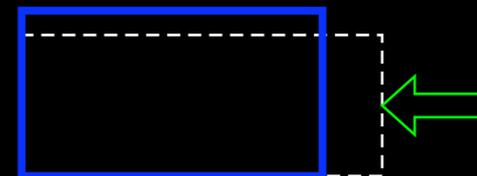
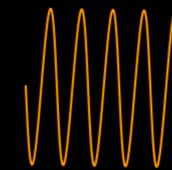
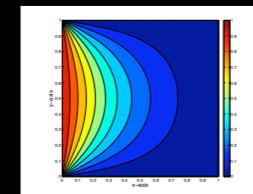


# Finite Element Method (FEM)

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- Designed to *approximately* solve PDE's
- PDE's model physical phenomena
- Three types of PDE's:

- Parabolic: fluid flow
- Hyperbolic: wave eqn
- Elliptic: elastostatics



# FEM recipe

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Strong form



Weak form



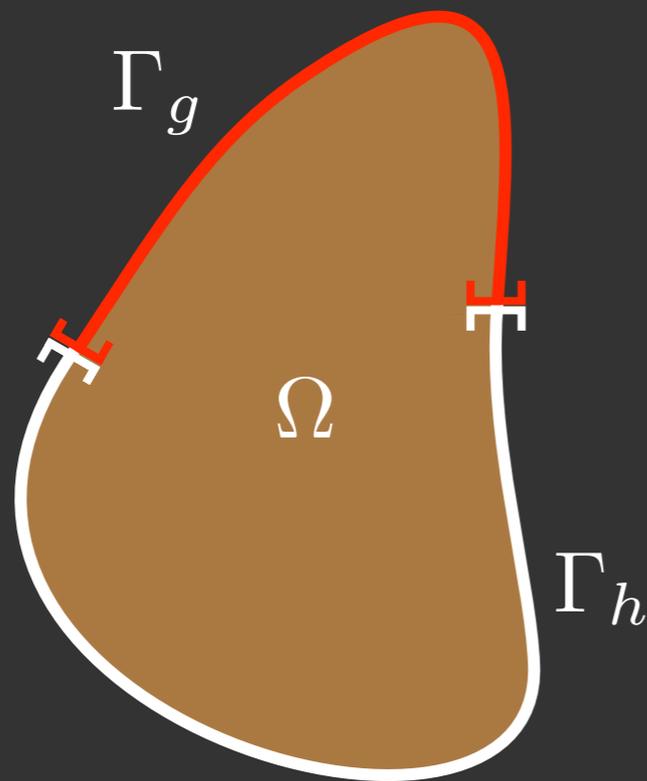
Galerkin form



Matrix form

# Multi-D deformation with FEM

$$\begin{aligned}\nabla \cdot \boldsymbol{\sigma} + \boldsymbol{f} &= \mathbf{0} && \text{in } \Omega && \longleftarrow && \text{equilibrium} \\ \boldsymbol{u} &= \boldsymbol{g} && \text{on } \Gamma_g && \longleftarrow && \text{e.g., clamp} \\ \boldsymbol{\sigma} \cdot \boldsymbol{n} &= \boldsymbol{h} && \text{on } \Gamma_h && \longleftarrow && \text{e.g., confinement}\end{aligned}$$



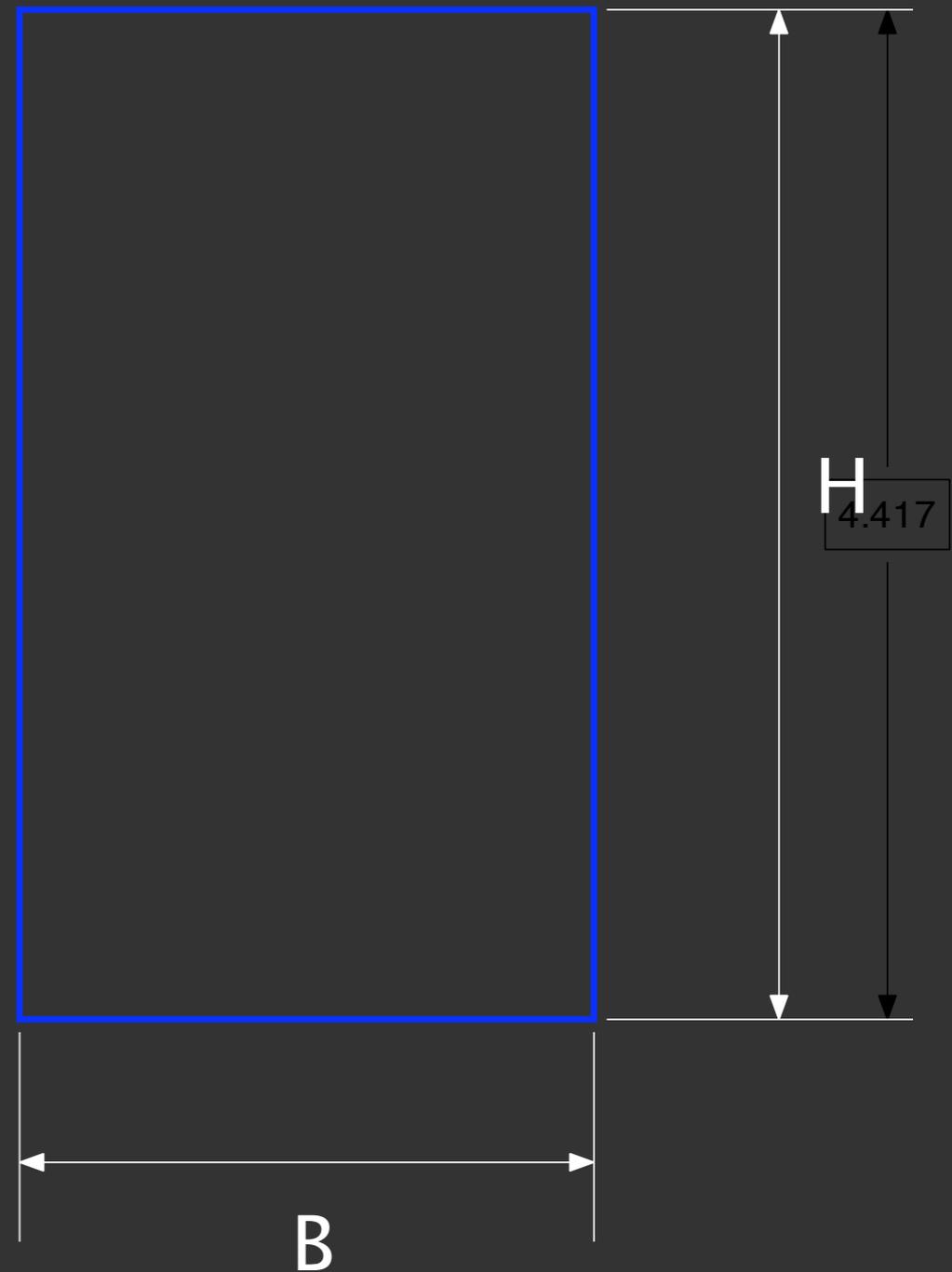
Constitutive relation:

given  $\boldsymbol{u}$   $\rightarrow$  get  $\boldsymbol{\sigma}$

e.g., elasticity, plasticity

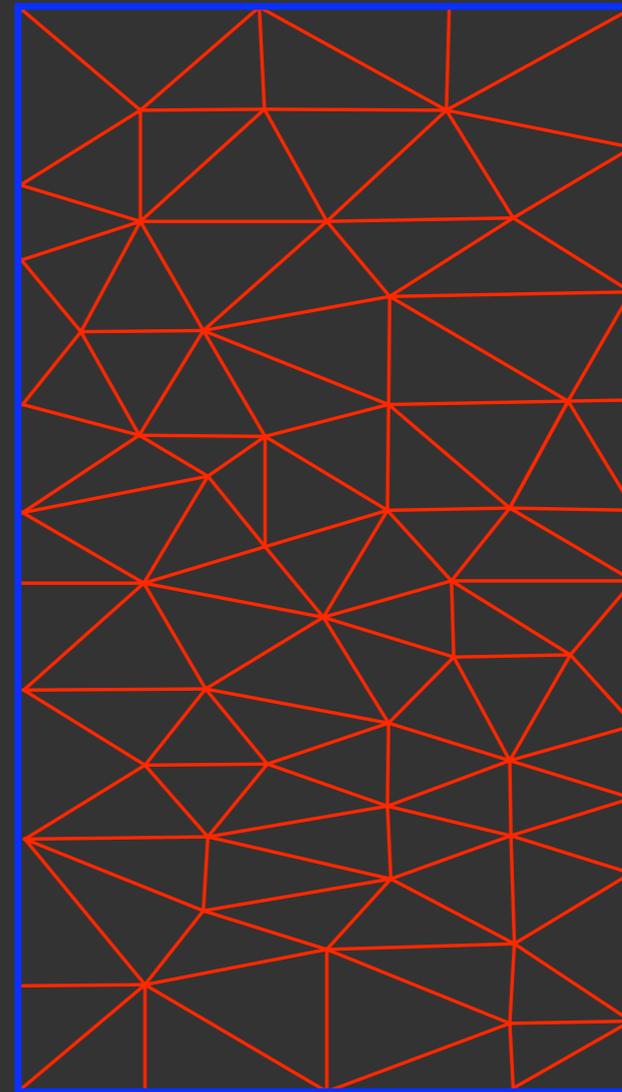
# Modeling Ingredients

1. Set geometry
2. Discretize domain
3. Set matl parameters
4. Set B.C.'s
5. Solve



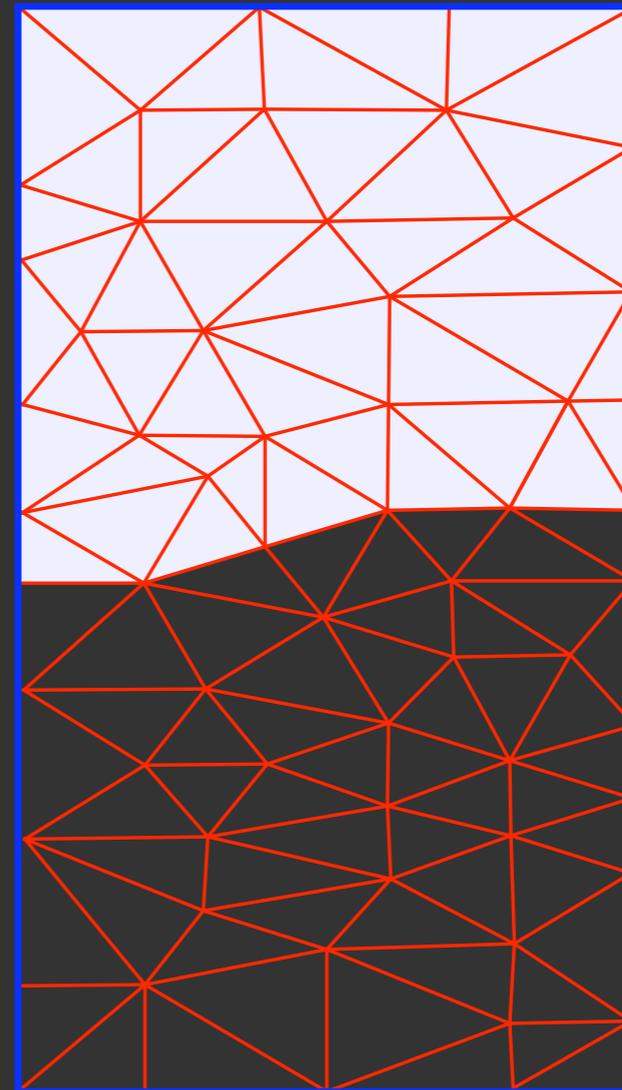
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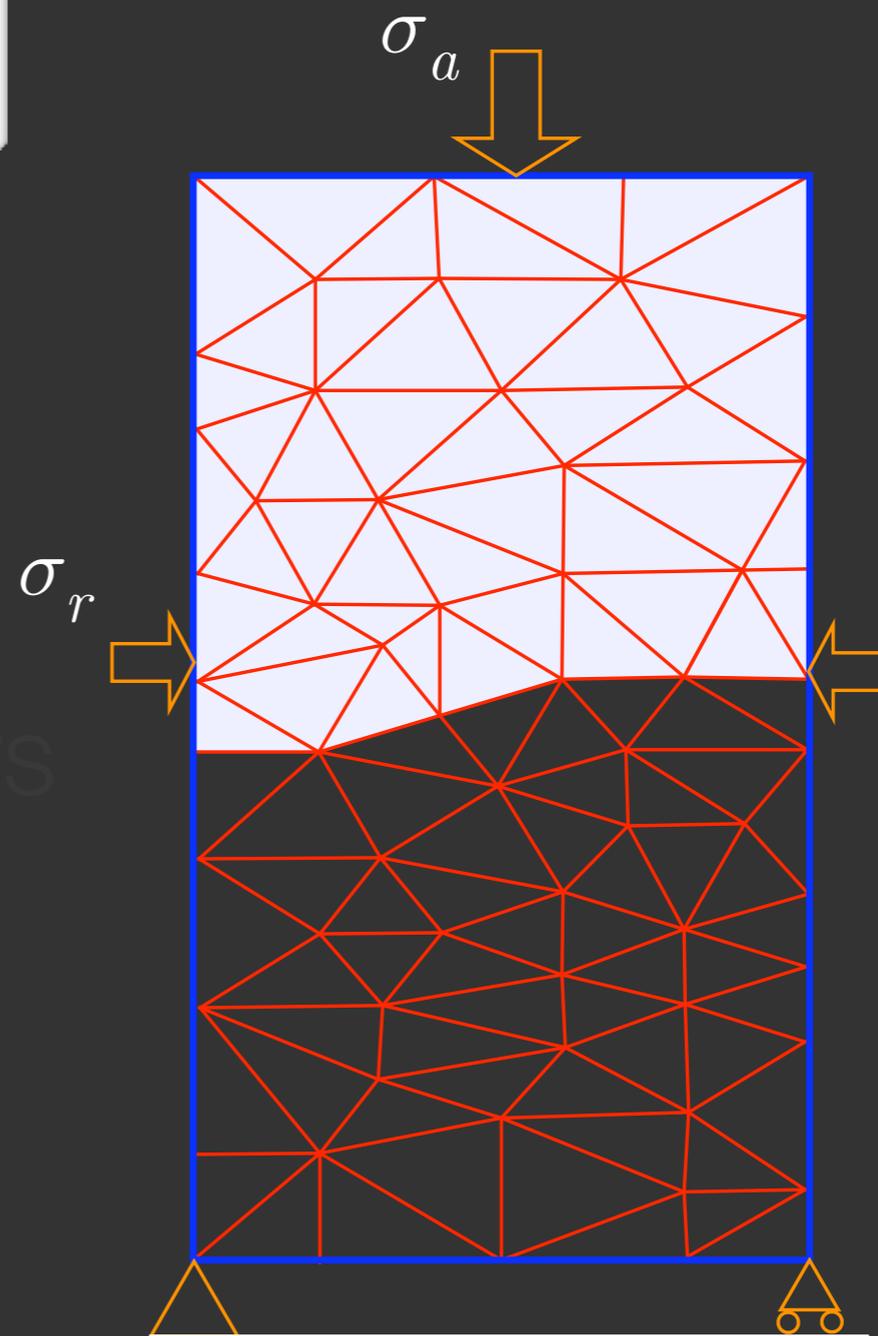
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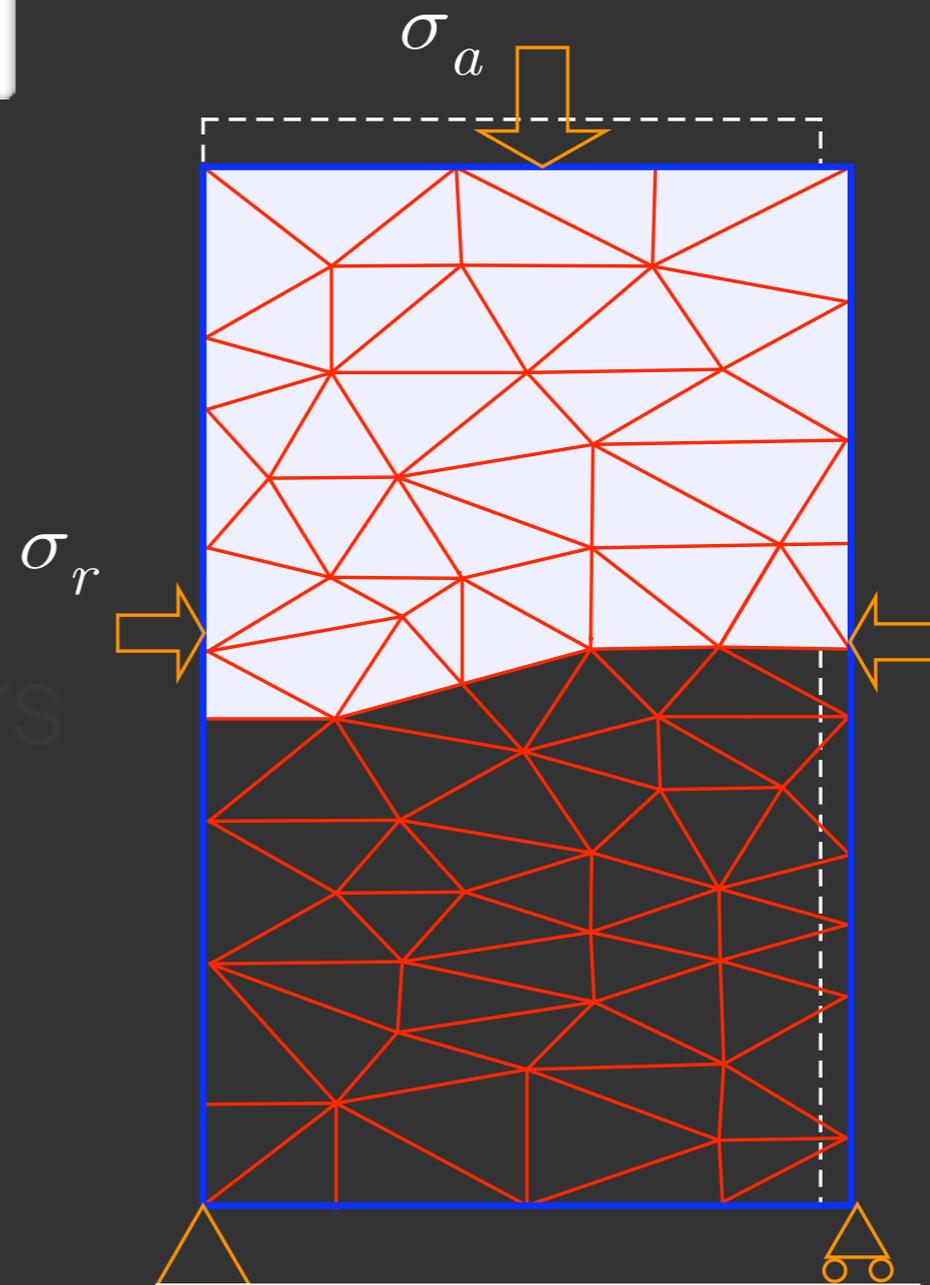
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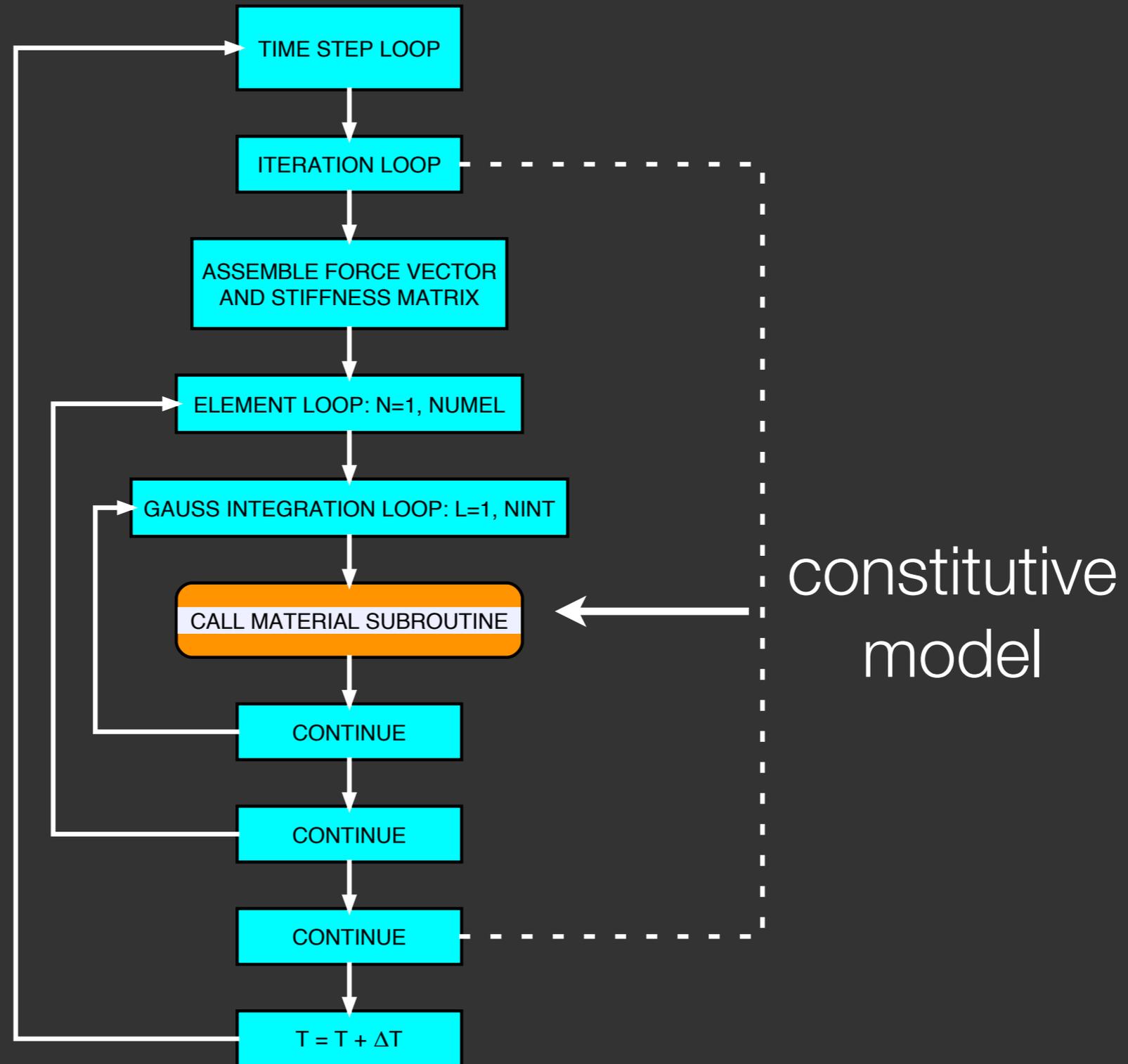


# Modeling Ingredients

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# FEM Program



# Material behavior: shear strength

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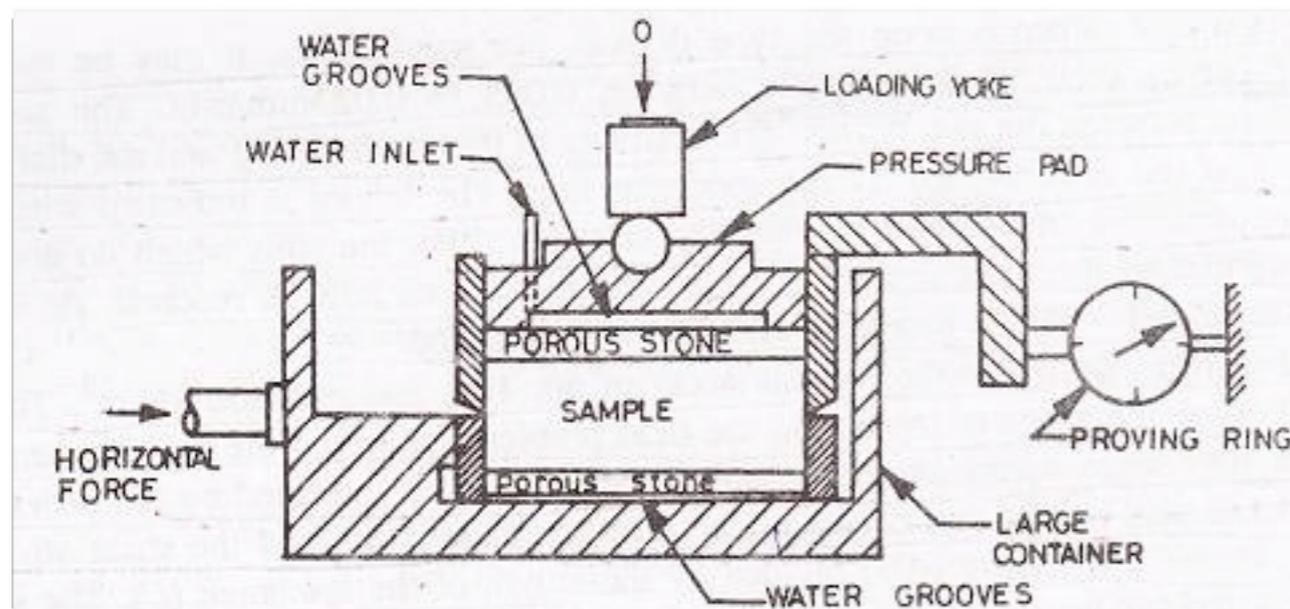
- Void ratio or relative density
- Particle shape & size
- Grain size distribution
- Particle surface roughness
- Water
- Intermediate principal stress
- Overconsolidation or pre-stress

Engineers have developed models to account for most of these variables

Elasto-plasticity  
framework of choice

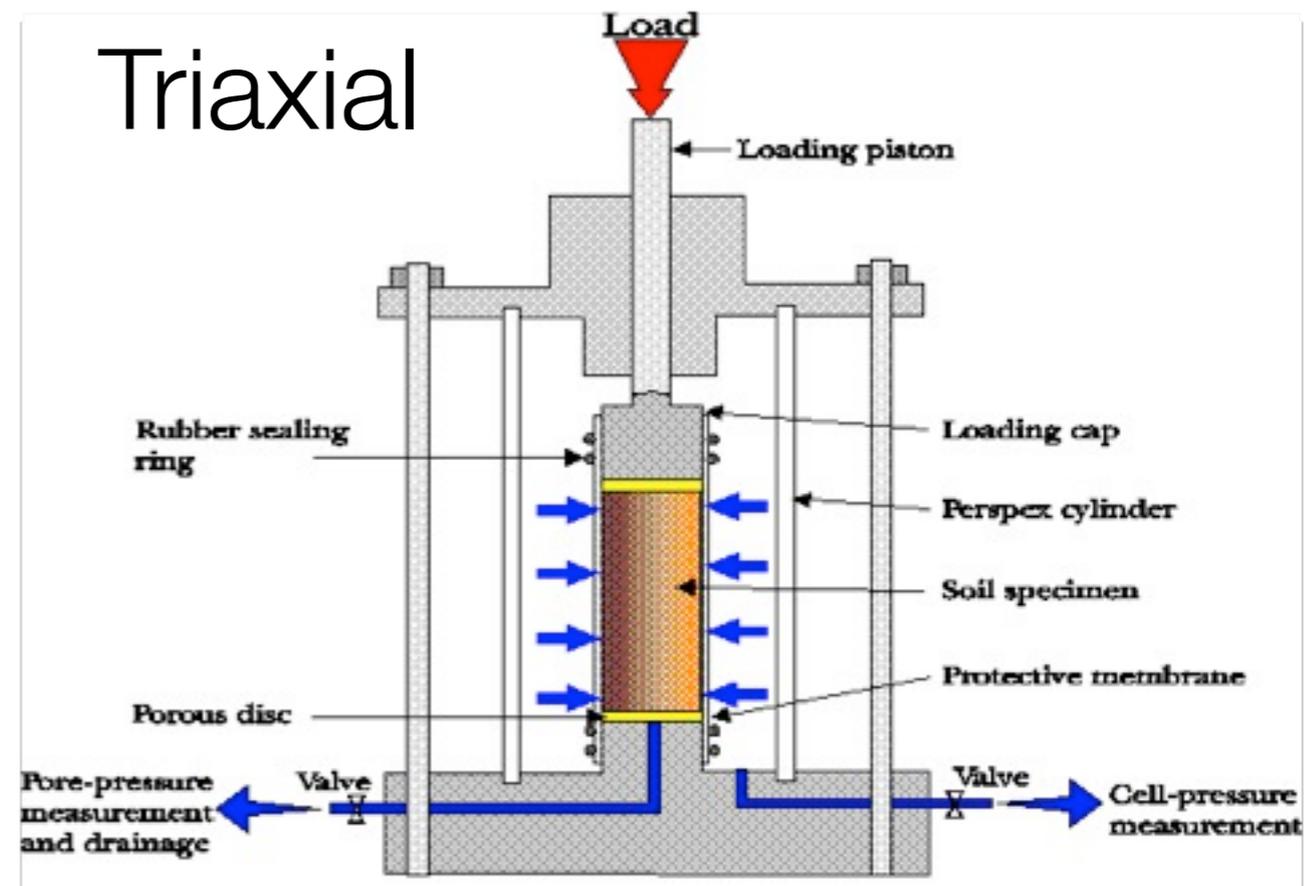
# A word on current characterization methods

## Direct Shear



- Pros: cheap, simple, fast,  
good for sands
- Cons: drained, forced failure,  
non-homogeneous

## Triaxial

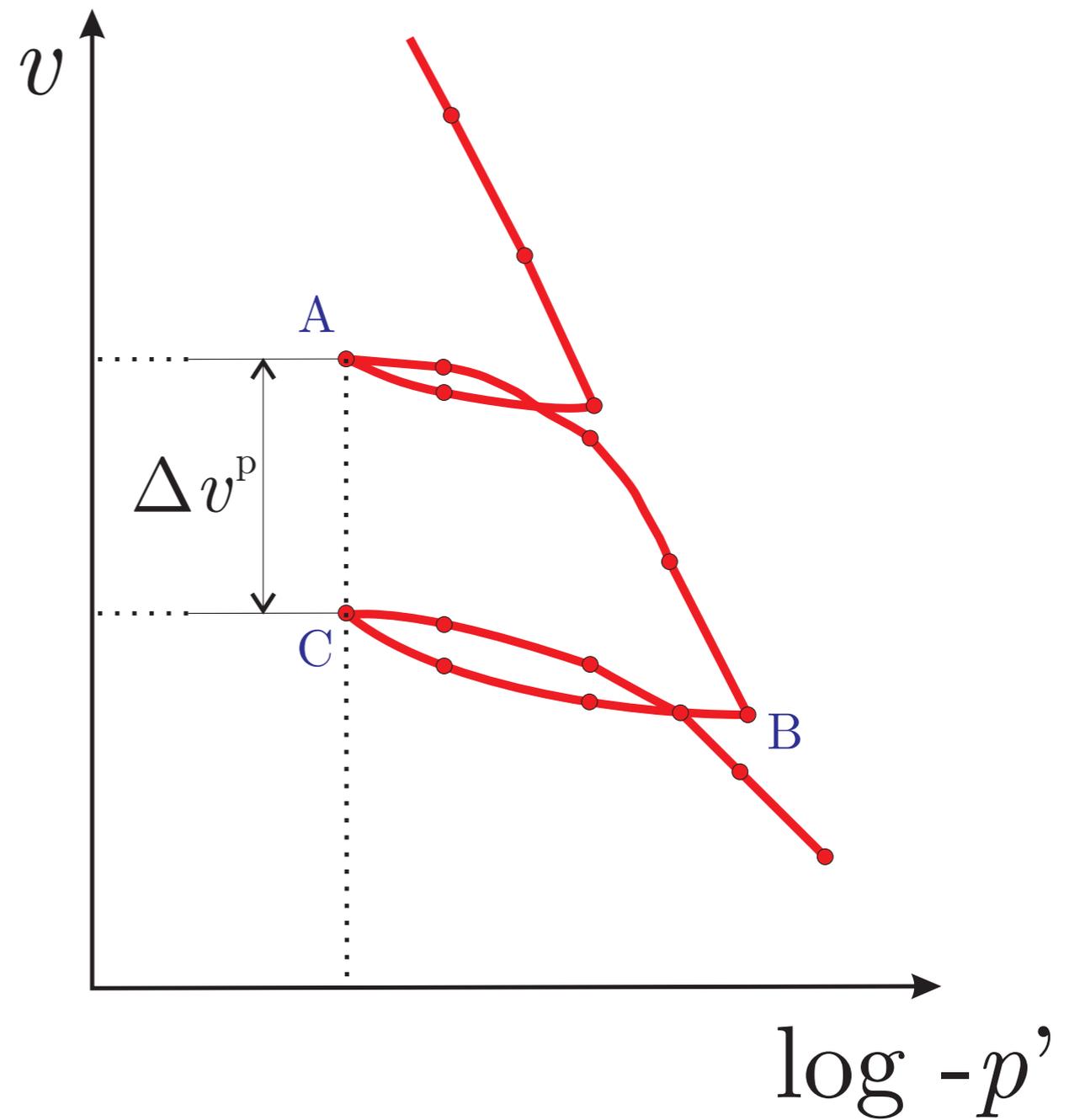


- Pros: control drainage & stress  
path, principal dir. cnst.,  
more homogeneous
- Cons: complex

# Material models for sands should capture

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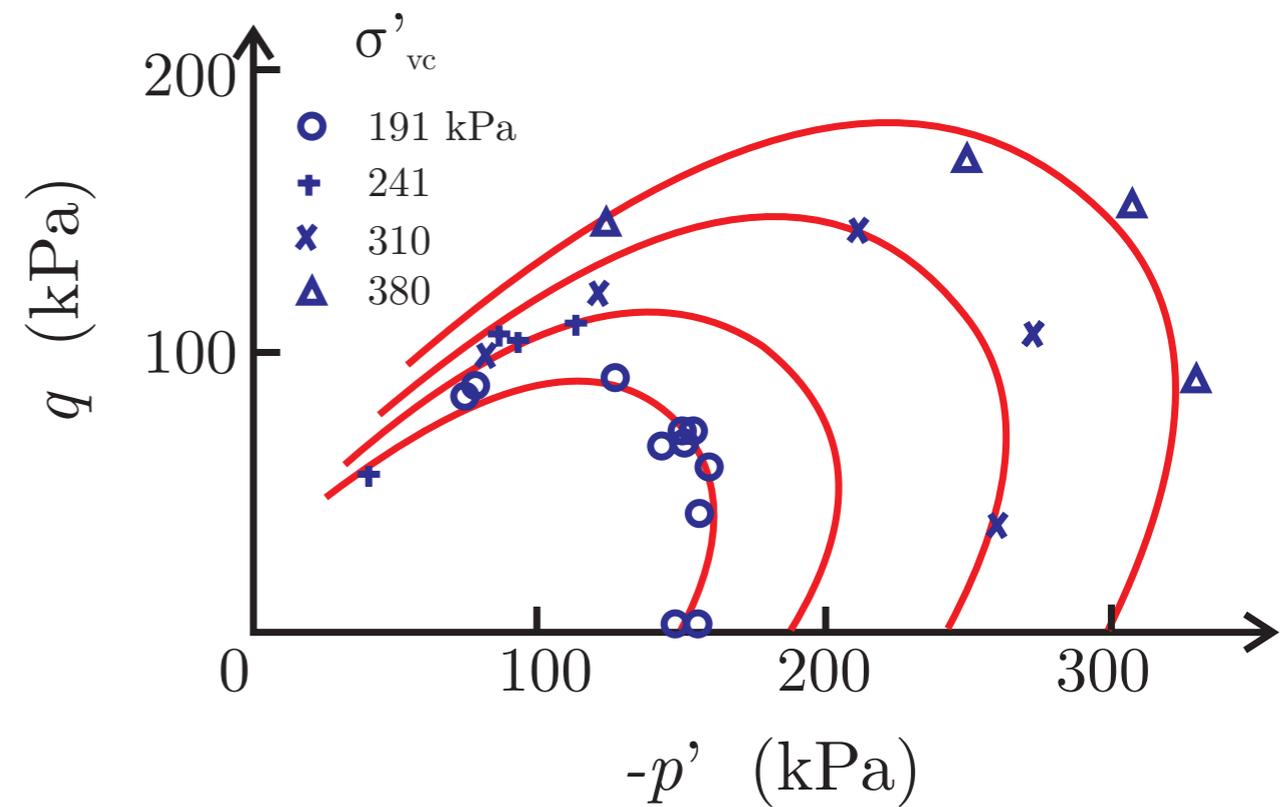
- Nonlinearity and irrecoverable deformations
- Pressure dependence
- Difference tensile and compressive strength
- Relative density dependence
- Nonassociative plastic flow



# Material models for sands should capture

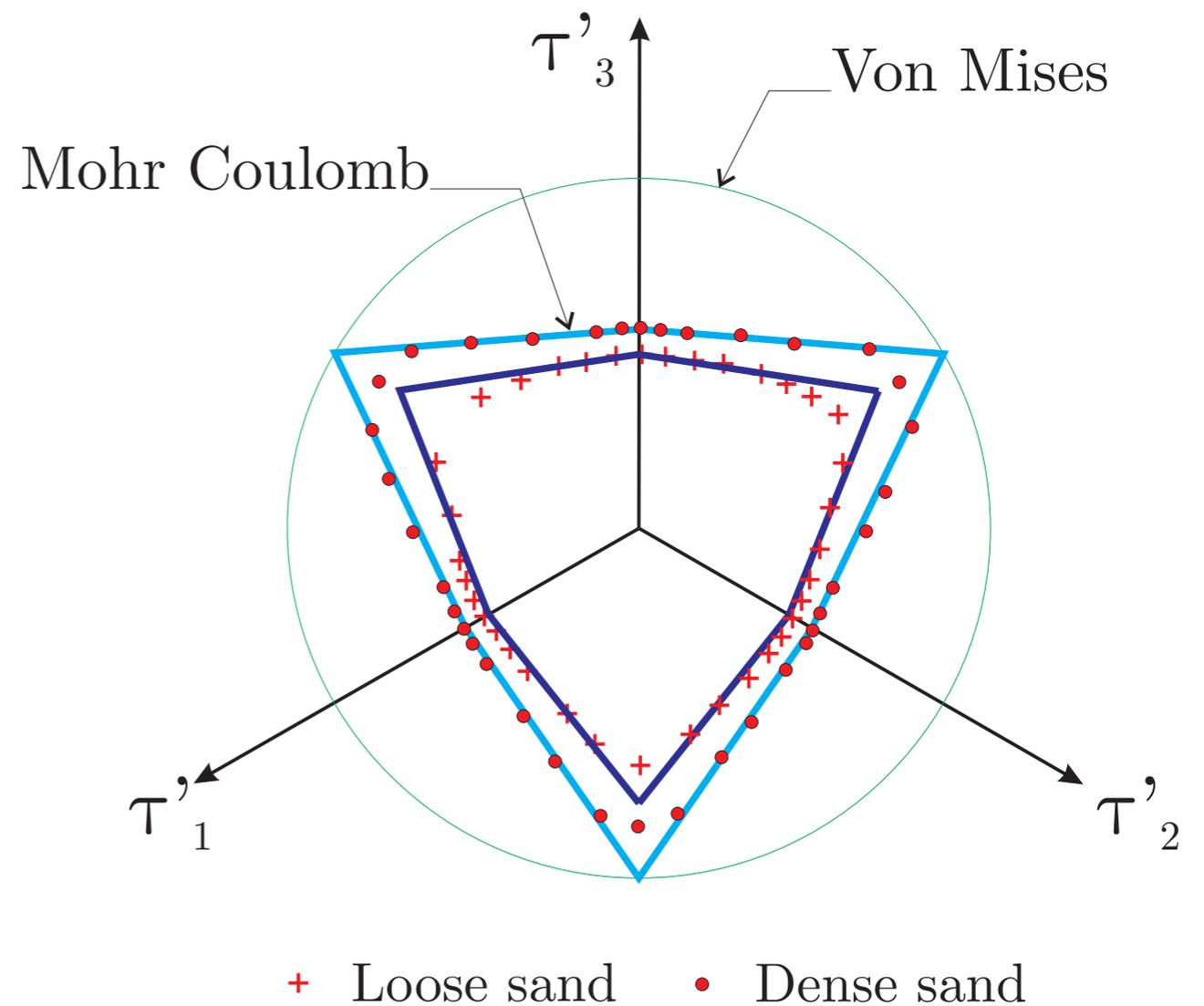
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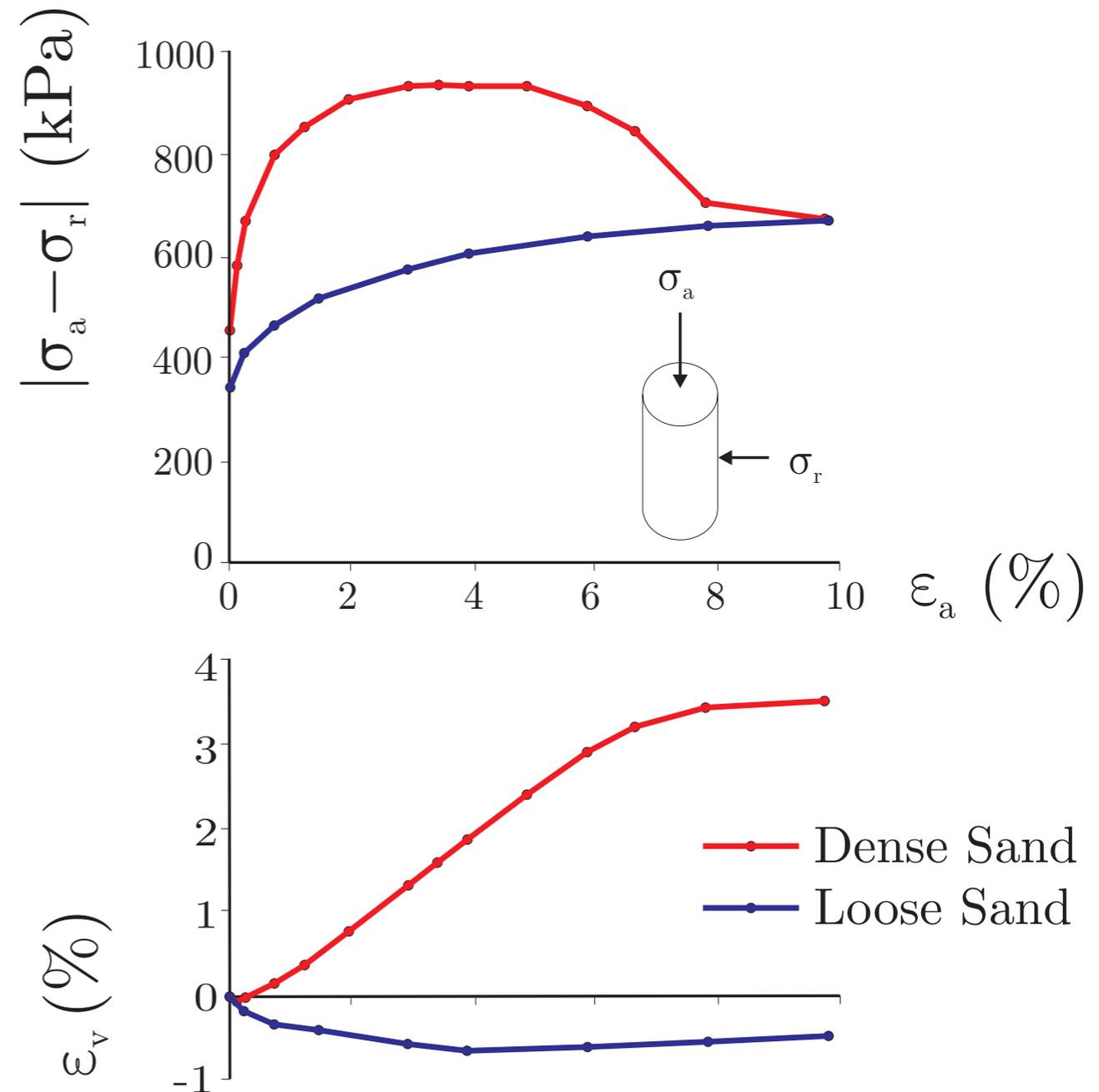
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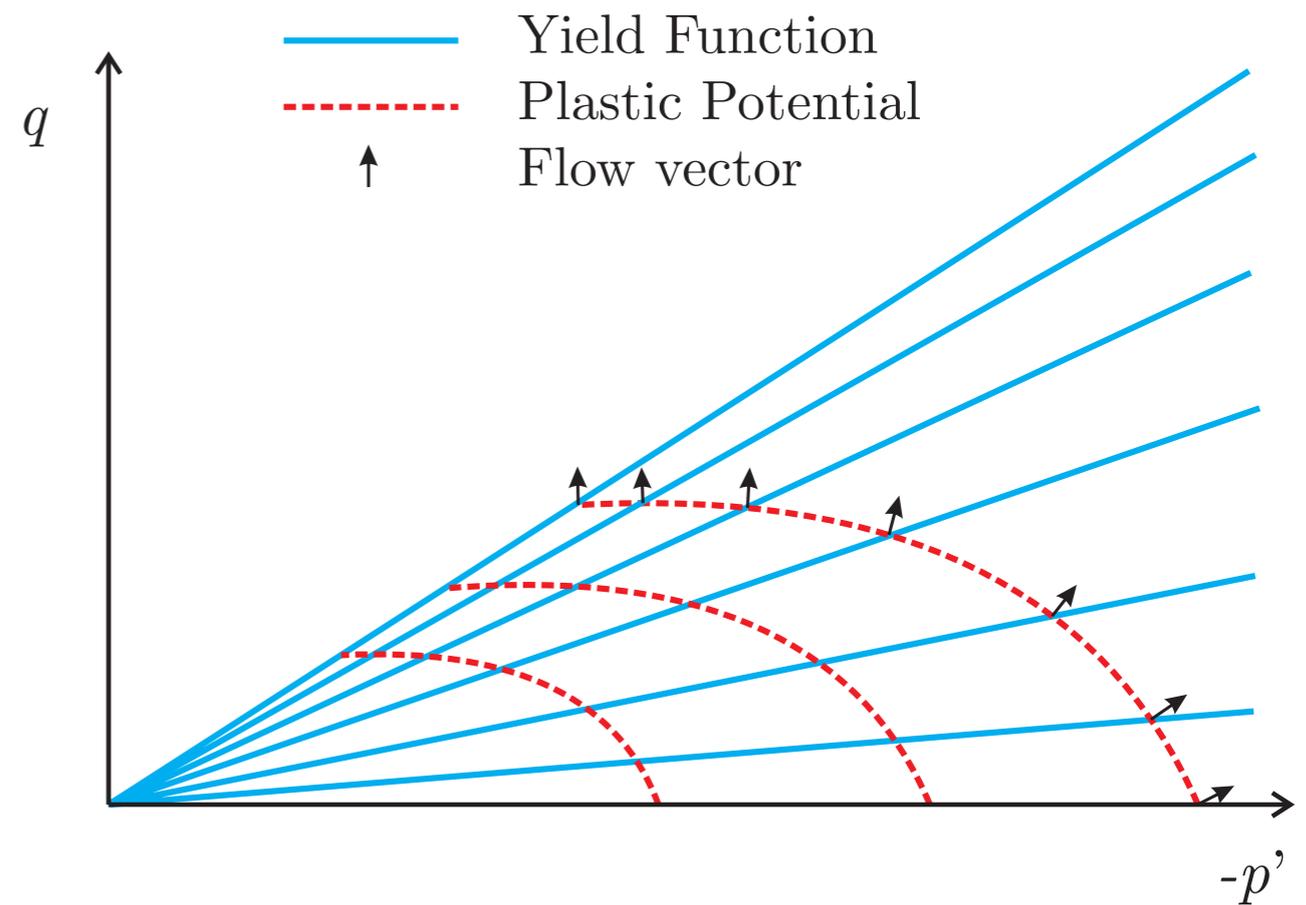
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# Material models for sands should capture

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- Nonlinearity and irrecoverable deformations
- Pressure dependence
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# Elasto-plasticity in one slide

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Hooke's law  $\dot{\sigma} = \mathbf{c}^{\text{ep}} : \dot{\epsilon}$

Additive decomposition of strain  $\dot{\epsilon} = \dot{\epsilon}^e + \dot{\epsilon}^p$

Convex elastic region  $F(\sigma, \alpha) = 0$

Non-associative flow  $\dot{\epsilon}^p = \dot{\lambda} \mathbf{g}$ ,  $\mathbf{g} := \partial G / \partial \sigma$

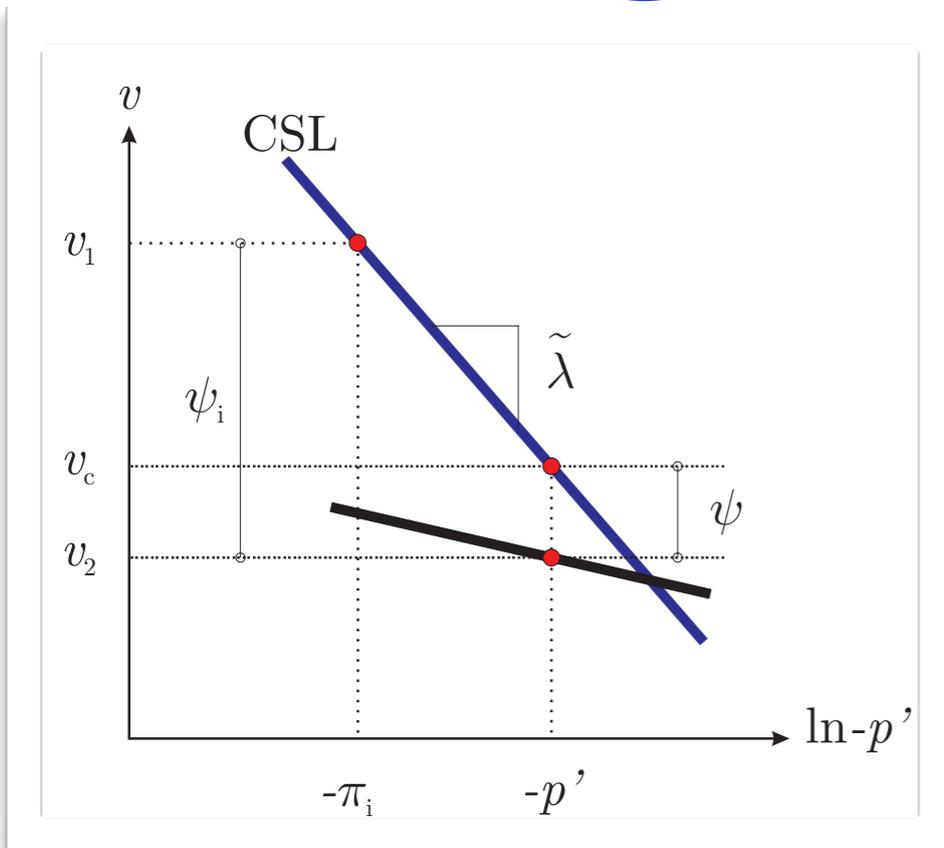
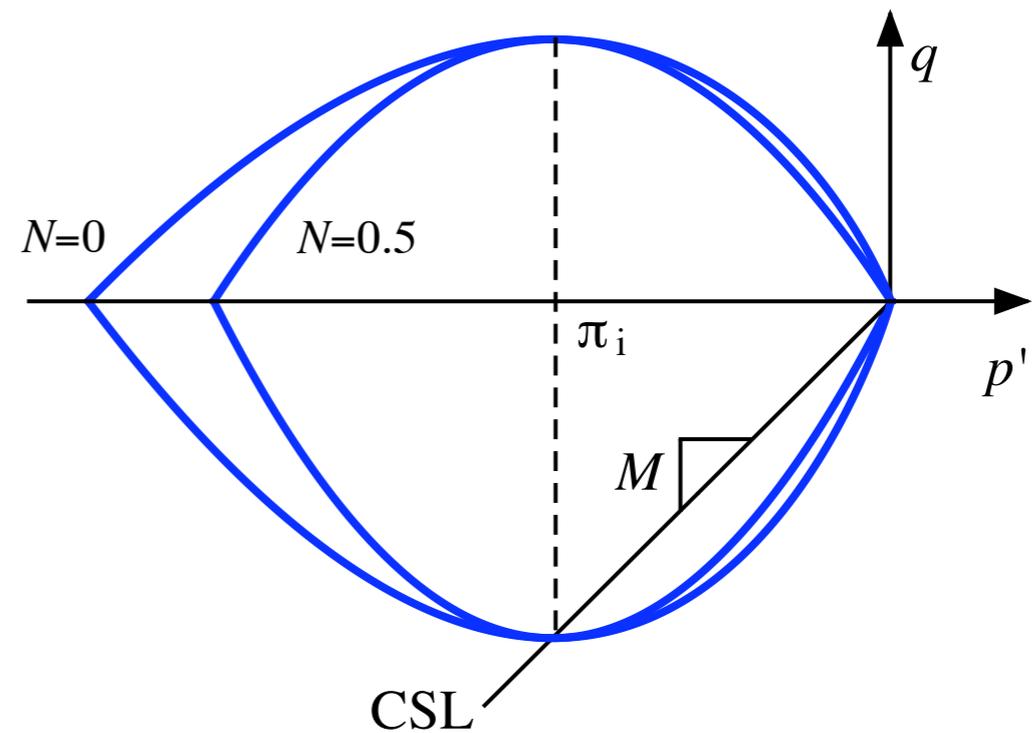
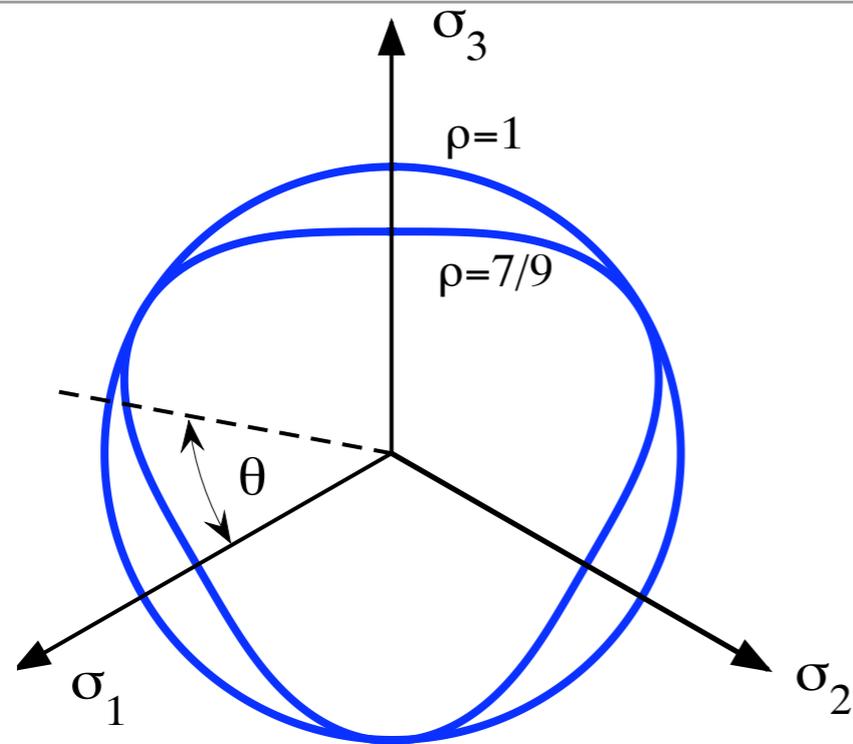
K-T optimality  $\dot{\lambda} F = 0$   $\dot{\lambda} H = -\partial F / \partial \alpha \cdot \dot{\alpha}$

Elastoplastic constitutive tangent

$$\mathbf{c}^{\text{ep}} = \mathbf{c}^e - \frac{1}{\chi} \mathbf{c}^e : \mathbf{g} \otimes \mathbf{f} : \mathbf{c}^e, \quad \chi = H - \mathbf{g} : \mathbf{c}^e : \mathbf{f}$$

# Examples

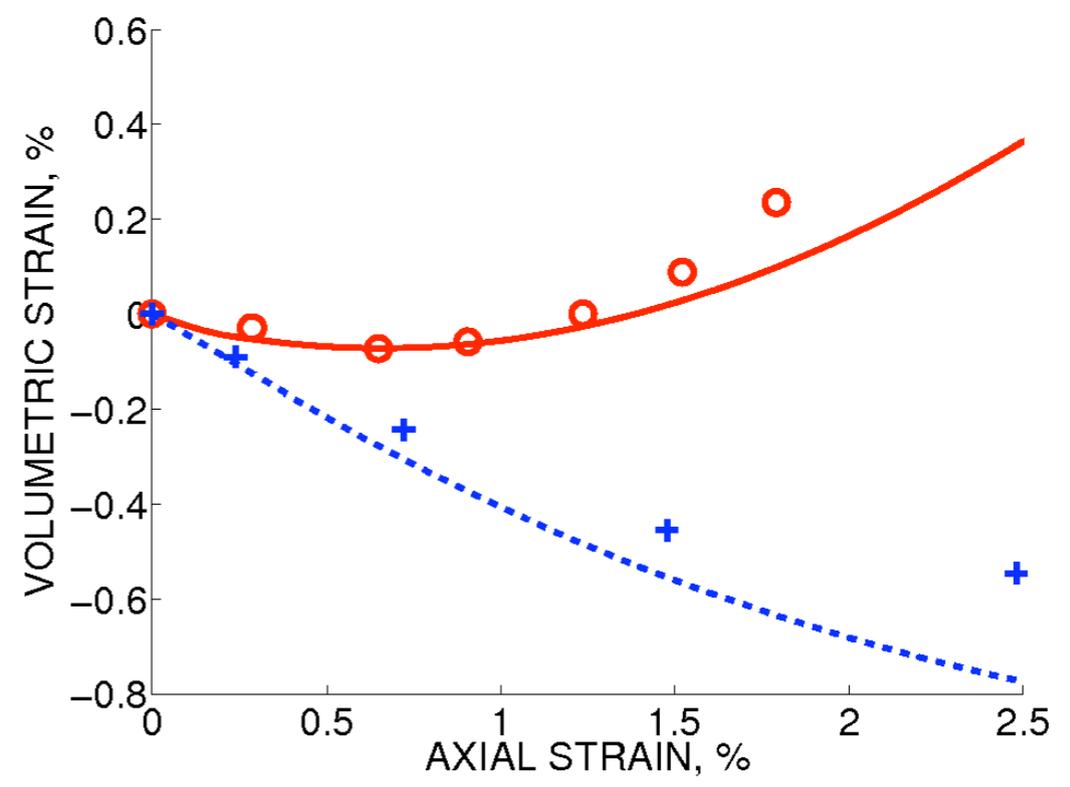
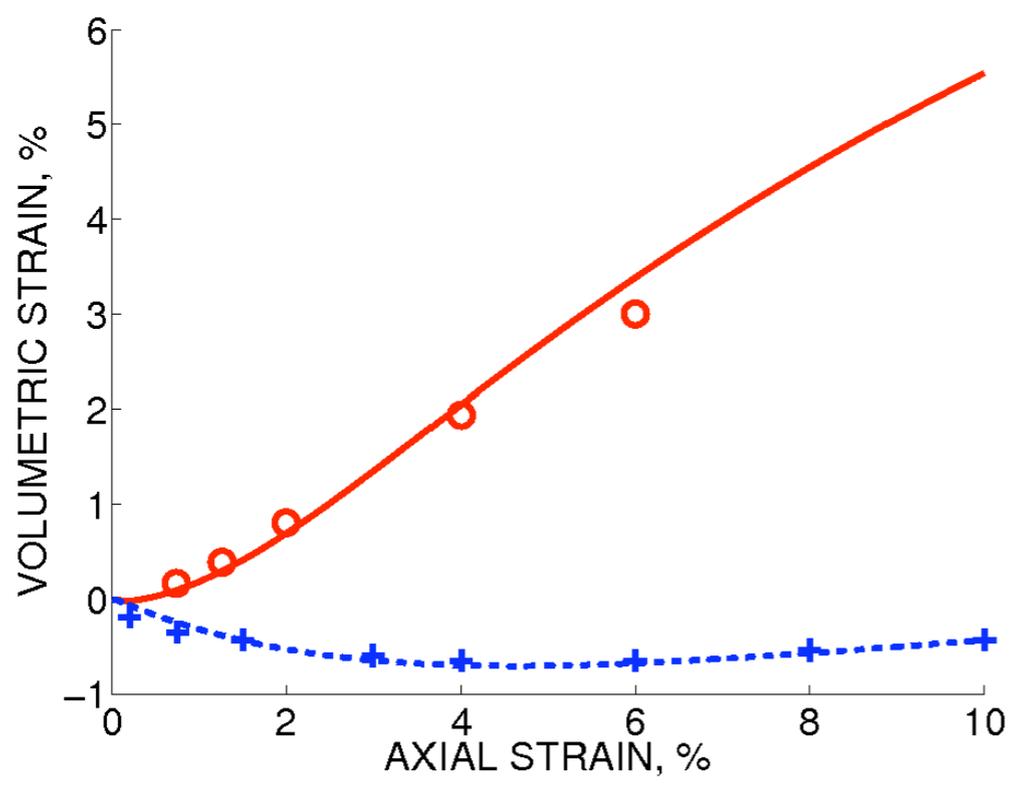
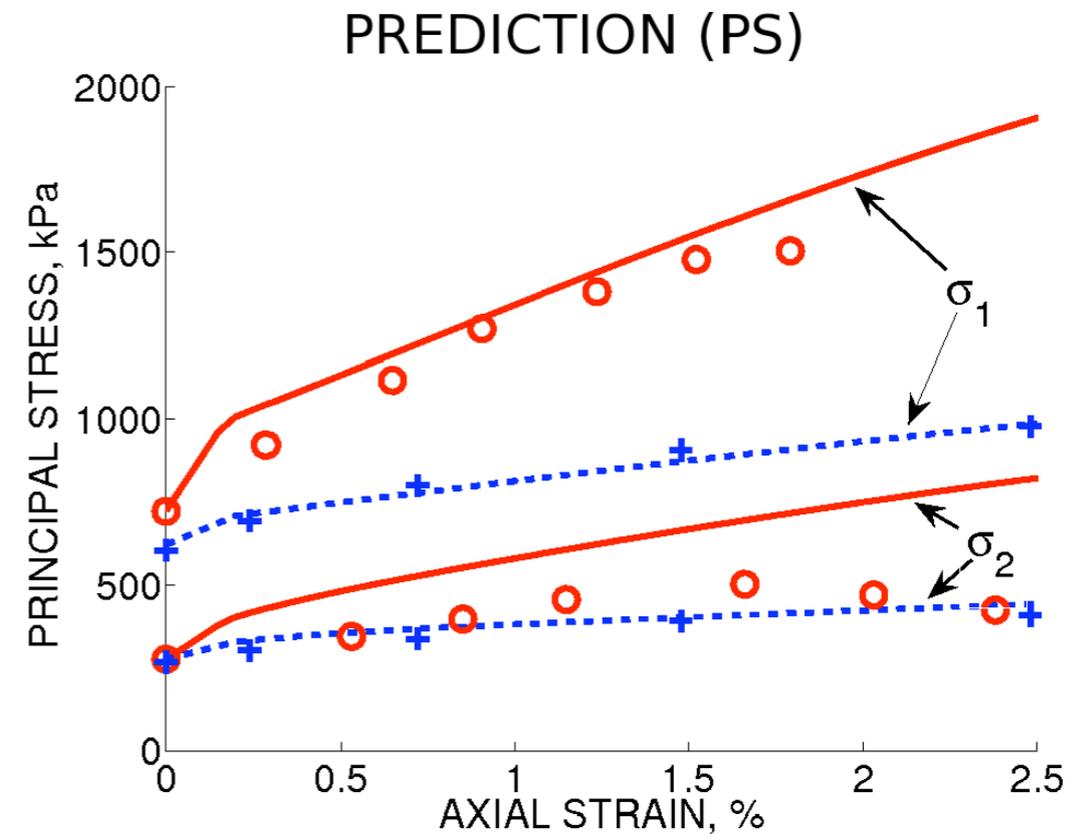
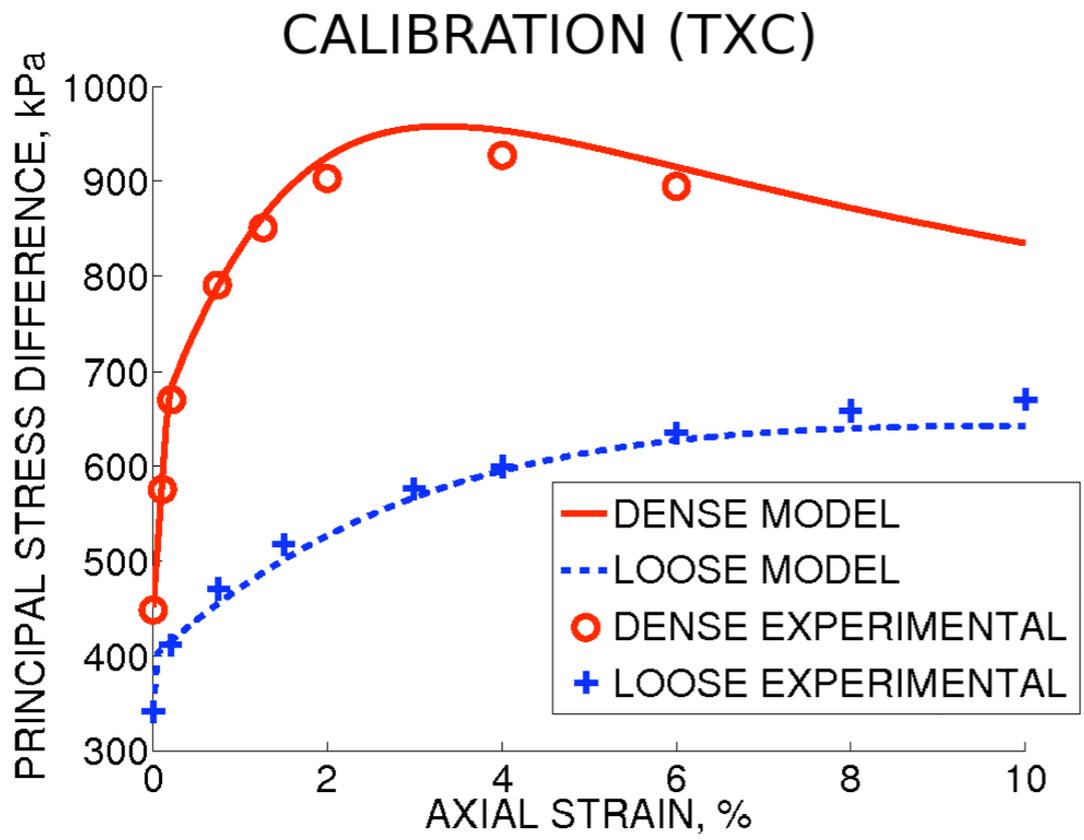
# Example of elasto-plastic model



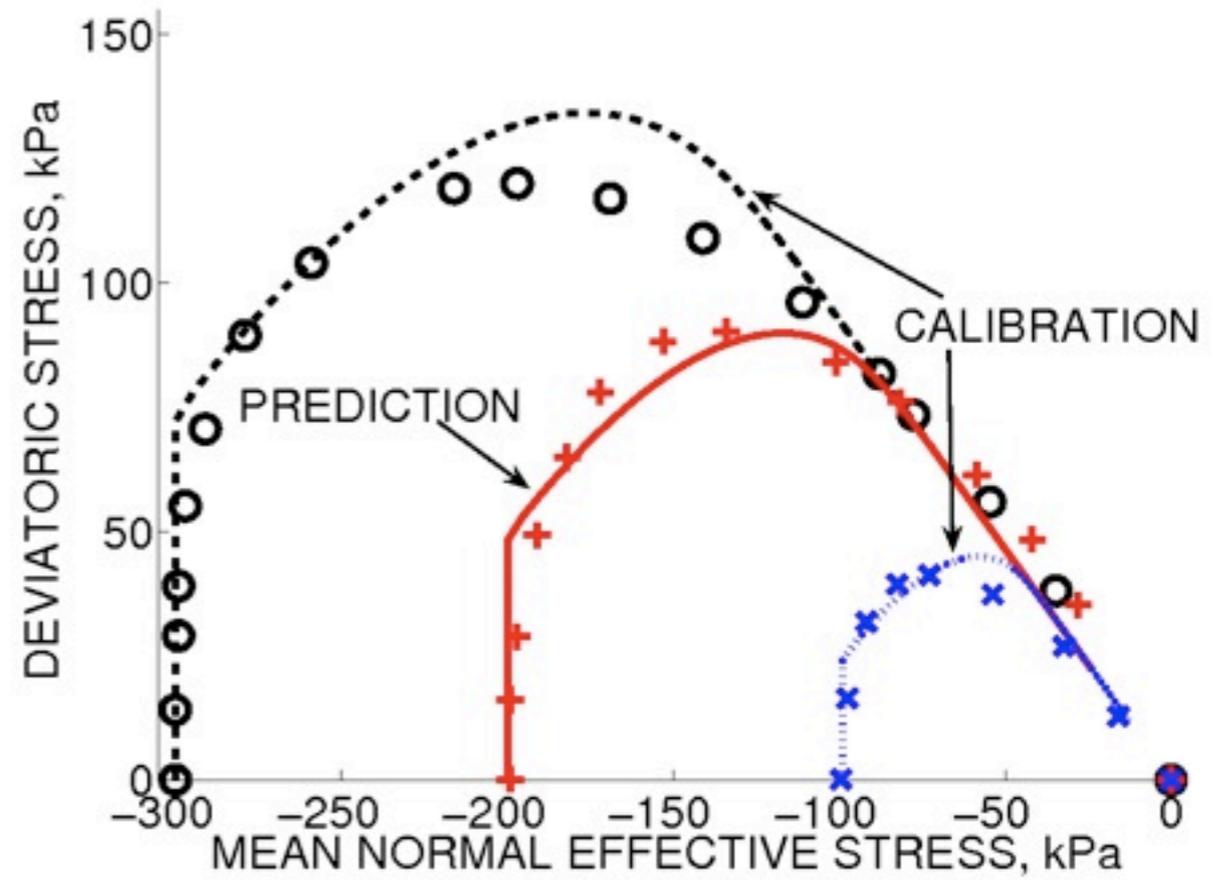
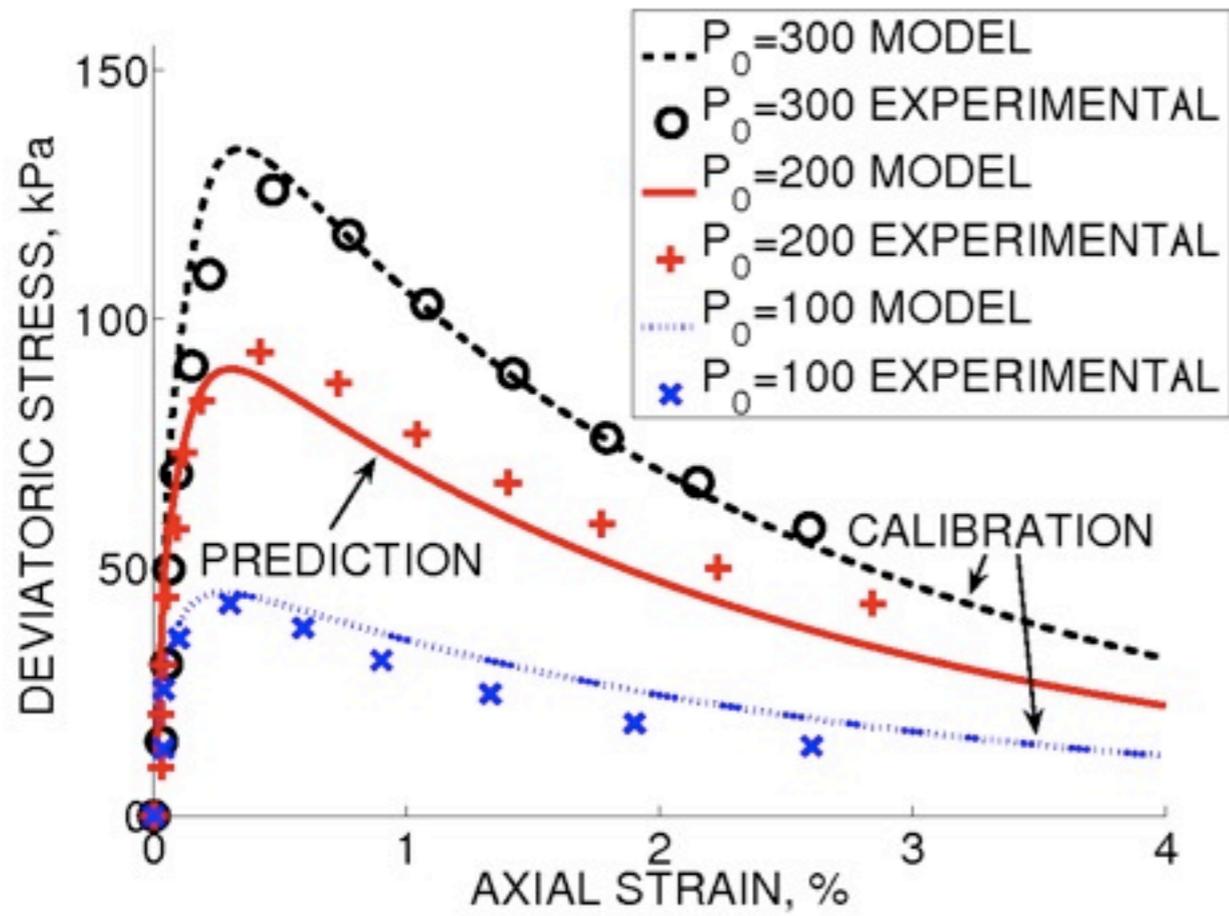
$$F = F(\boldsymbol{\sigma}', \pi_i)$$

$$G = G(\boldsymbol{\sigma}', \bar{\pi}_i)$$

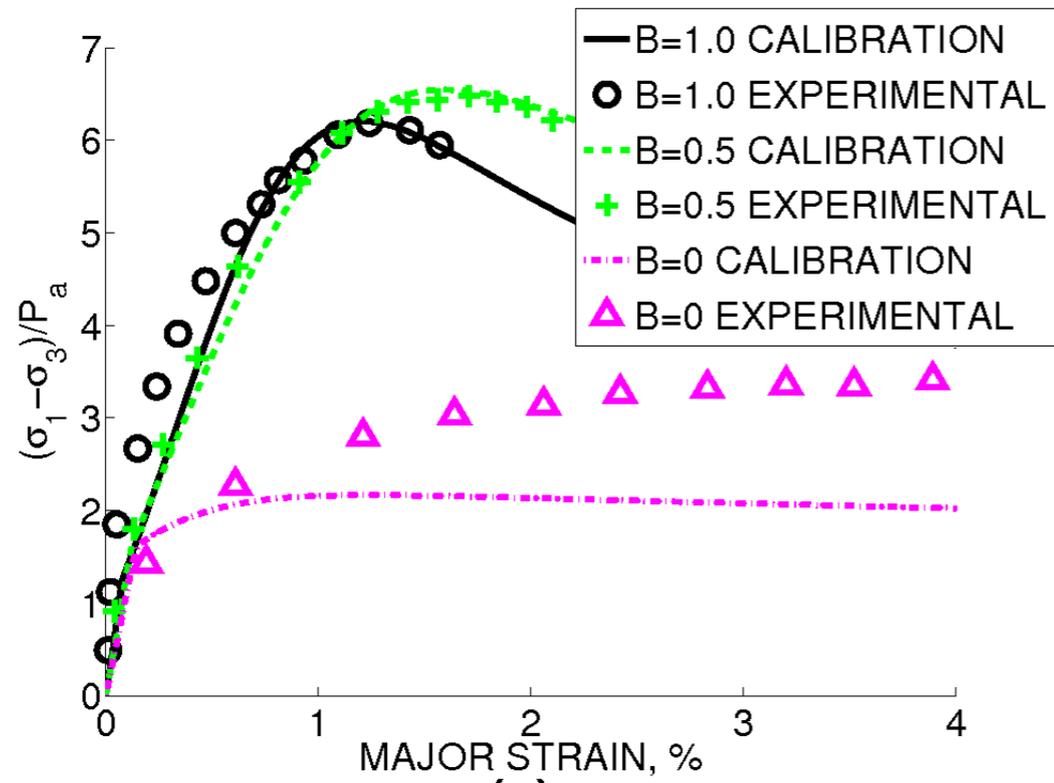
$$H = H(p', \pi_i, \psi)$$



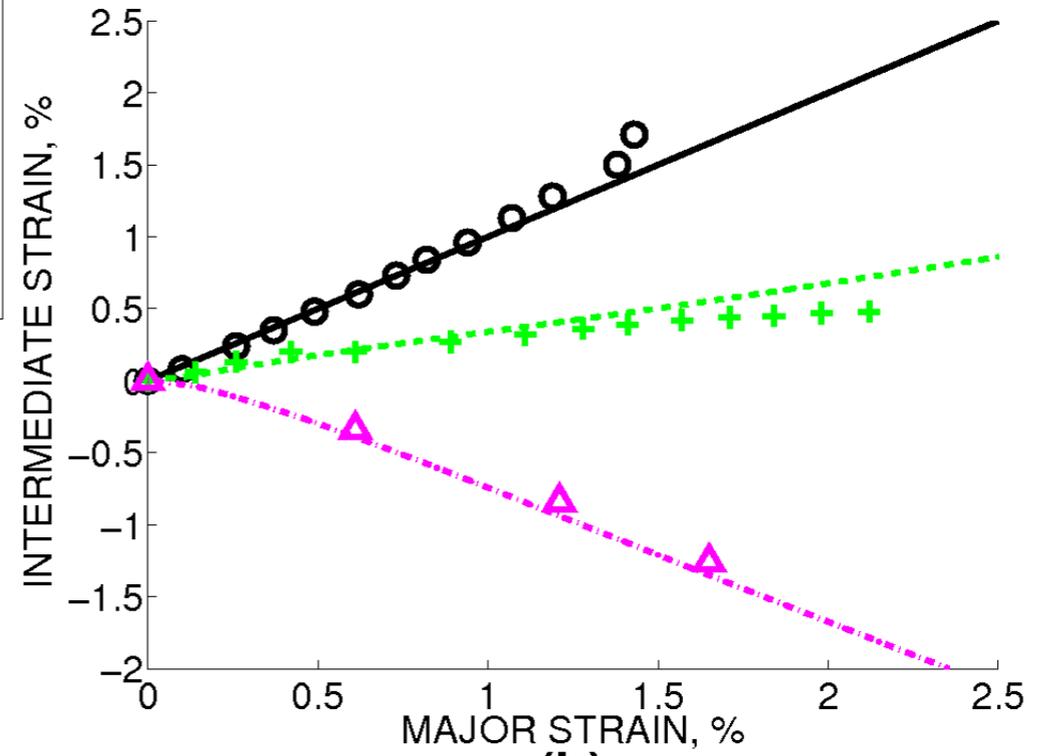
model validation: drained txc and ps



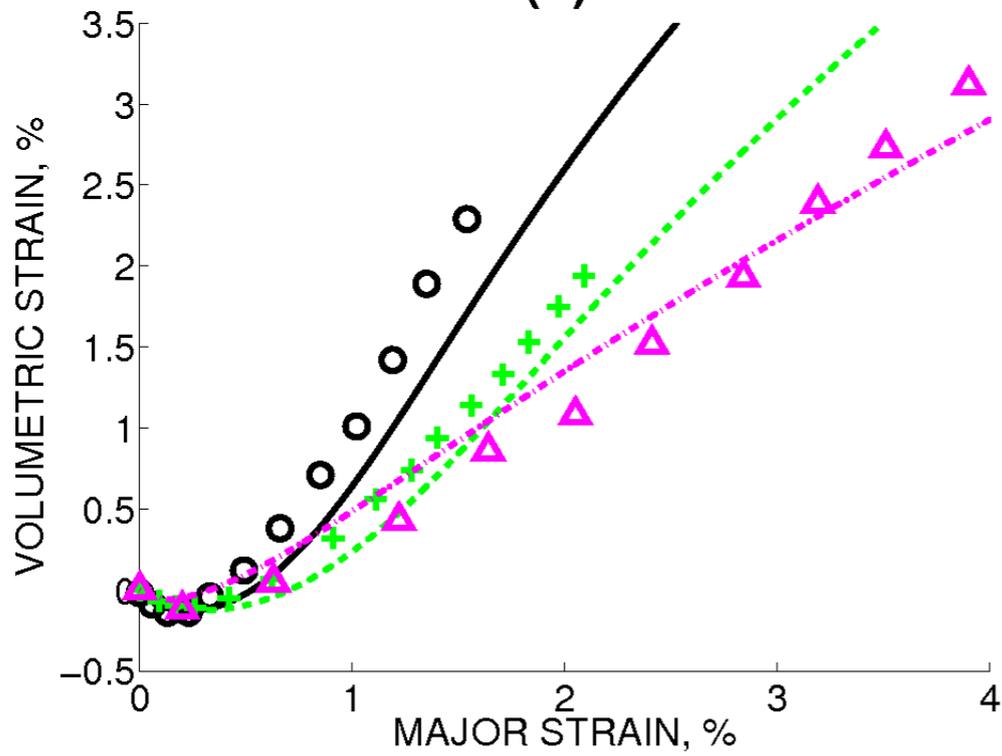
undrained txc loose sands



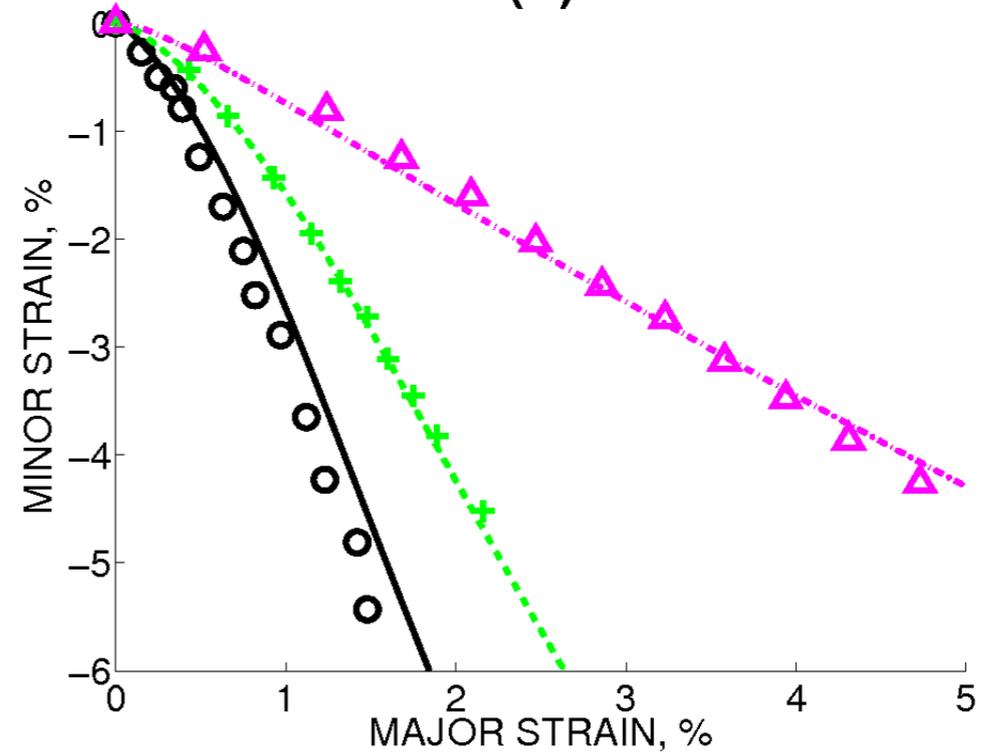
(a)



(b)



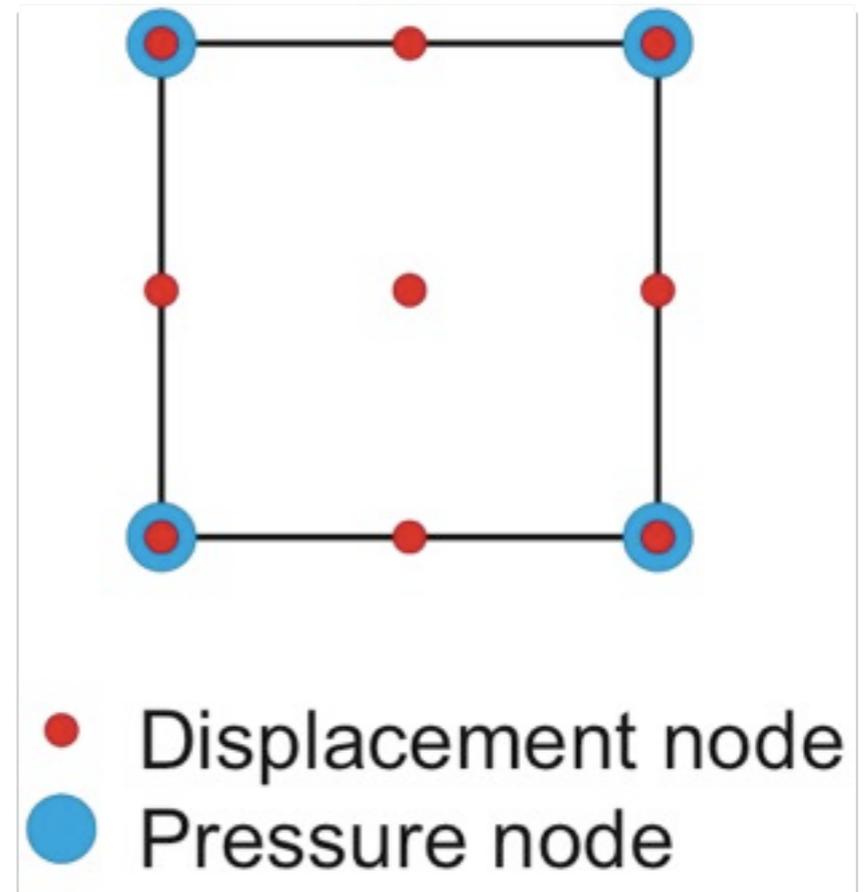
(c)



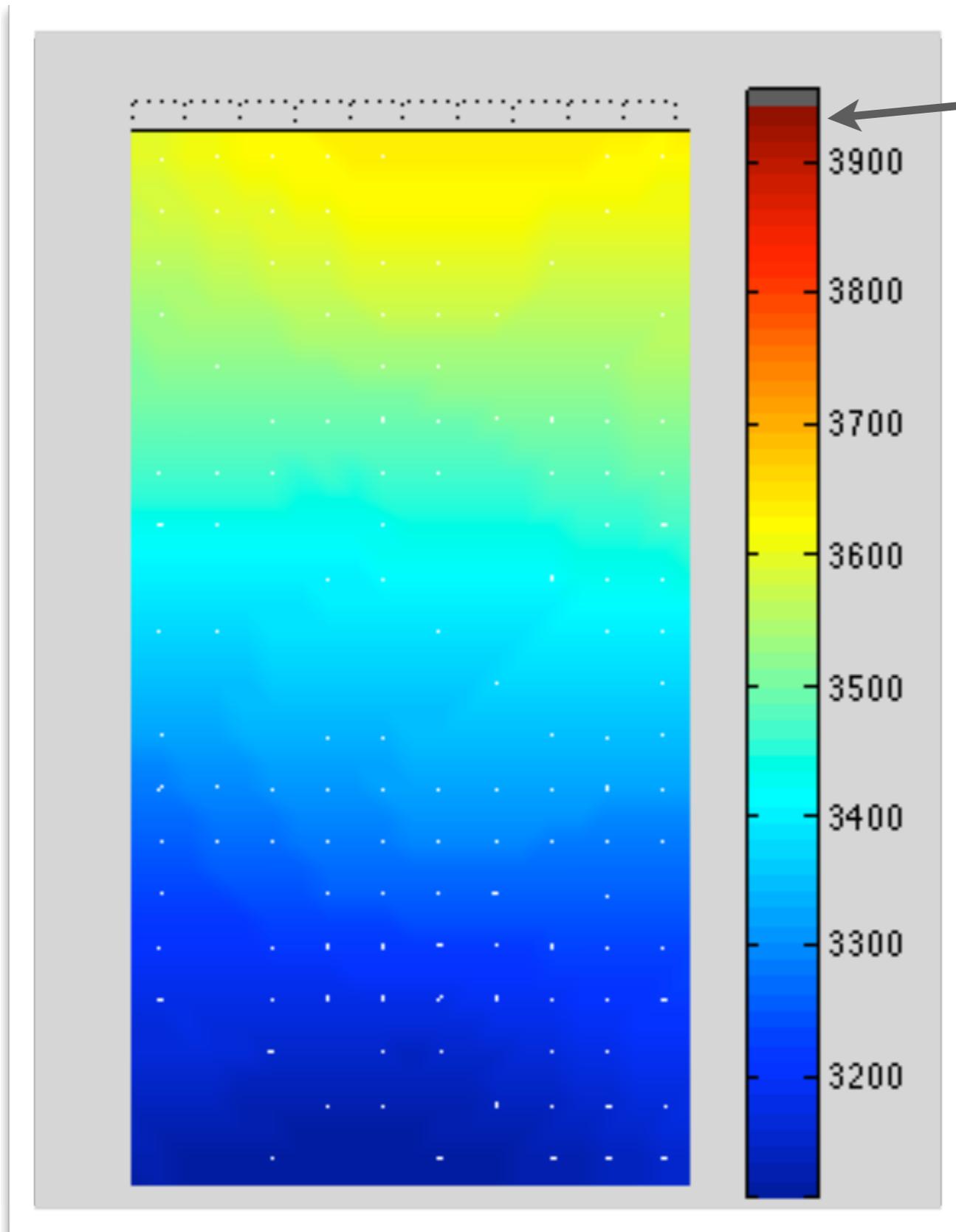
(d)

true triaxial b=constant

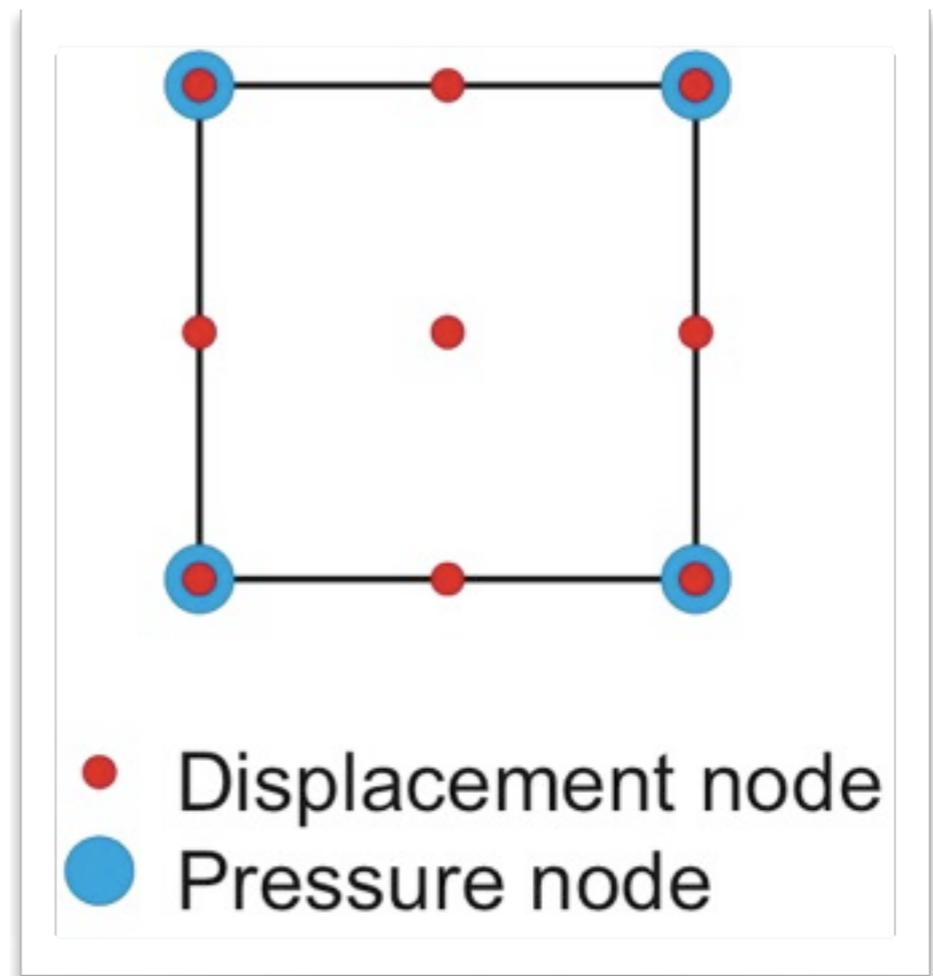
$$\leftarrow H - \bar{H}_L$$



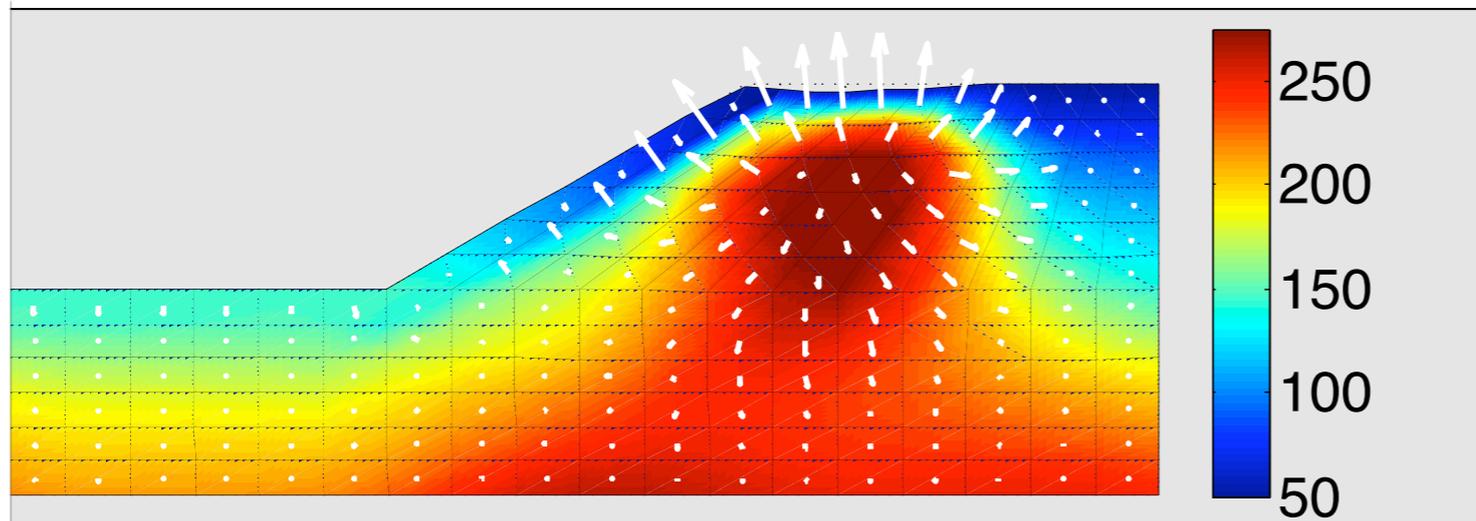
Plane-strain liquefaction numerical simulation



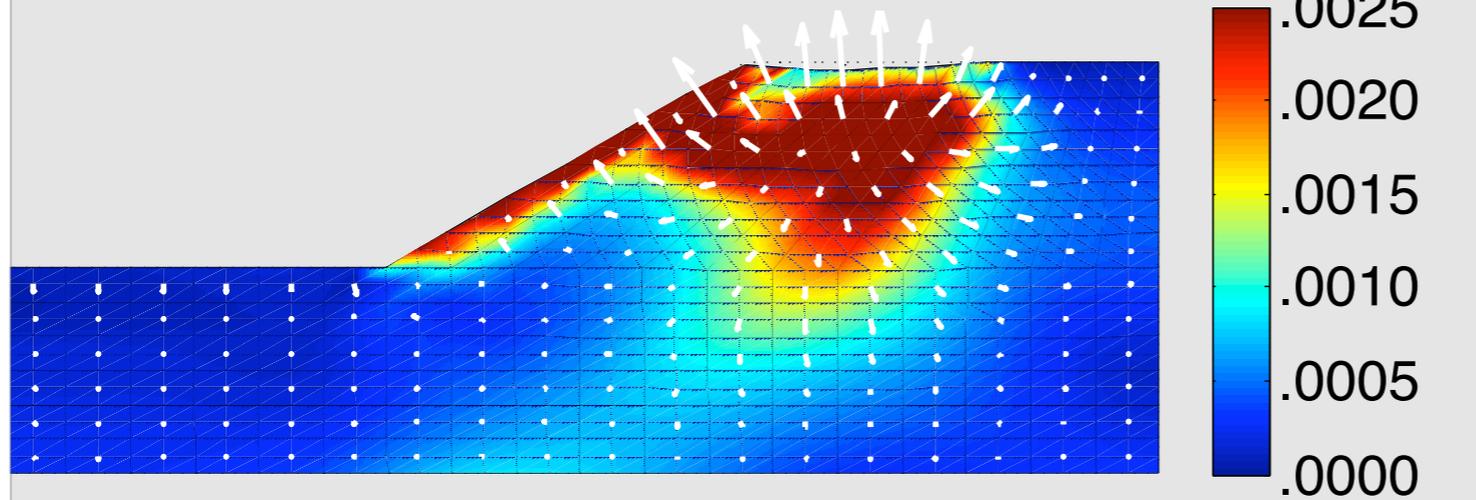
$$H - \bar{H}_L$$



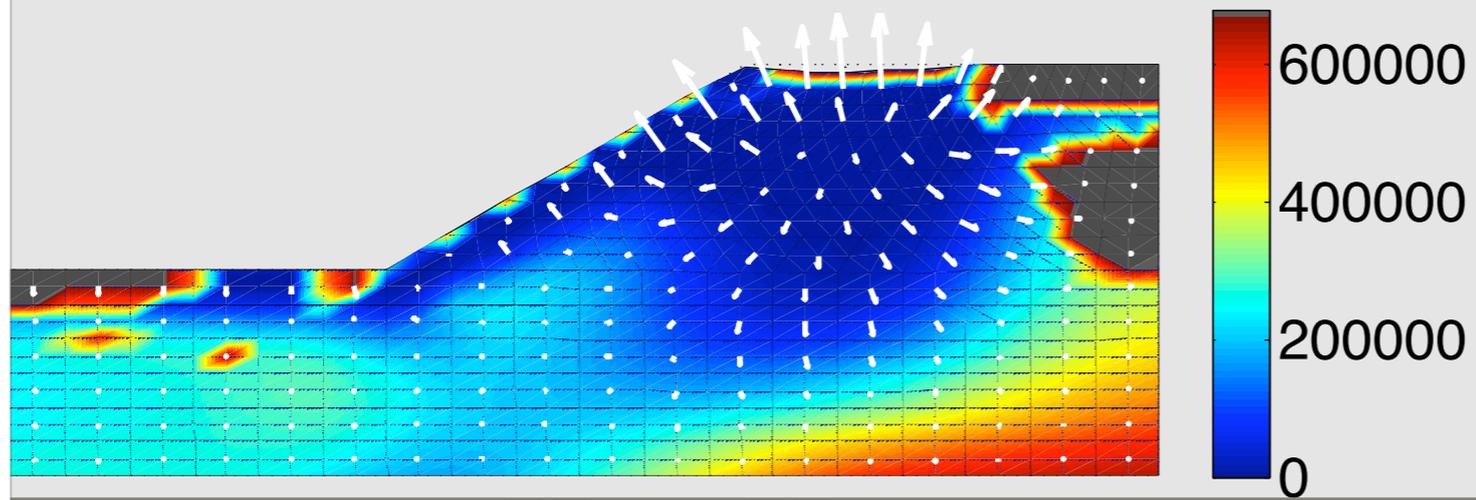
Plane-strain liquefaction numerical simulation



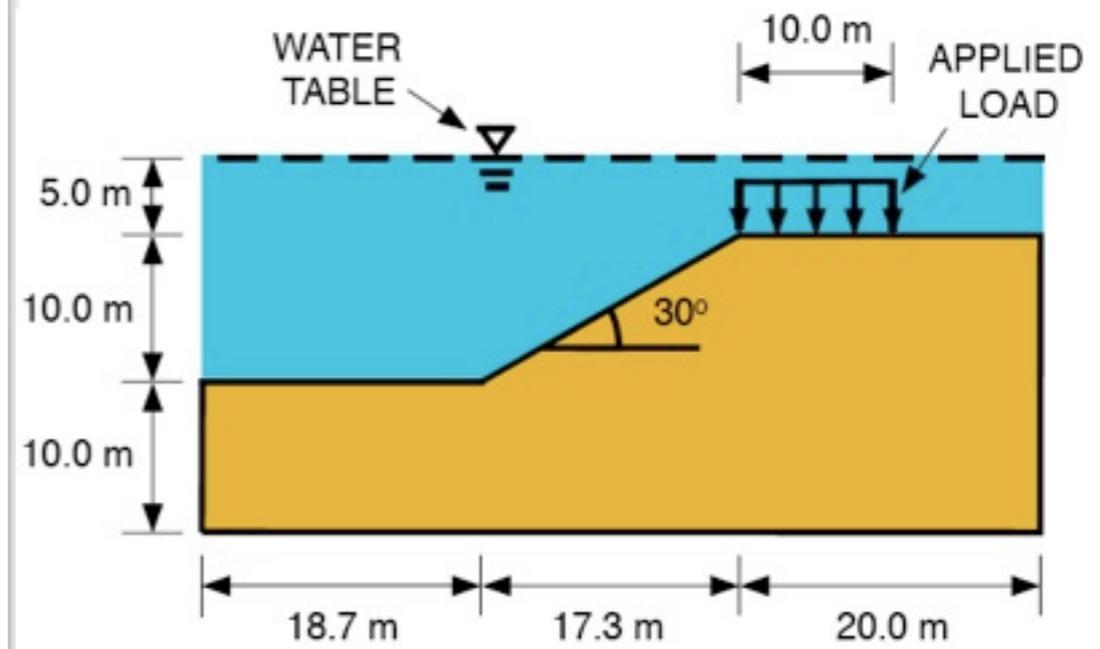
(a) Pore Pressure (in kPa)



(b) Deviatoric Strain



$$H - H_L$$



Field scale prediction  
Levee failure  
(recall Katrina)

# References

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